

How to...

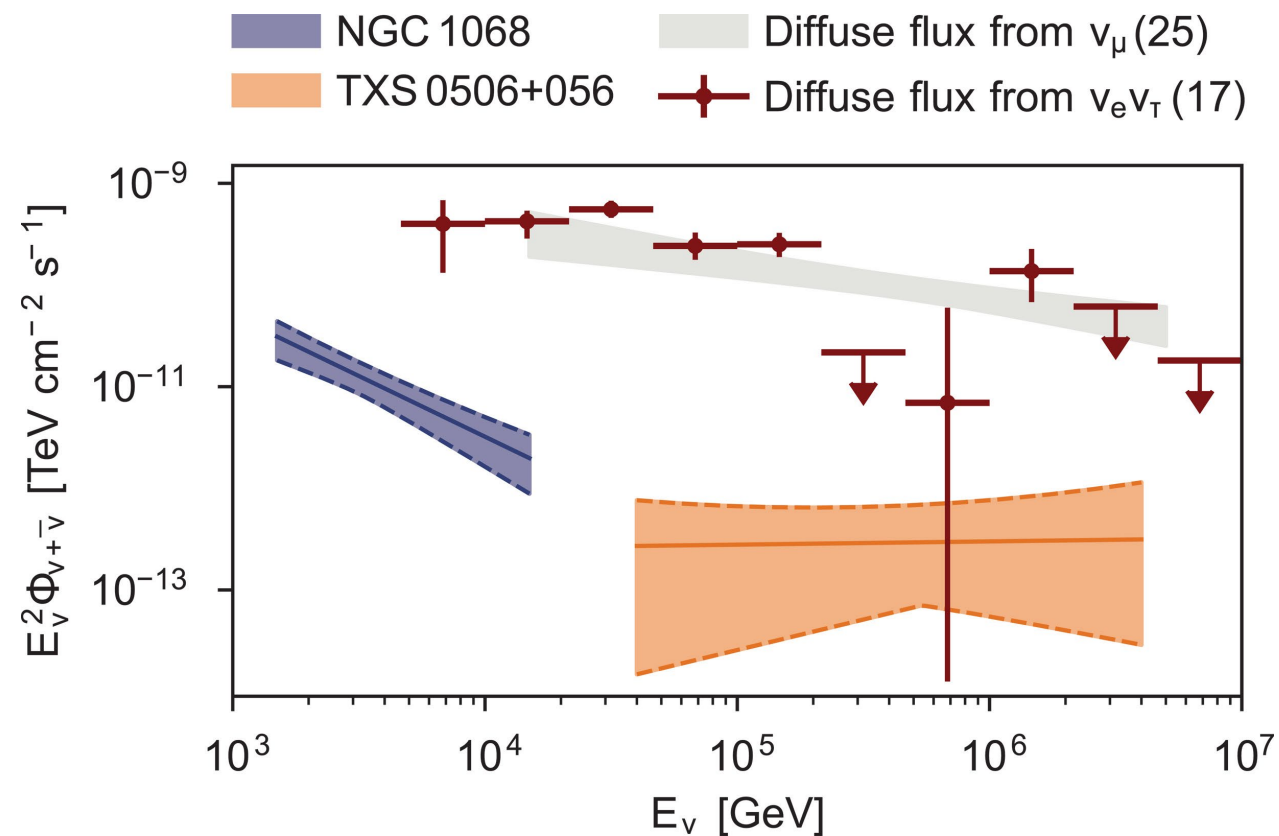
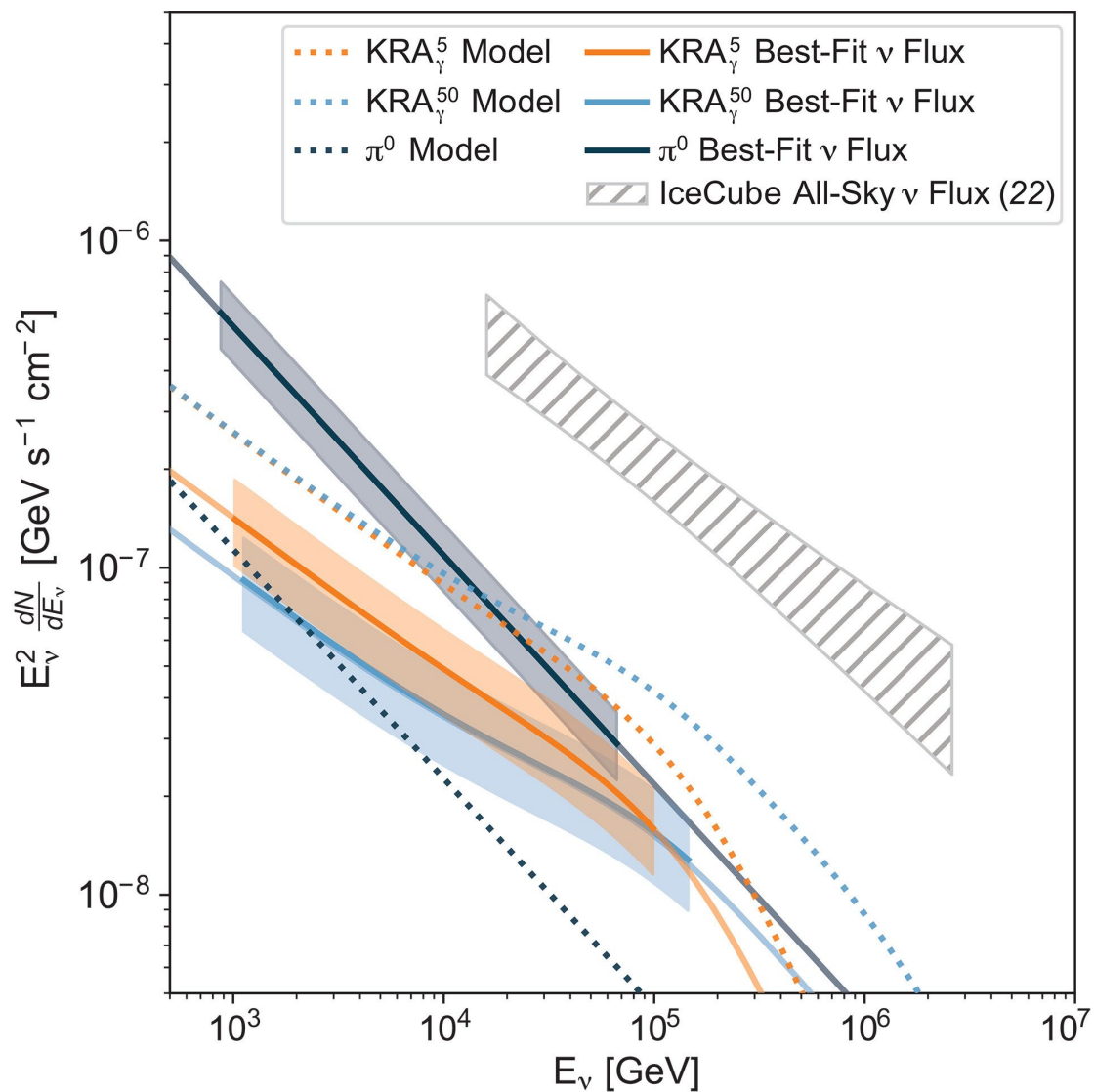
# High-energy neutrino astronomy

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Lisa Schumacher, Erlangen Centre for Astroparticle Physics (ECAP)

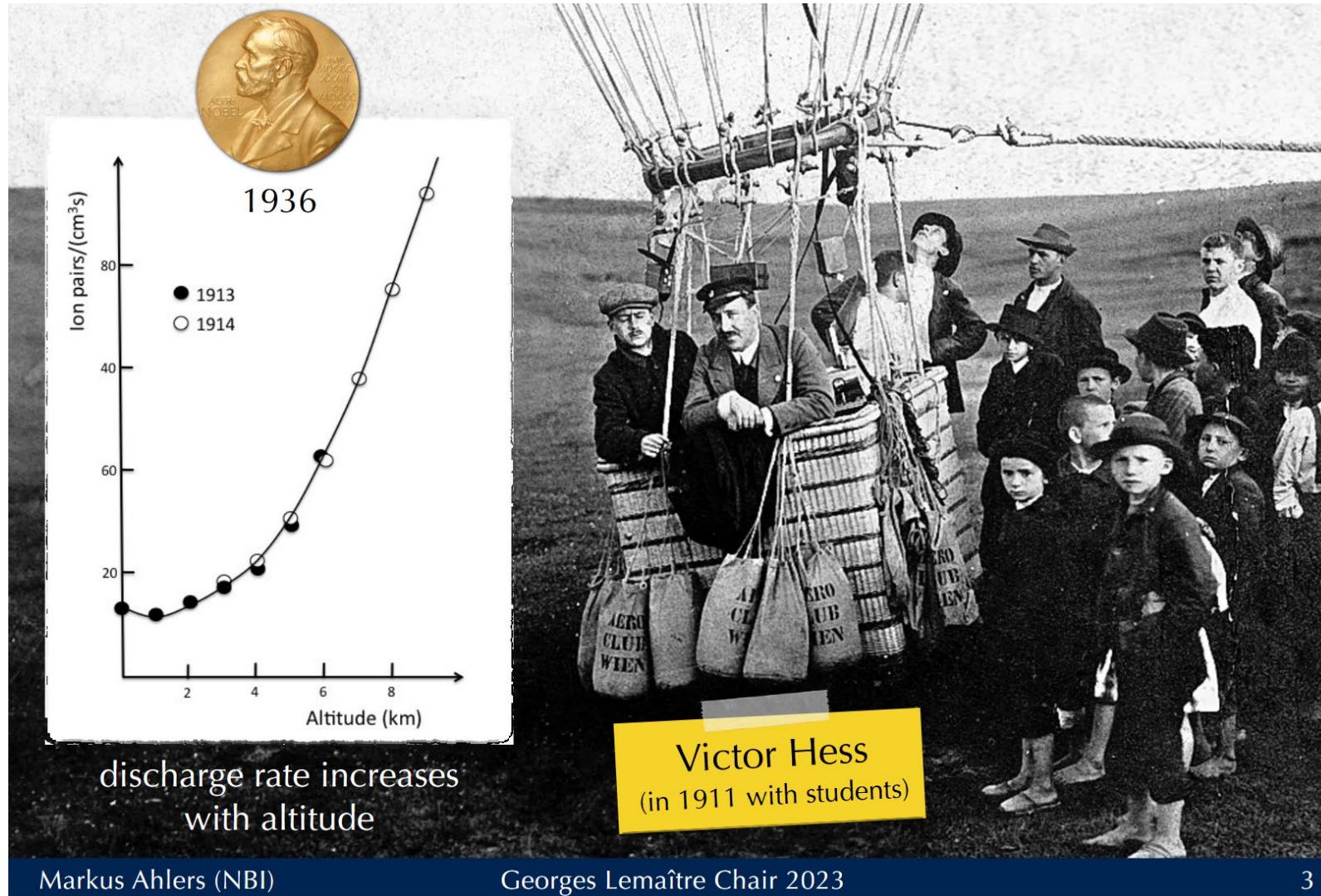
Astroparticle School 2024

# Outline



- 1) Why is neutrino astronomy interesting?
- 2) How are high-energy neutrinos detected?
- 3) Recent results from IceCube
- 4) What's the future of the field?

# Cosmic radiation – a century-old puzzle



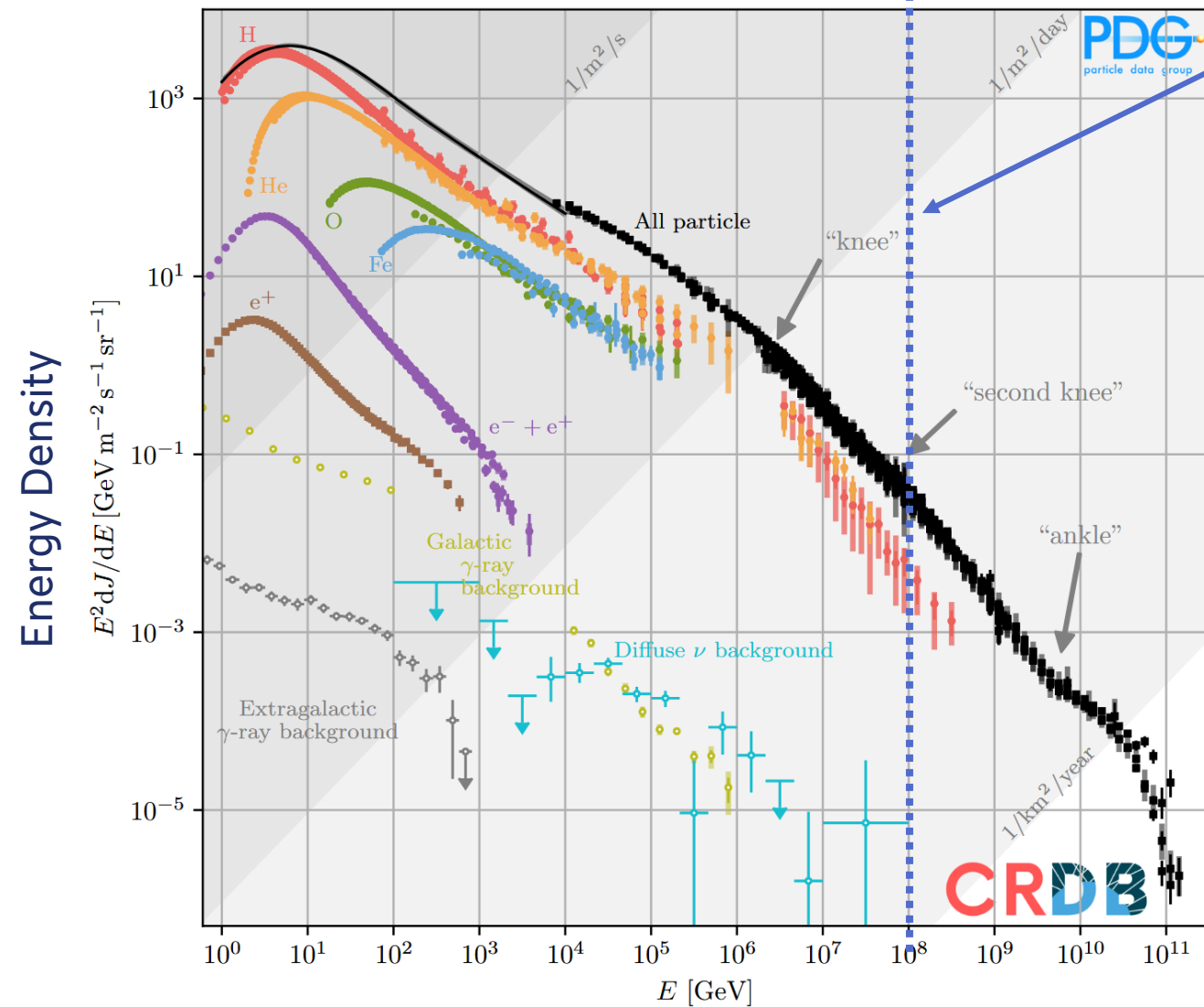
Credit:

Markus Ahlers (NBI)

Georges Lemaître Chair 2023

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# The Cosmic Ray Puzzle



Center of mass energy at LHC (pp) 14 TeV  $\approx 10^{17}$  eV

Where and how are cosmic rays accelerated?

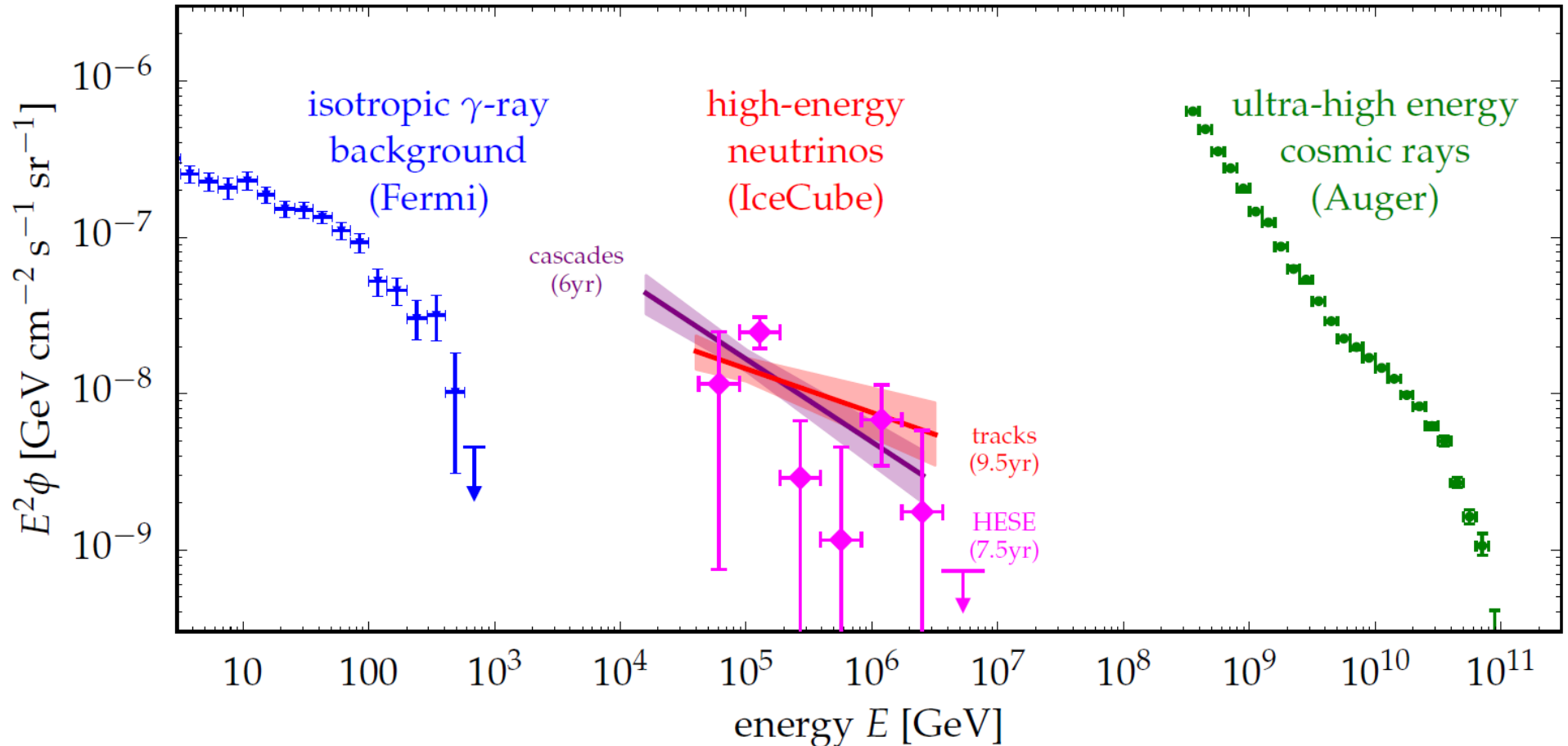
Where and how are neutrinos produced?

PDG review – Cosmic Rays (2024) Fig. 30.1

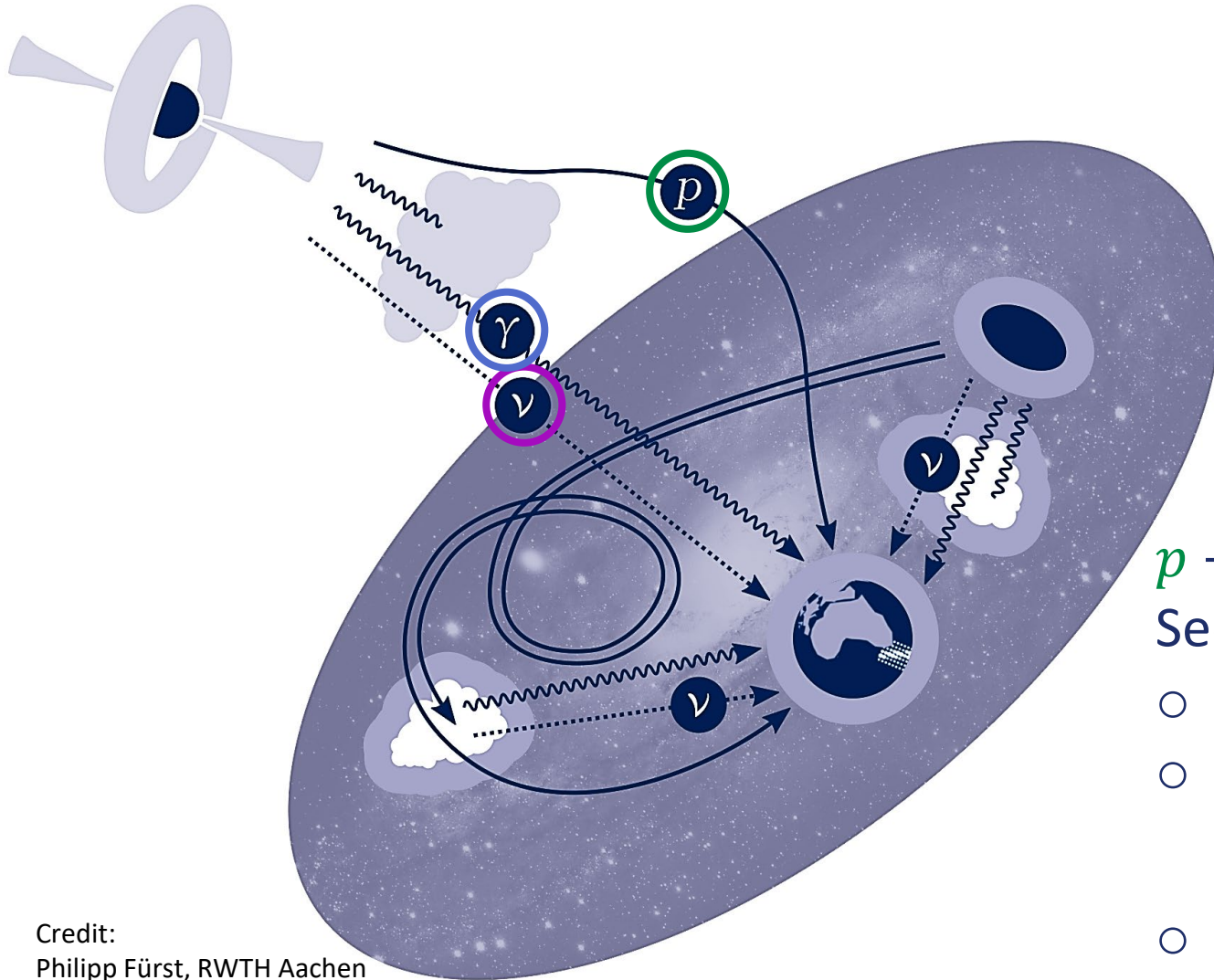
# Overview over highest-energy particle spectra

Almost constant energy budget ( $E^2 \frac{d\Phi}{dE}$ ) of neutrinos with  $E_\nu = 0.1 - 1 \text{ PeV}$   
 $\rightarrow$  same as of UHECRs  $E_{\text{CR}} > 10^{19} \text{ PeV}$ , as implied by Waxman-Bahcall bound

About 30% of gamma-ray background is attributed to non-blazar sources



# Multimessenger puzzle



Credit:  
Philipp Fürst, RWTH Aachen

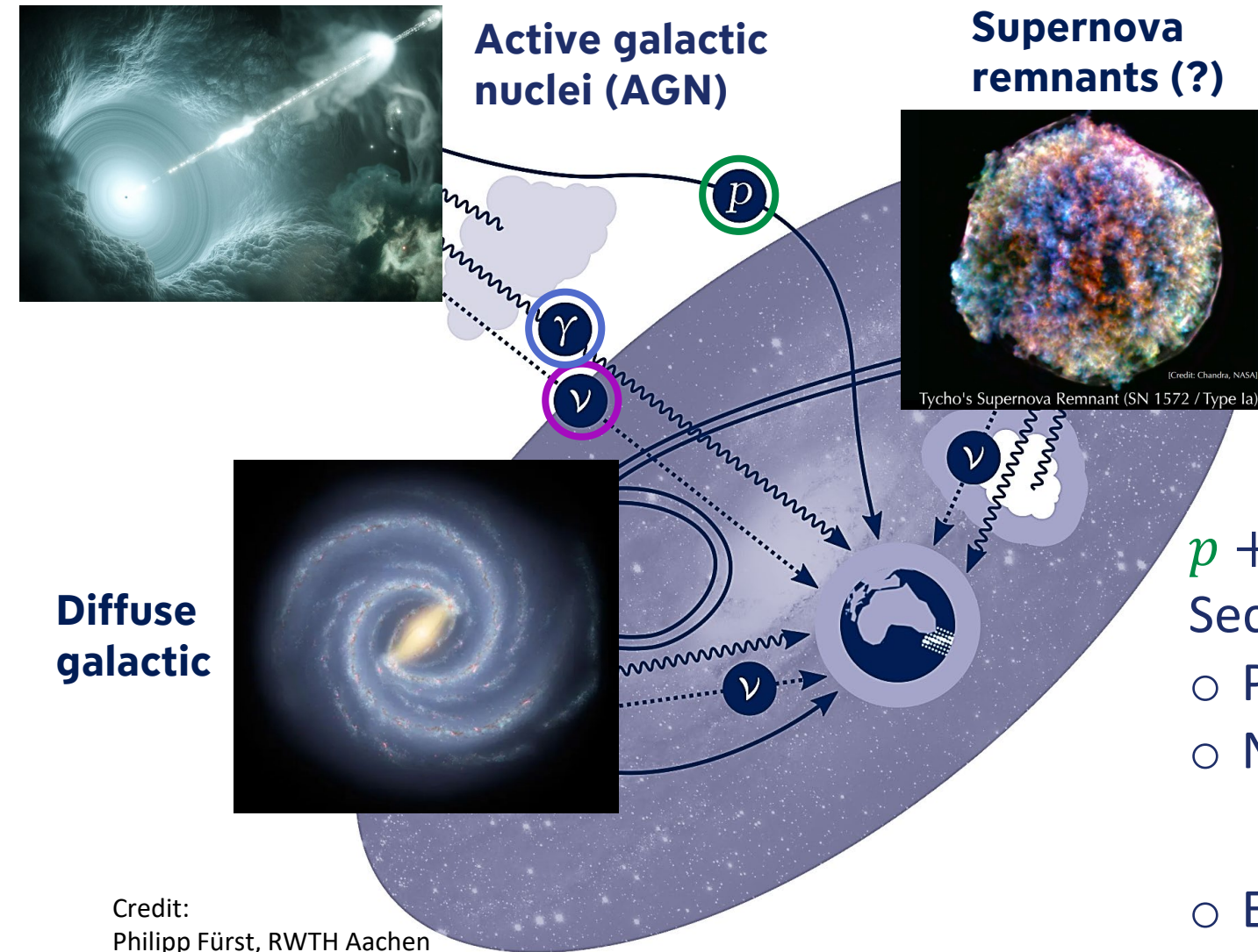
- Protons and nuclei (charged **CRs**) accelerated by the central engine of astrophysical objects
- CRs produce **photons** and **neutrinos** via interactions with ambient photons/protons



Secondary decay:



# Multimessenger puzzle



- Protons and nuclei (charged **CRs**) accelerated by the central engine of **astrophysical objects**
- CRs produce **photons** and **neutrinos** via interactions with ambient photons/protons

$$p + p \text{ or } p + \gamma \rightarrow X + \pi^0, \pi^\pm$$

Secondary decay:

- Photons:  $\pi^0 \rightarrow \gamma\gamma$

- Neutrinos:  $\pi^+ \rightarrow \mu^+ + \nu_\mu$

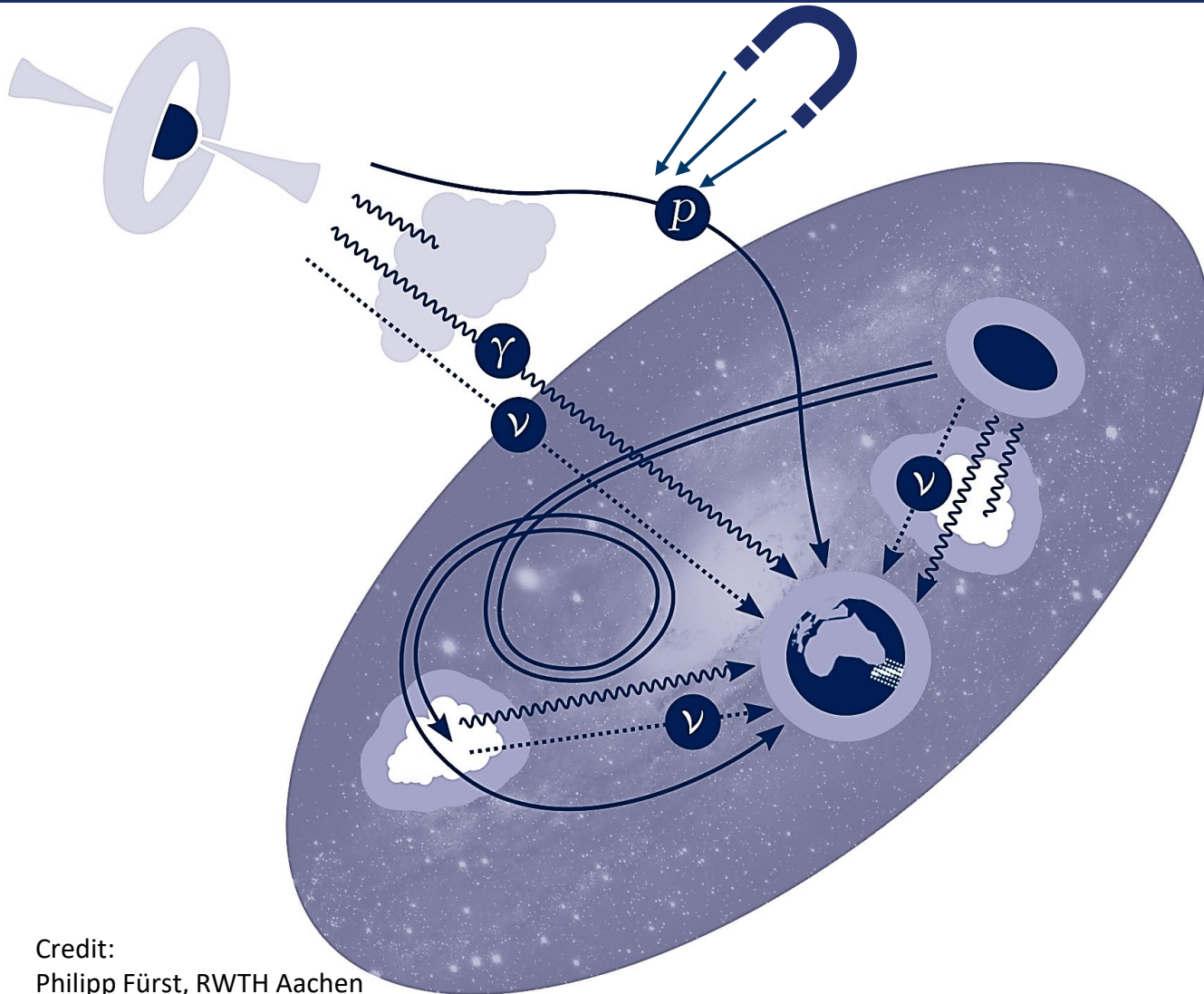
$$\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$$

- Energy:  $E_\nu \approx \frac{1}{2} E_\gamma \approx \frac{1}{20} E_N$

Credit:  
Philipp Fürst, RWTH Aachen



# Multimessenger puzzle



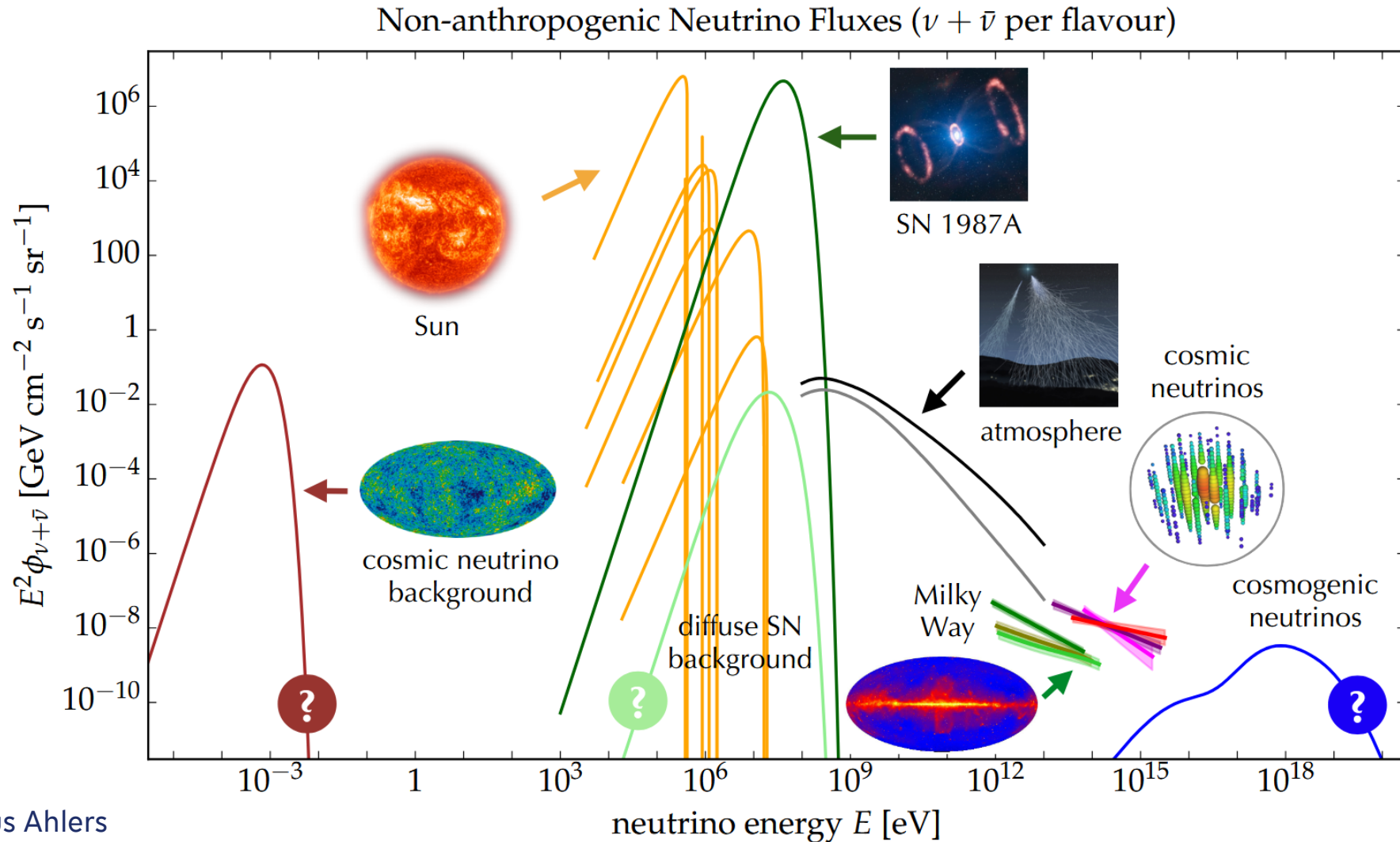
Credit:  
Philipp Fürst, RWTH Aachen

What can these extreme environments tell us about particle physics at the highest energies?

Why are neutrinos interesting?

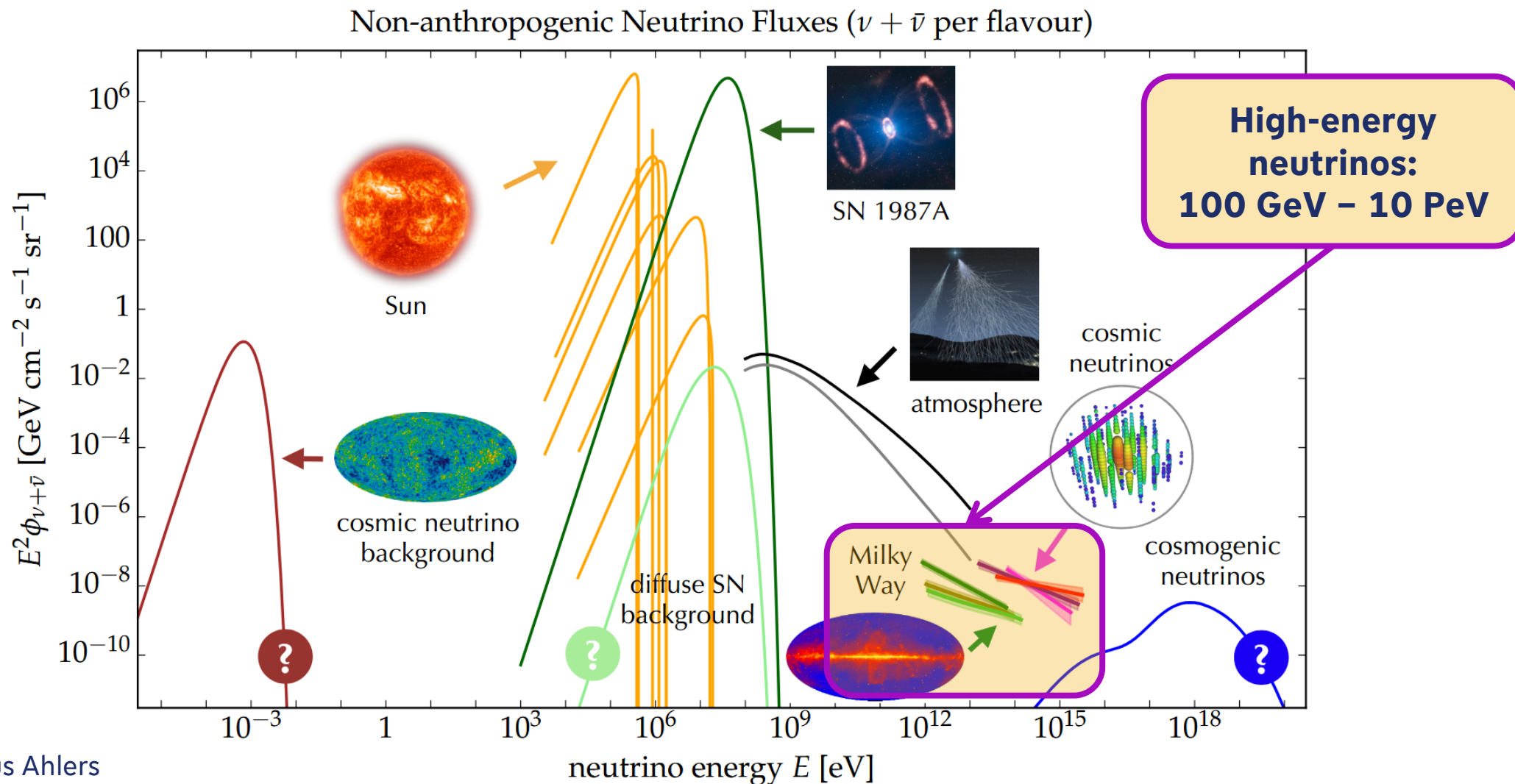
- 1) Neutrinos are unambiguous tracers of hadronic processes of CRs
- 2) Neutrinos can travel cosmological distances and through dense environments unimpeded
- 3) Non-zero neutrino masses already point to physics beyond the standard model – what else are they hiding?

# Astrophysical neutrino fluxes



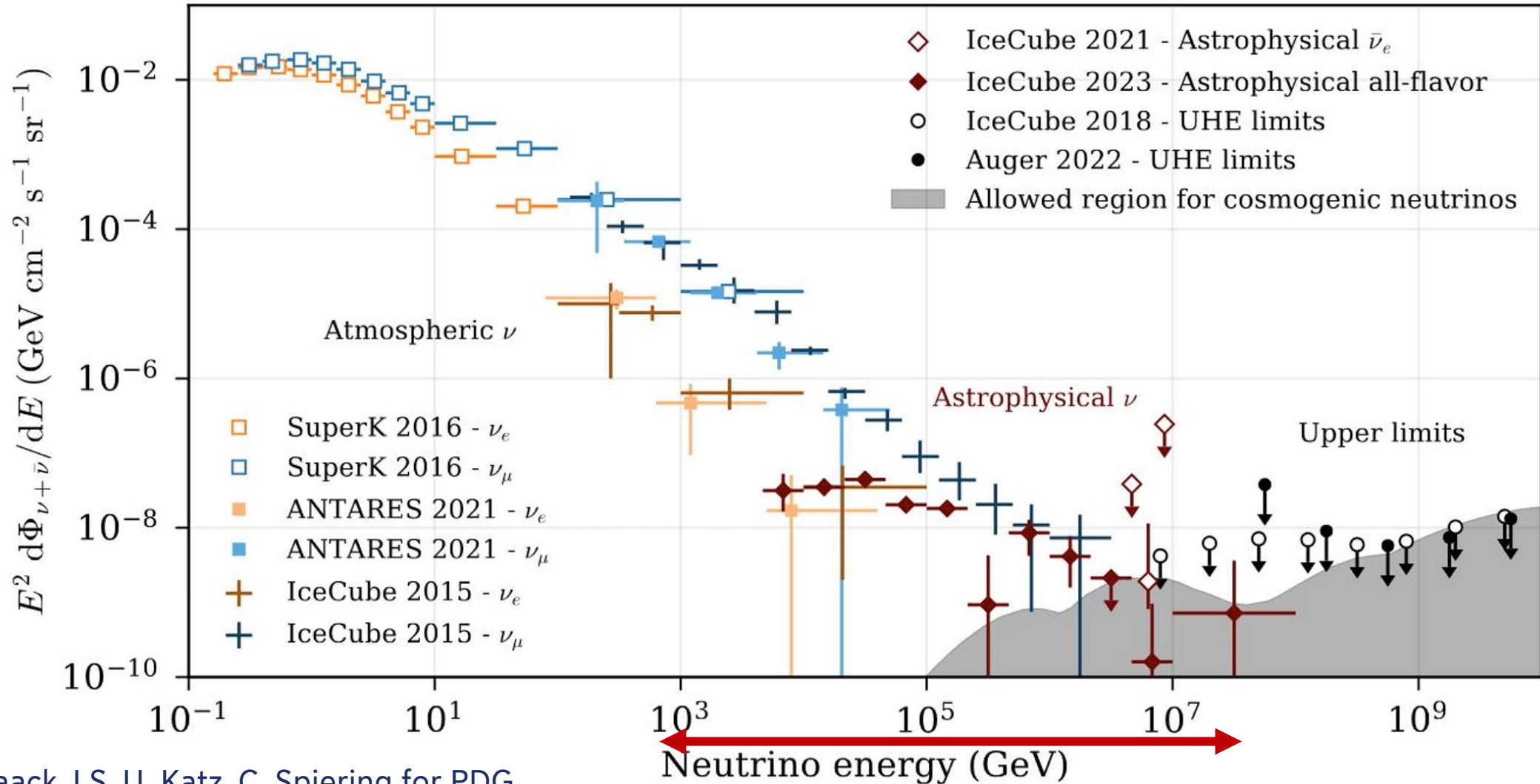
Credit: Markus Ahlers

# Astrophysical neutrino fluxes



Credit: Markus Ahlers

# “High-energy” / “cosmic” neutrino flux



C. Haack, LS, U. Katz, C. Spiering for PDG

# How to detect high-energy neutrinos

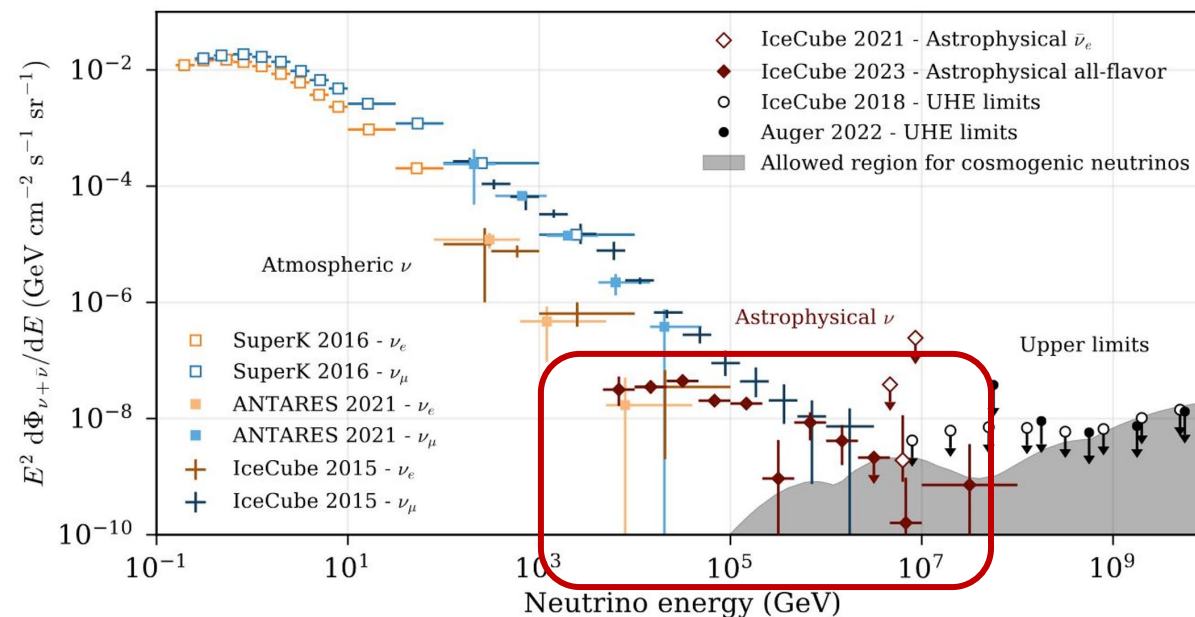
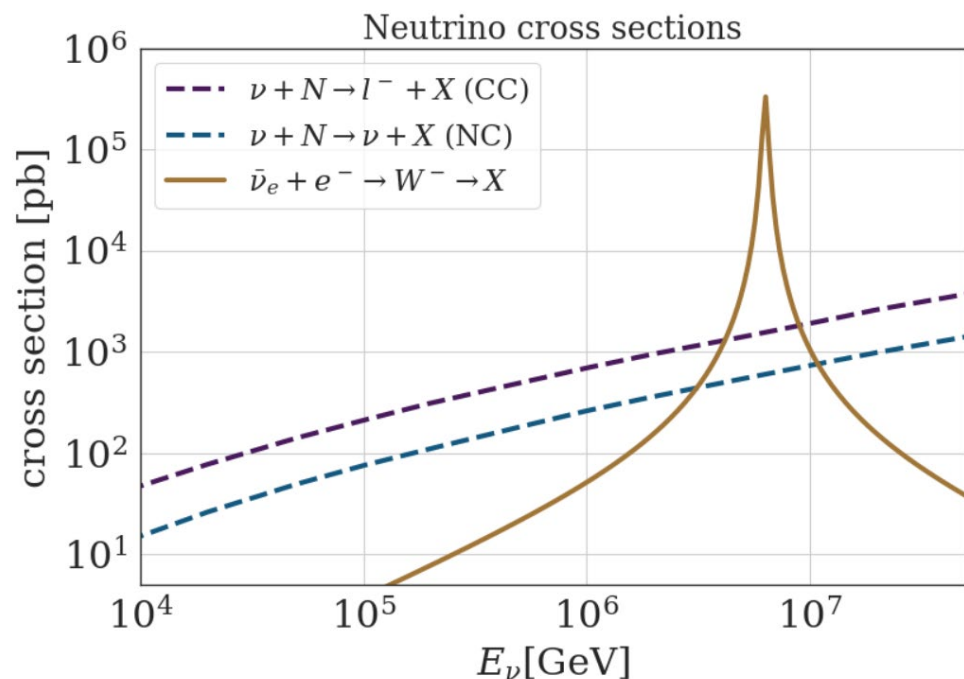
Three challenges:

1. Tiny cross sections  $\sim 10^{-34} \text{cm}^2$
2. Low flux at TeV-PeV energies
3. Background for astrophysical neutrinos: atmospheric Muons and neutrinos

Need  $\text{km}^3$ -sized detectors

$$\frac{dN}{dE dA dt d\Omega} (E = 100 \text{ TeV}) \sim \frac{10^{-18}}{\text{GeV cm}^2 \text{s sr}}$$

Vitagliano et al.  
arXiv:1910.11878v3



# Neutrino interactions

- Main detection channel: deep inelastic scattering (DIS)
- Charged-current  $\nu_l$ -nucleon interaction:  

$$\nu_l(\bar{\nu}_l) + N \xrightarrow{W^\pm} l^\pm + X \quad (l = e, \mu, \tau)$$
- Neutral-current  $\nu_l$ -nucleon interaction:  

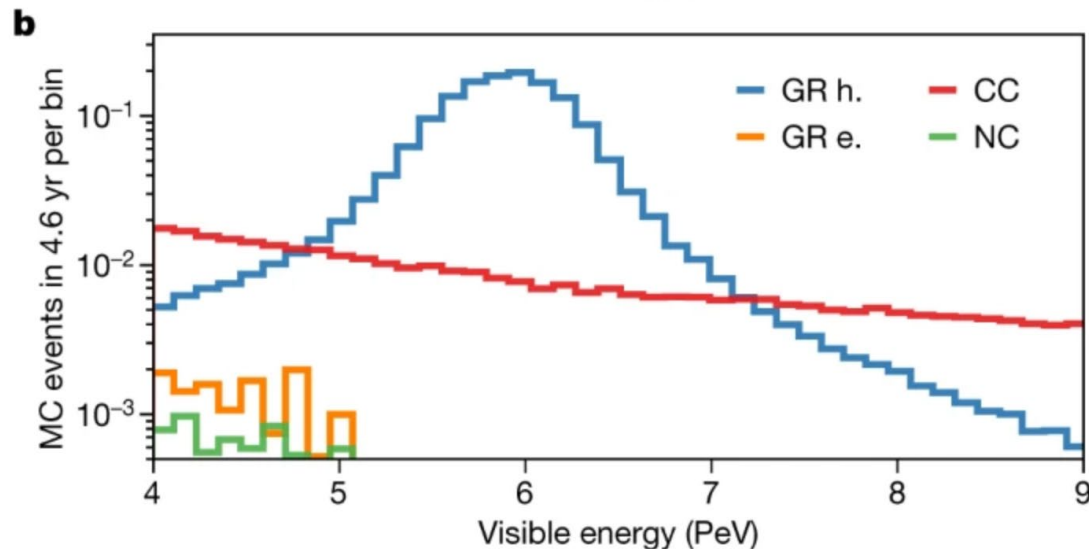
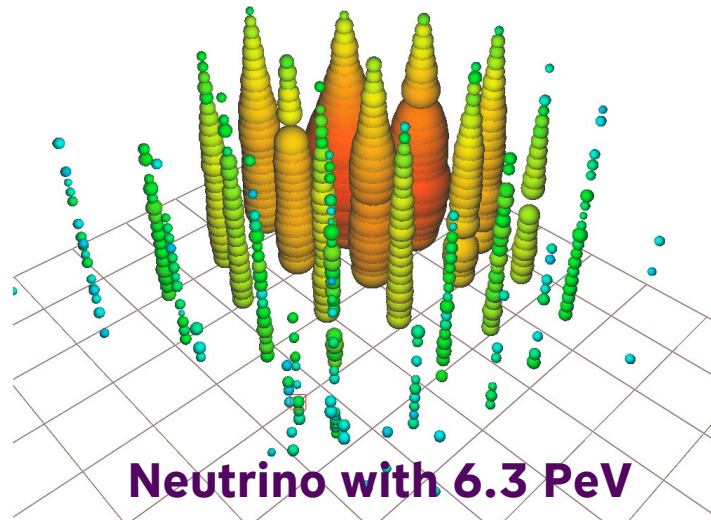
$$\nu_l(\bar{\nu}_l) + N \xrightarrow{Z} \nu_l(\bar{\nu}_l) + X$$
- Glashow resonance:  

$$\bar{\nu}_e + e^- \rightarrow W^- \rightarrow \text{decay}$$



# Glashow resonance: $\bar{\nu}_e + e^- \rightarrow W^- \rightarrow \text{decay}$

<https://www.nature.com/articles/s41586-021-03256-1>

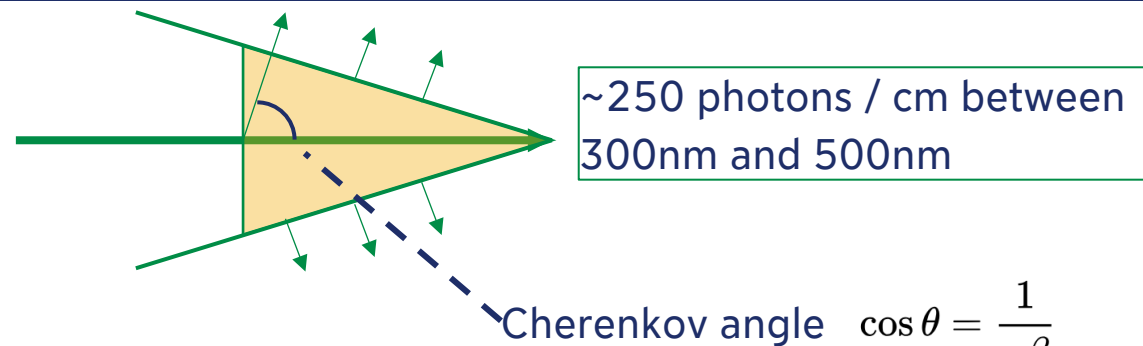
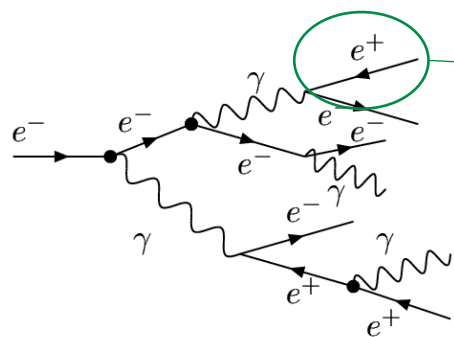


## W<sup>+</sup> DECAY MODES

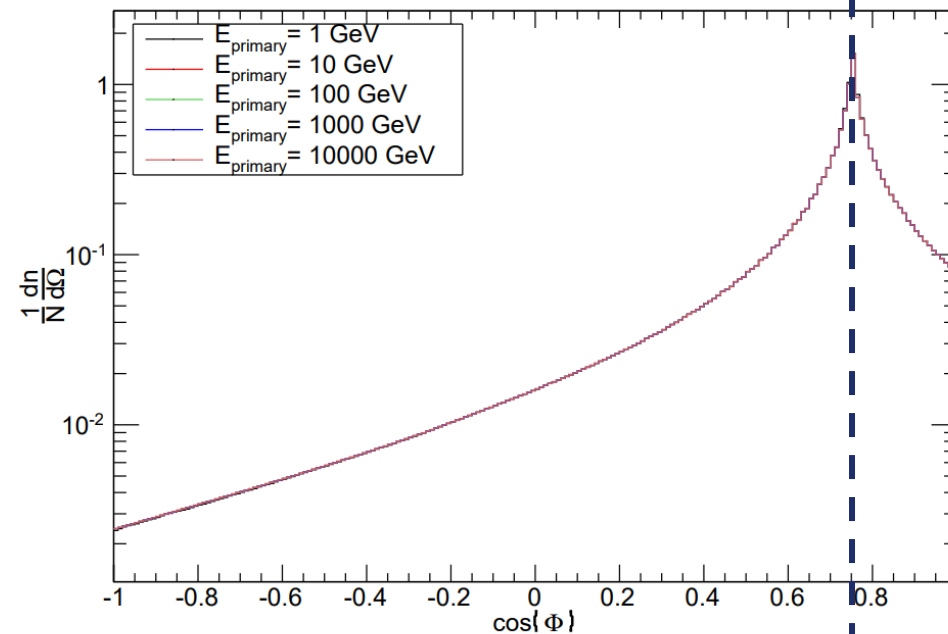
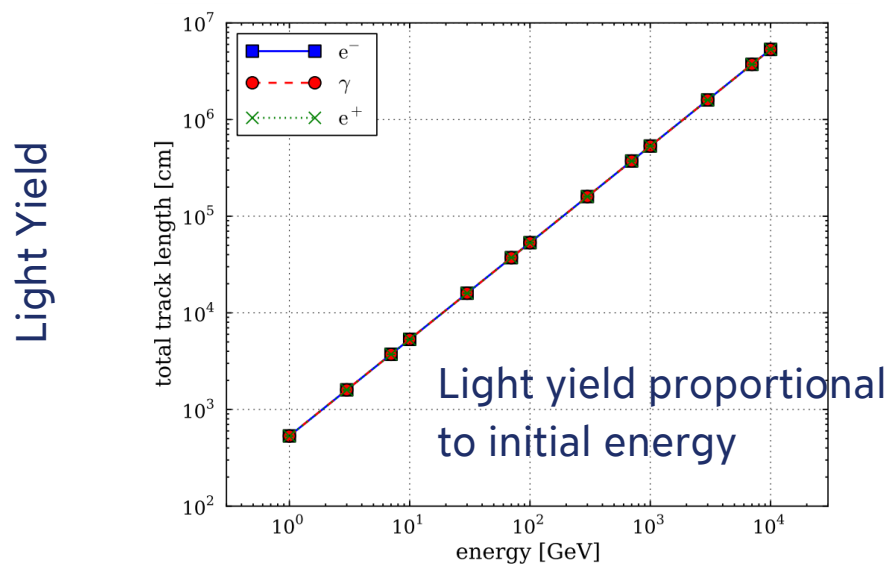
W<sup>-</sup> modes are charge conjugates of the modes below.

Mode	Fraction ( $\Gamma_i/\Gamma$ )	Conf
$\Gamma_1$ $\ell^+ \nu$ ← 1/3	[a] (10.86 ± 0.09) %	
$\Gamma_2$ $e^+ \nu$	(10.71 ± 0.16) %	
$\Gamma_3$ $\mu^+ \nu$	(10.63 ± 0.15) %	
$\Gamma_4$ $\tau^+ \nu$	(11.38 ± 0.21) %	
$\Gamma_5$ hadrons ← 2/3	(67.41 ± 0.27) %	
$\Gamma_6$ $\pi^+ \gamma$	< 7	$\times 10^{-6}$
$\Gamma_7$ $D_s^+ \gamma$	< 1.3	$\times 10^{-3}$
$\Gamma_8$ $cX$	(33.3 ± 2.6) %	
$\Gamma_9$ $c\bar{s}$	(31 <sup>+13</sup> <sub>-11</sub> ) %	
$\Gamma_{10}$ invisible	[b] (1.4 ± 2.9) %	
$\Gamma_{11}$ $\pi^+ \pi^+ \pi^-$	< 1.01	$\times 10^{-6}$

# Cherenkov Light Yield for EM Cascades



Cascade → Sum of Cherenkov „tracks“



From Christian Haack, ECAP

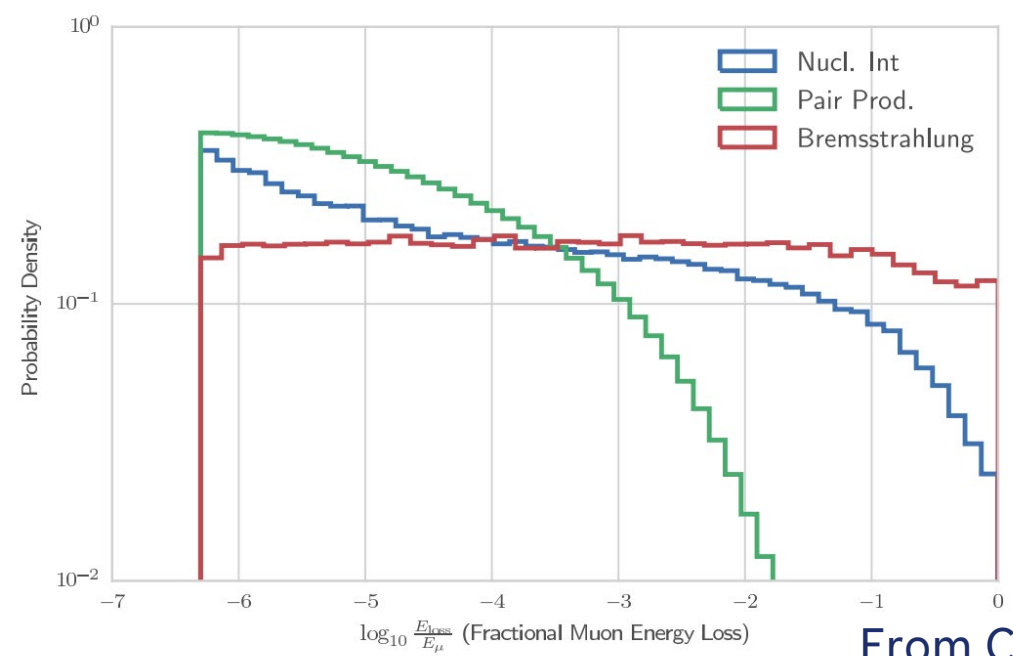


# Energy Losses of High-Energy Muons

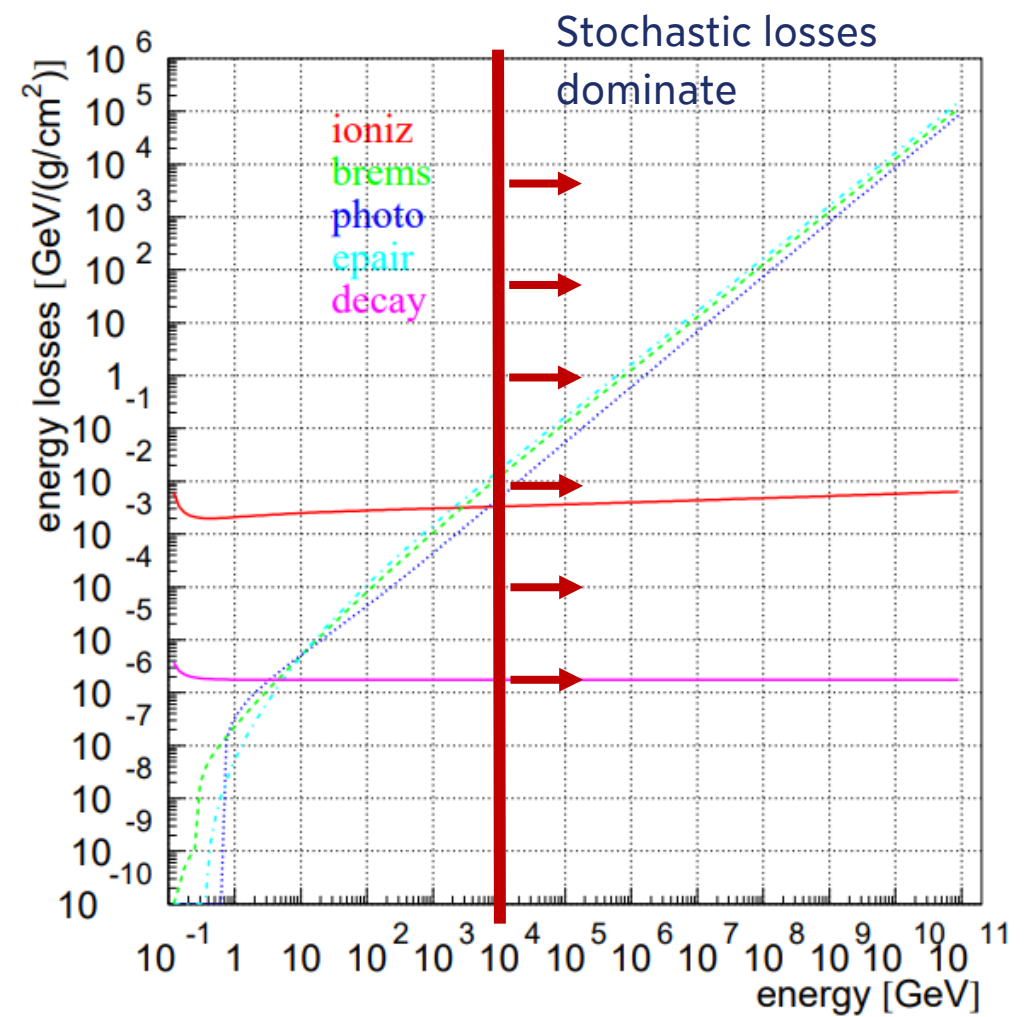
$$\frac{dE}{dX} = \underbrace{a}_{\text{Continuous Losses}} + \underbrace{b(E) * E}_{\text{Stochastic Losses}}$$

Continuous Losses  
(Ionization)

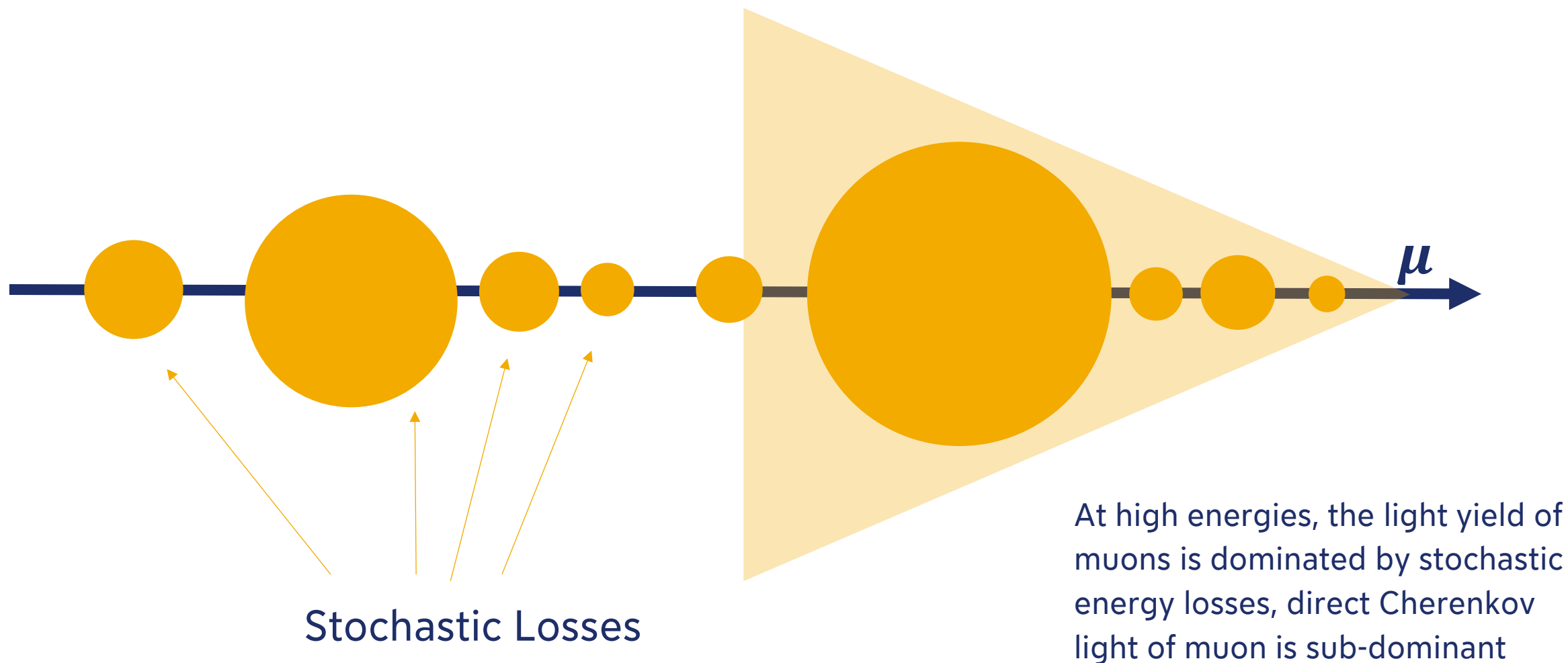
Stochastic Losses  
(Bremsstrahlung, Photohadronic,  
Pair Production)



From Christian Haack, ECAP

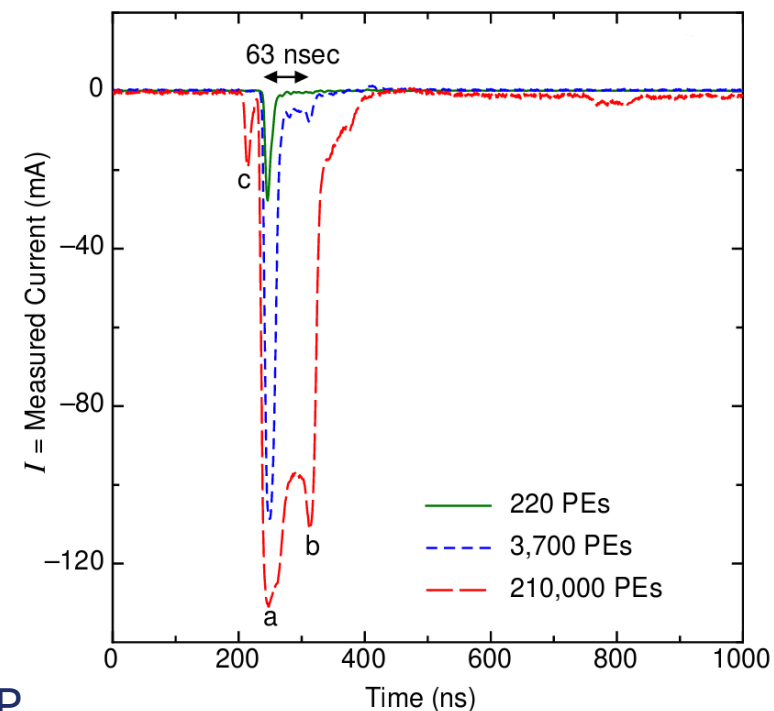
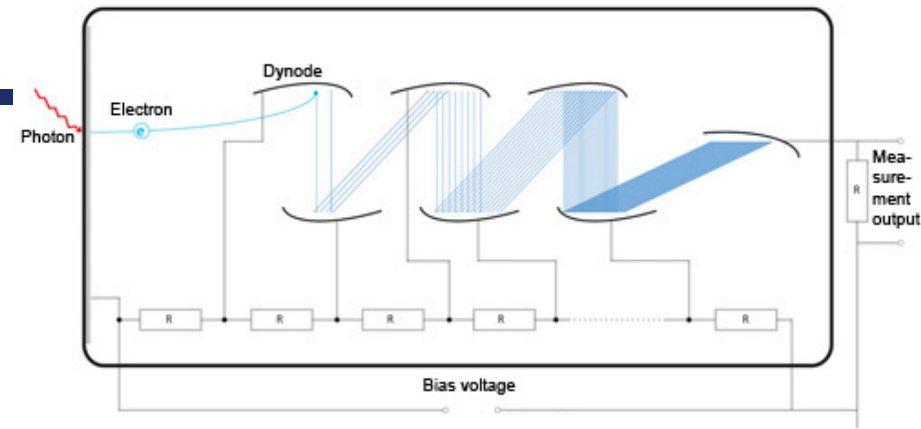
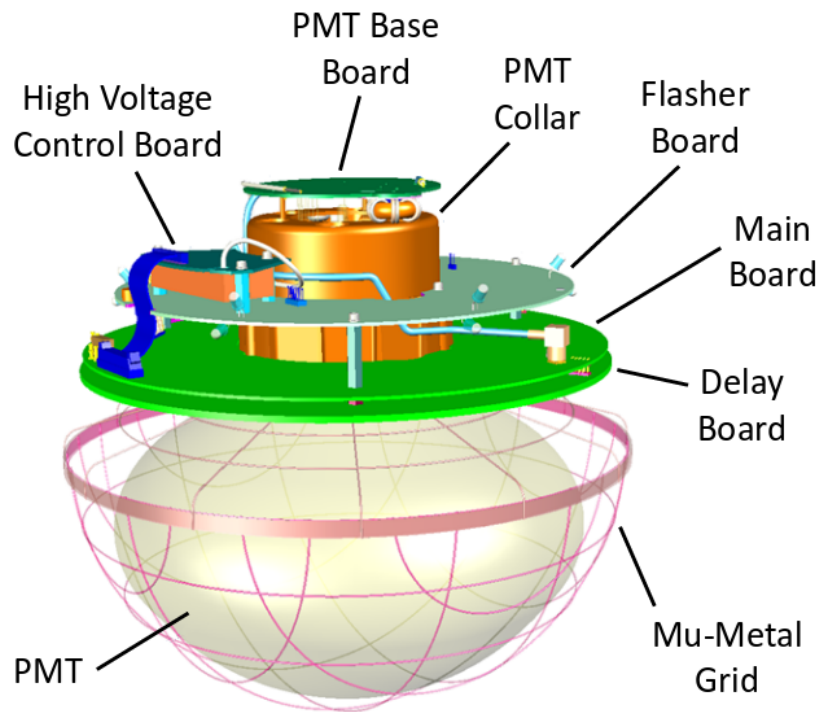


# Energy Losses of High-Energy Muons



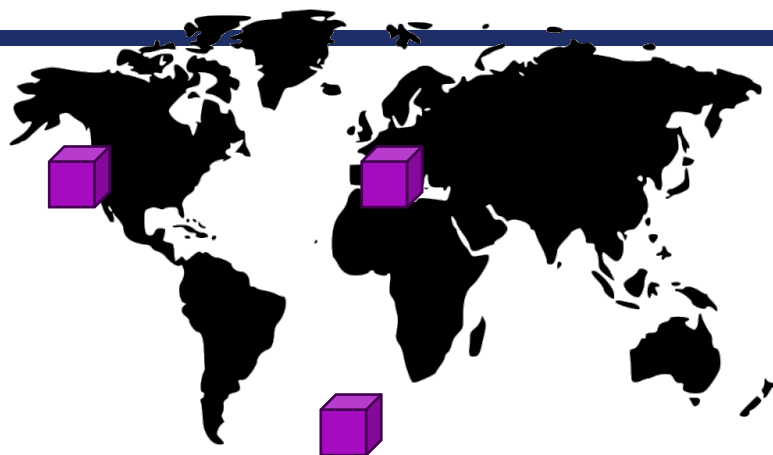
From Christian Haack, ECAP

# What do optical modules measure?

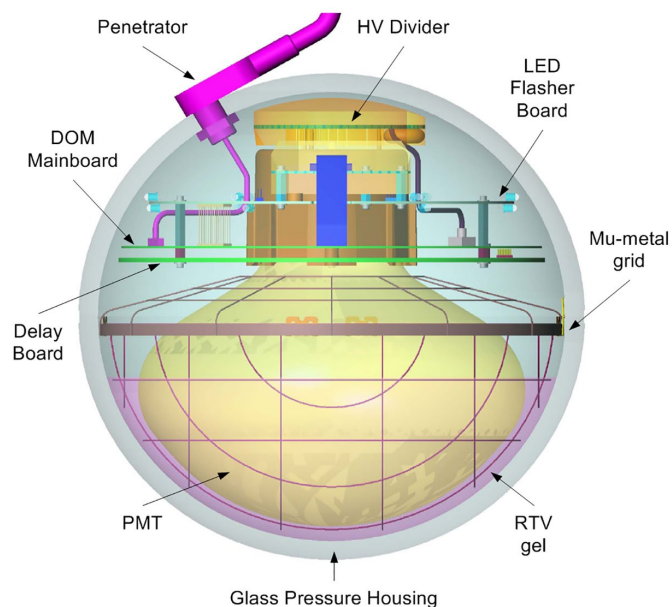


From Christian Haack, ECAP

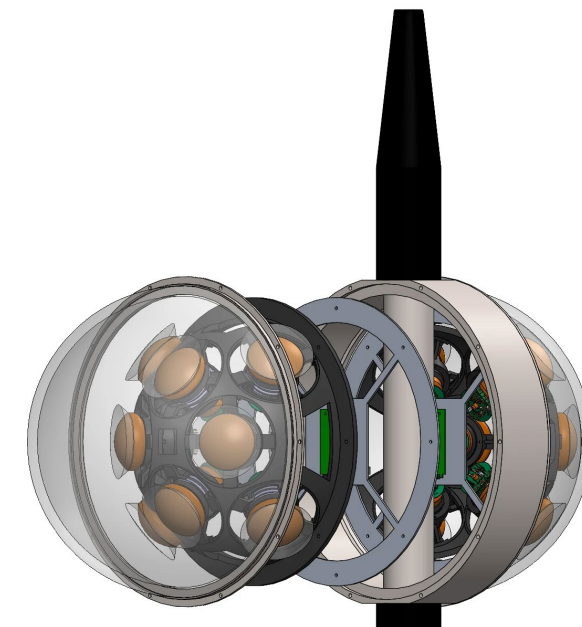
# Different types of optical modules



**KM3NeT – under construction in the Mediterranean Sea**

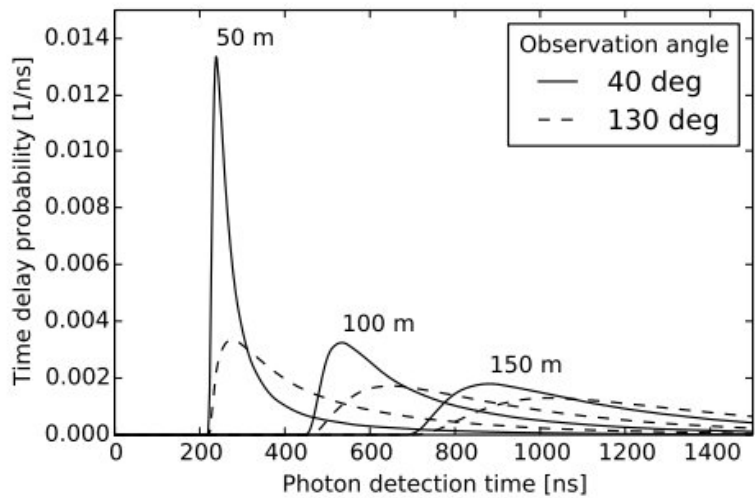
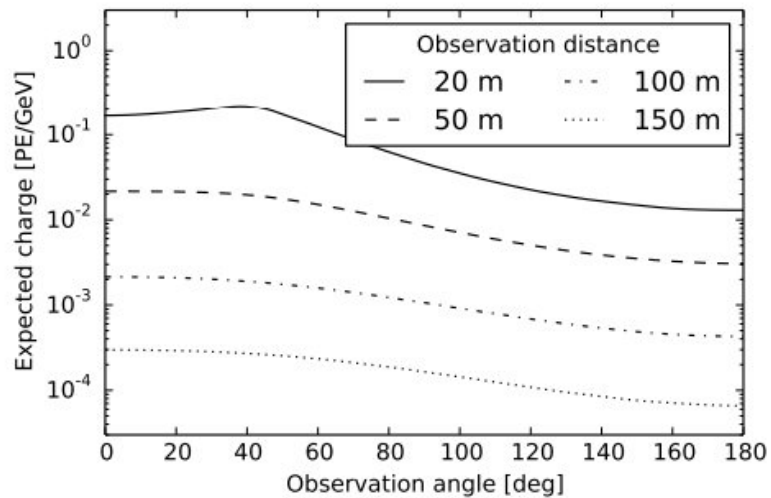


## IceCube at the South Pole

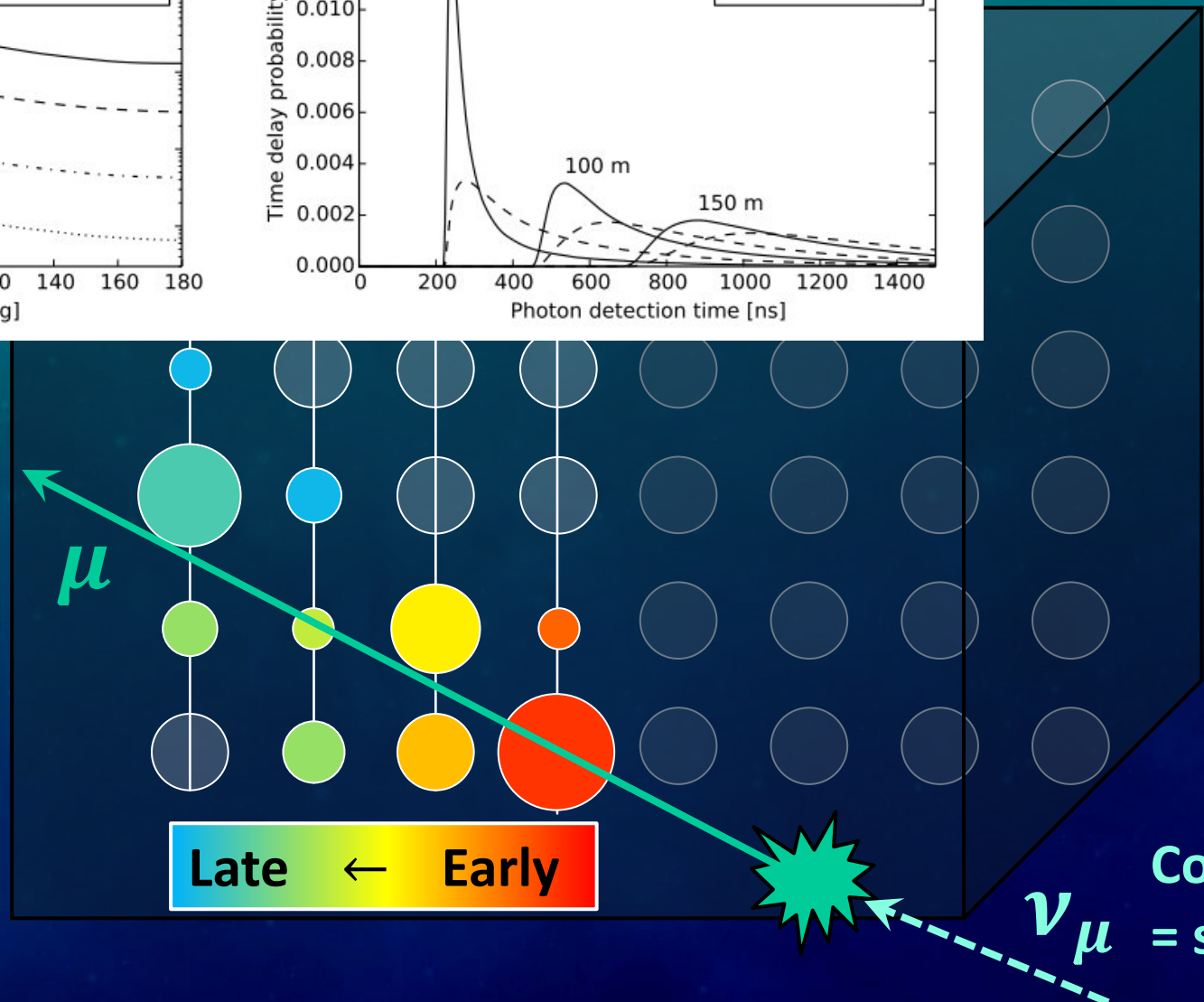


**P-ONE – to be deployed in Pacific Ocean near Vancouver Island**

# 1 GeV EM Cascade



Detection medium:  
ice



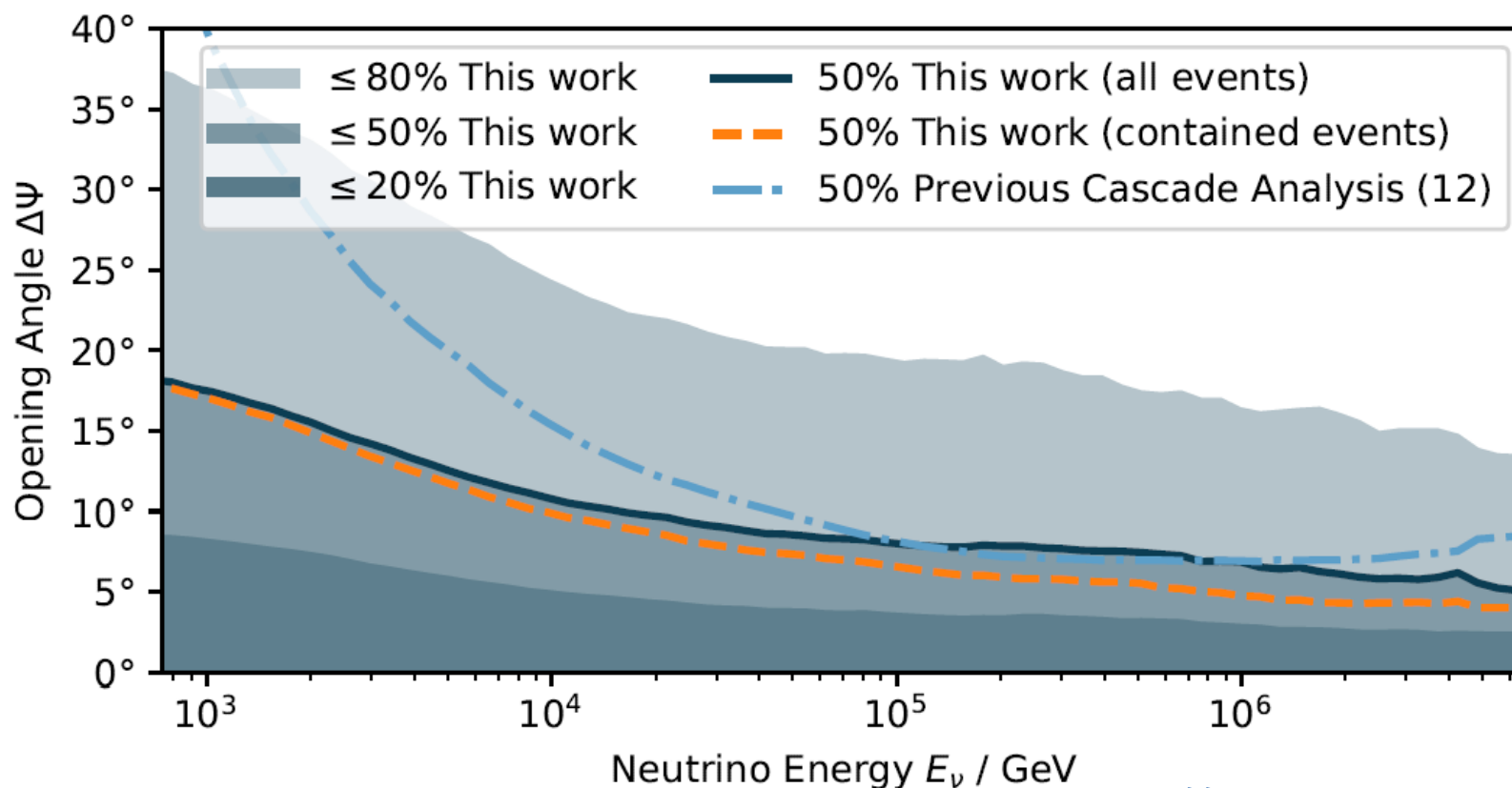
3D array of  
optical modules



Cosmic neutrino  
 $\nu_\mu$  = signal

# Event reconstruction

- Likelihood-based or Machine Learning – or a mixture of both
- All based on photon arrival times and overall number of photons detected

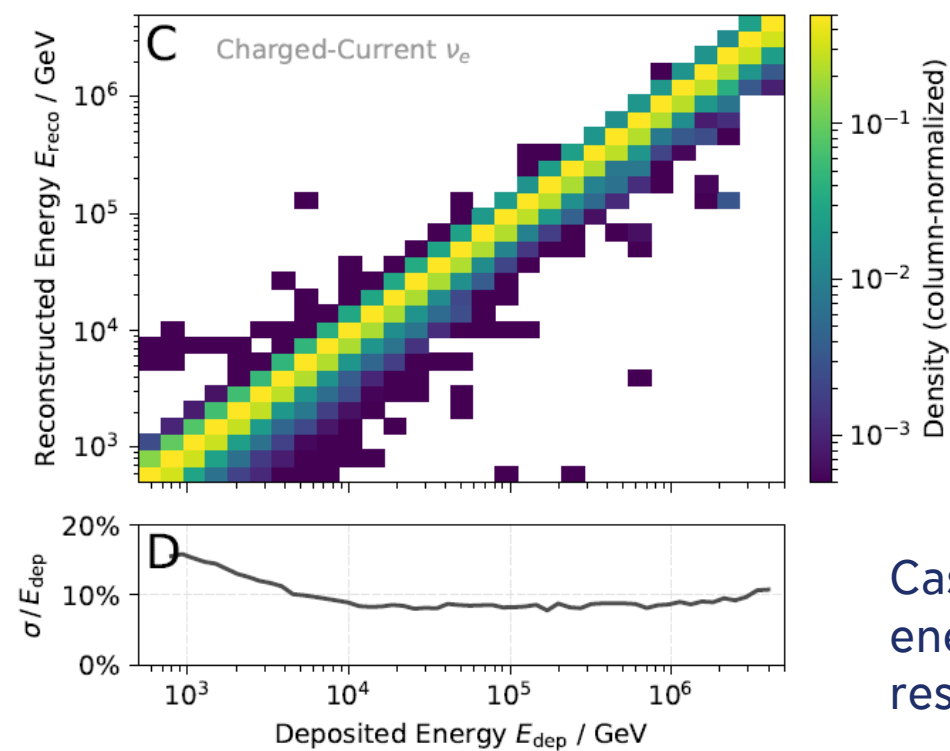
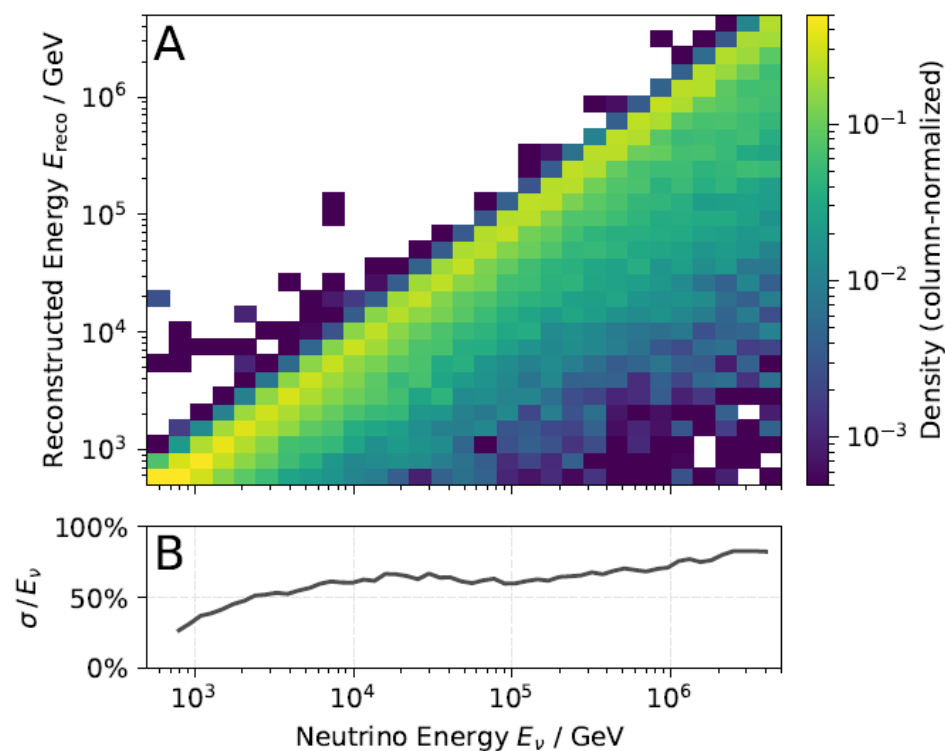


Cascades reconstructed with Convolutional neural network

<https://www.science.org/doi/10.1126/science.adc9818>

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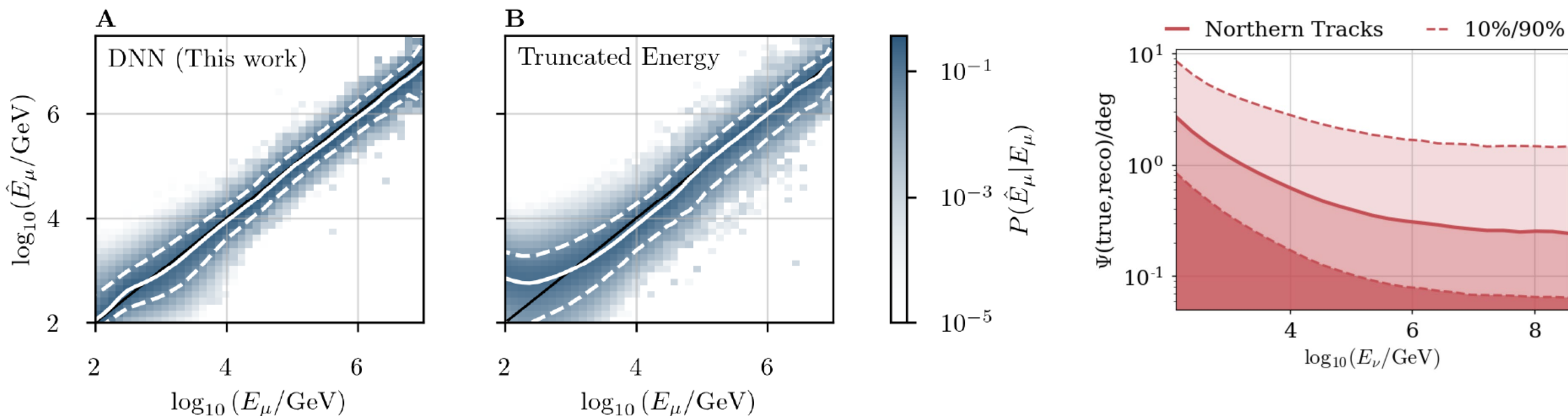


Cascades –  
energy  
resolution

<https://www.science.org/doi/10.1126/science.adc9818>

# Event reconstruction

- Likelihood-based or Machine Learning – or a mixture of both
- All based on photon arrival times and overall number of photons detected



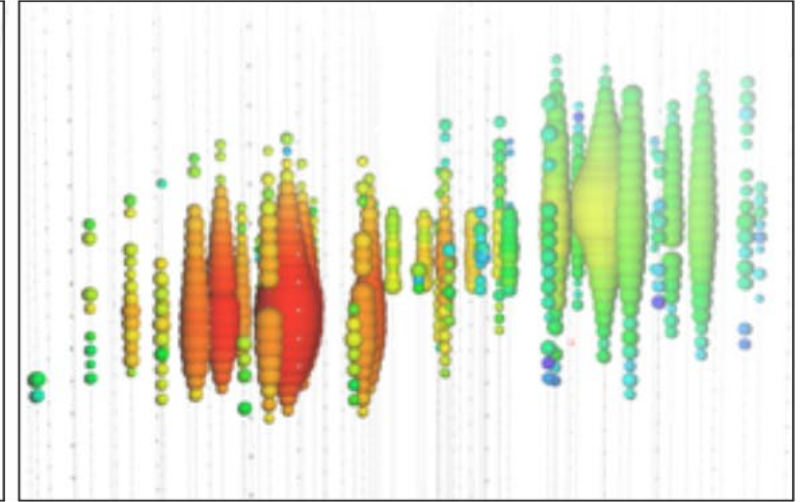
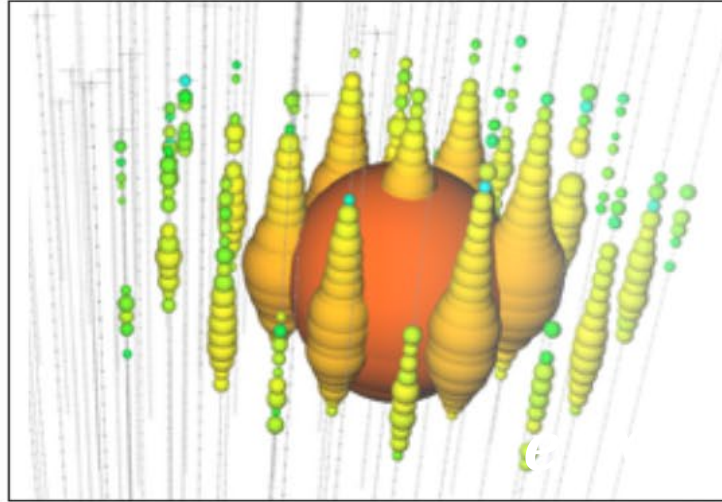
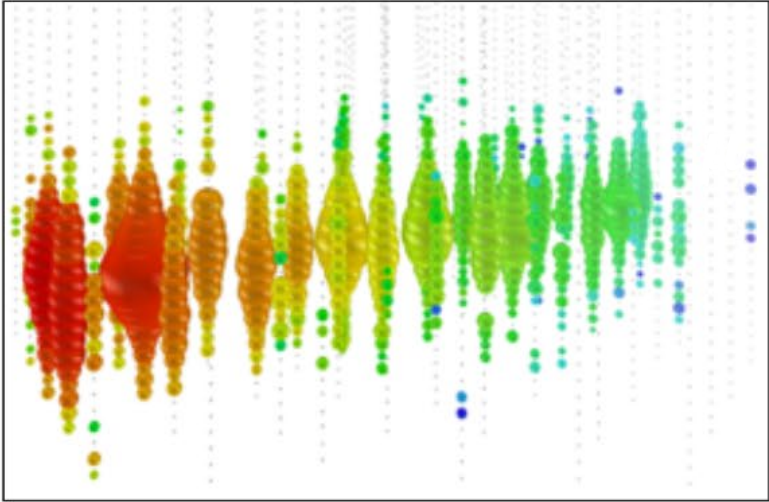
<https://www.science.org/doi/10.1126/science.abg3395>

Muon tracks – energy and angular resolution



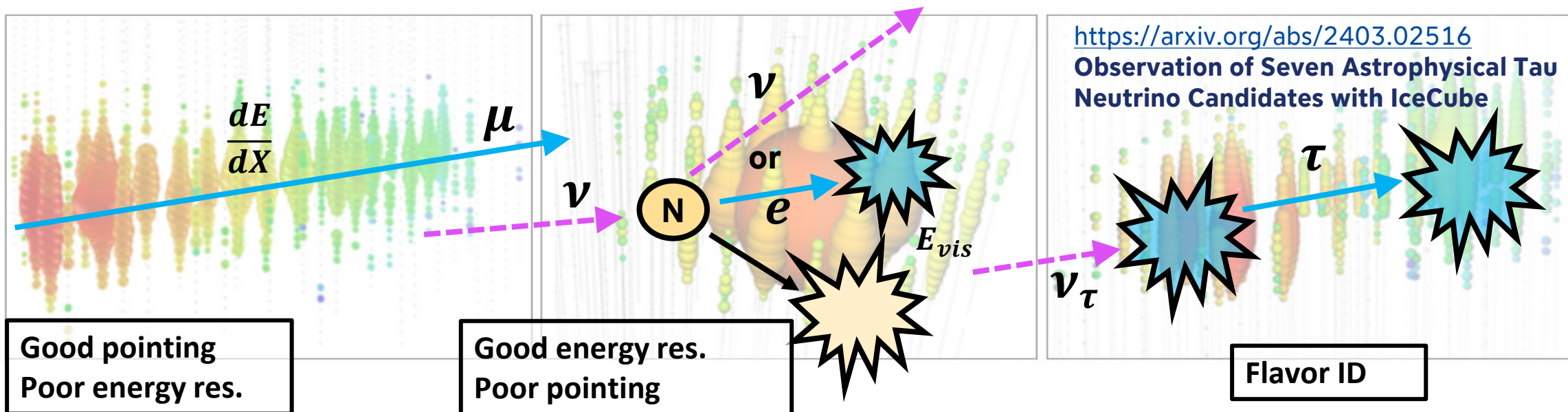
# Neutrino signatures - summary

IceCube-Gen2  
arXiv:2008.04323v1



# Neutrino signatures - summary

IceCube-Gen2  
arXiv:2008.04323v1



## “Tracks”:

- Good directional resolution  $< 1^\circ$
- Poor energy resolution via  $\frac{dE}{dX}$  of muon

## “Cascades”:

- CC  $\nu_e$  &  $\nu_\tau$  interactions + NC all-flavor
- Directional resolution  $\sim 5 - 15^\circ$
- Good resolution of visible energy:  $\sim 10\%$  for CC  $\nu_e$

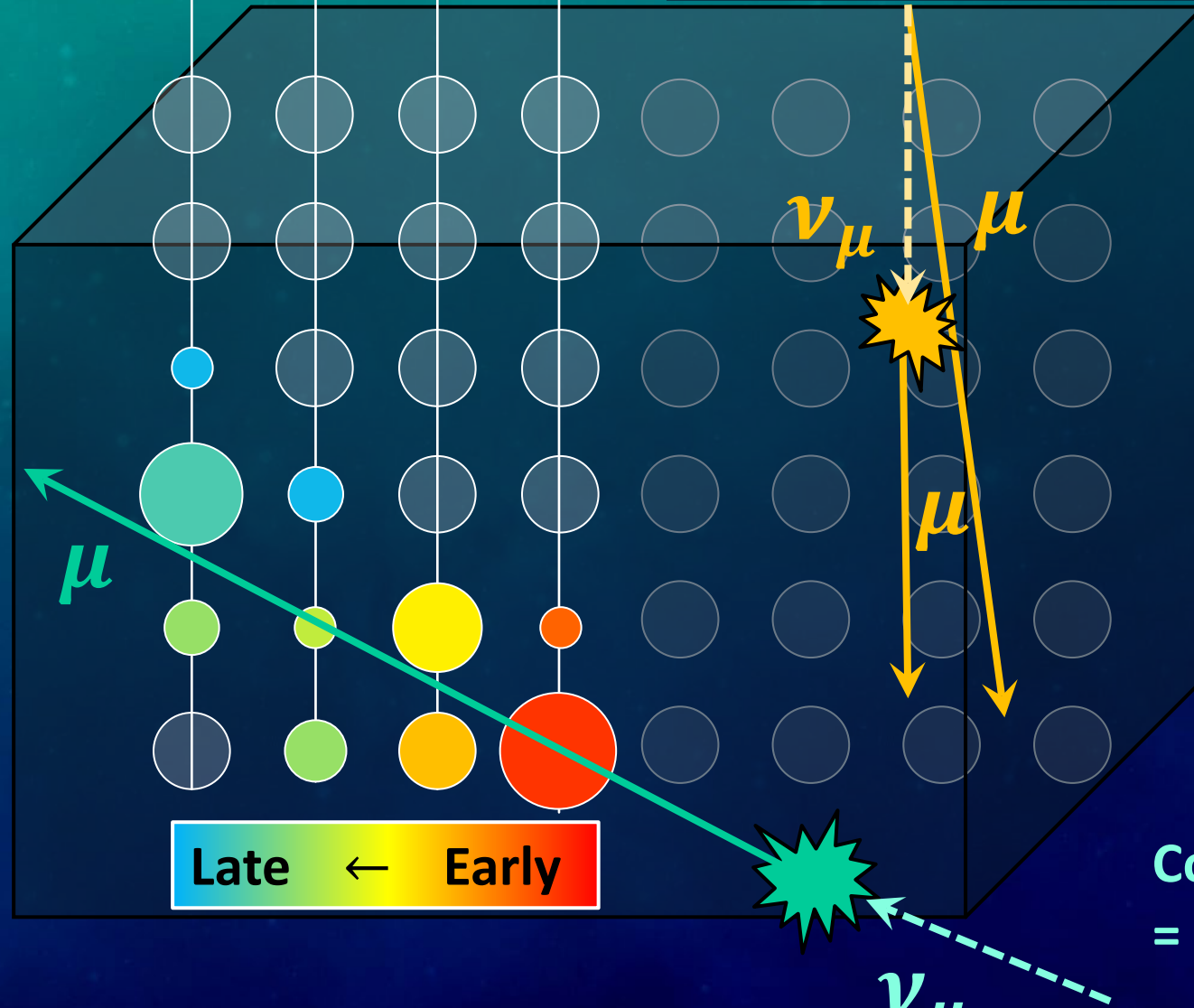
## “Double Bang”:

- CC  $\nu_\tau$  interactions +  $\tau$  decay
- Only resolvable at high energies with distance between cascades

$$\sim 50\text{m} \cdot \left( \frac{E}{\text{PeV}} \right)$$

Muons and muon neutrinos from CR air showers = background

Detection medium:  
km<sup>3</sup> - volume of ice  
or water



3D array of  
optical modules

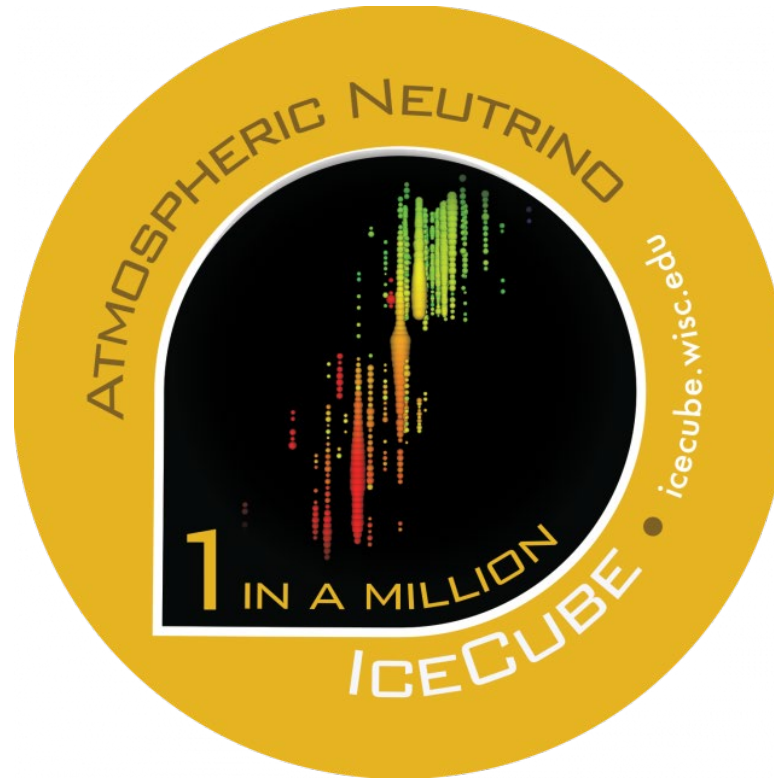


Cosmic neutrino  
= signal

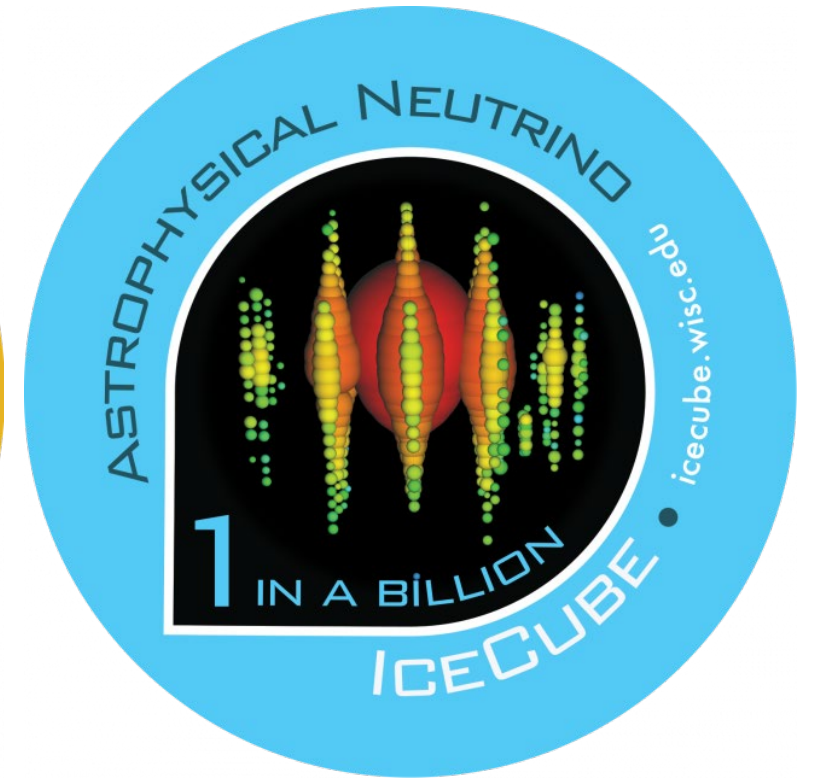
# Needle in a haystack



3000 atmospheric  $\mu$   
per second  
Trigger level



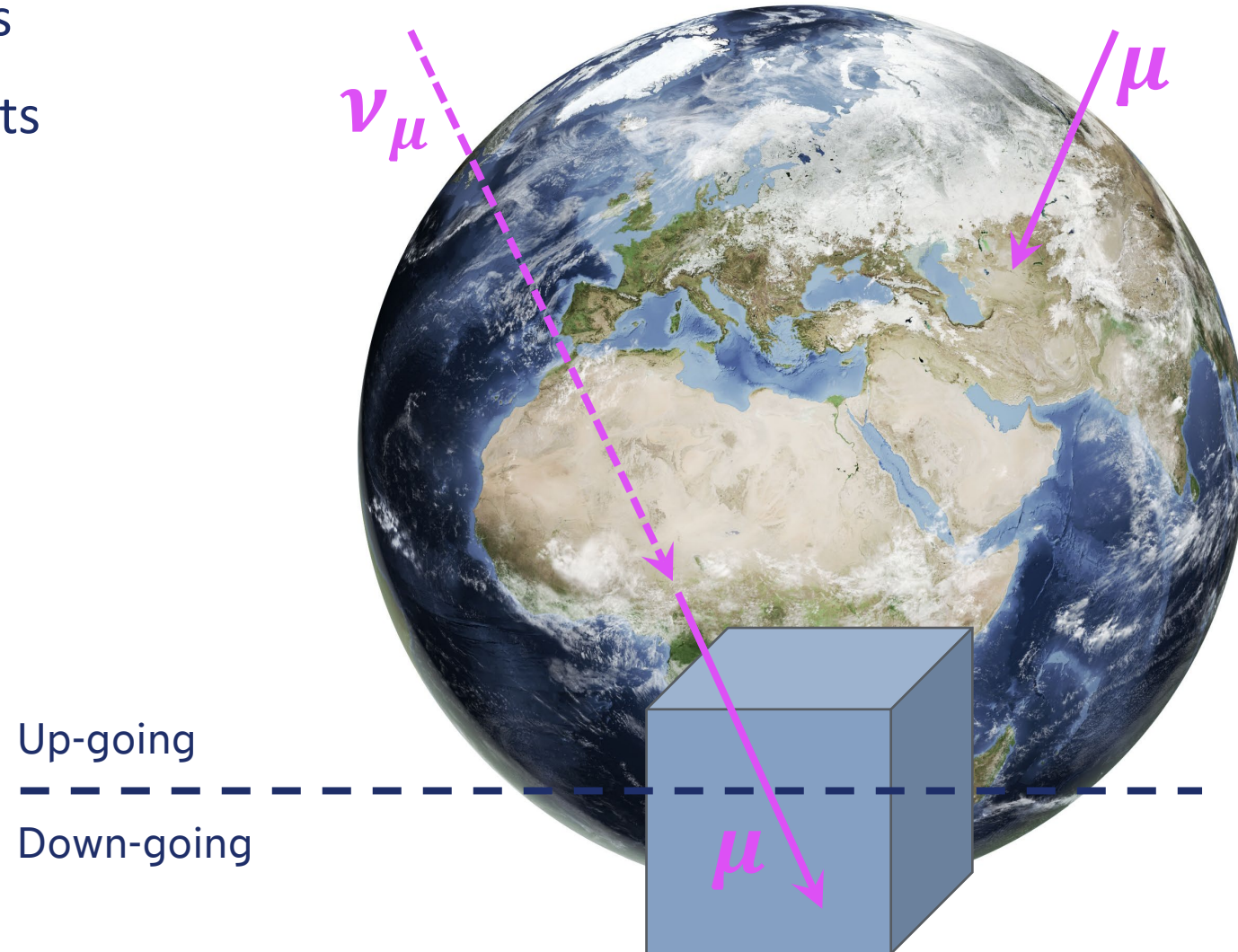
10 atmospheric  $\nu$   
per hour  
Cleaned data



100 astrophysical  $\nu$   
per year  
Cleaned data

# Neutrino selection

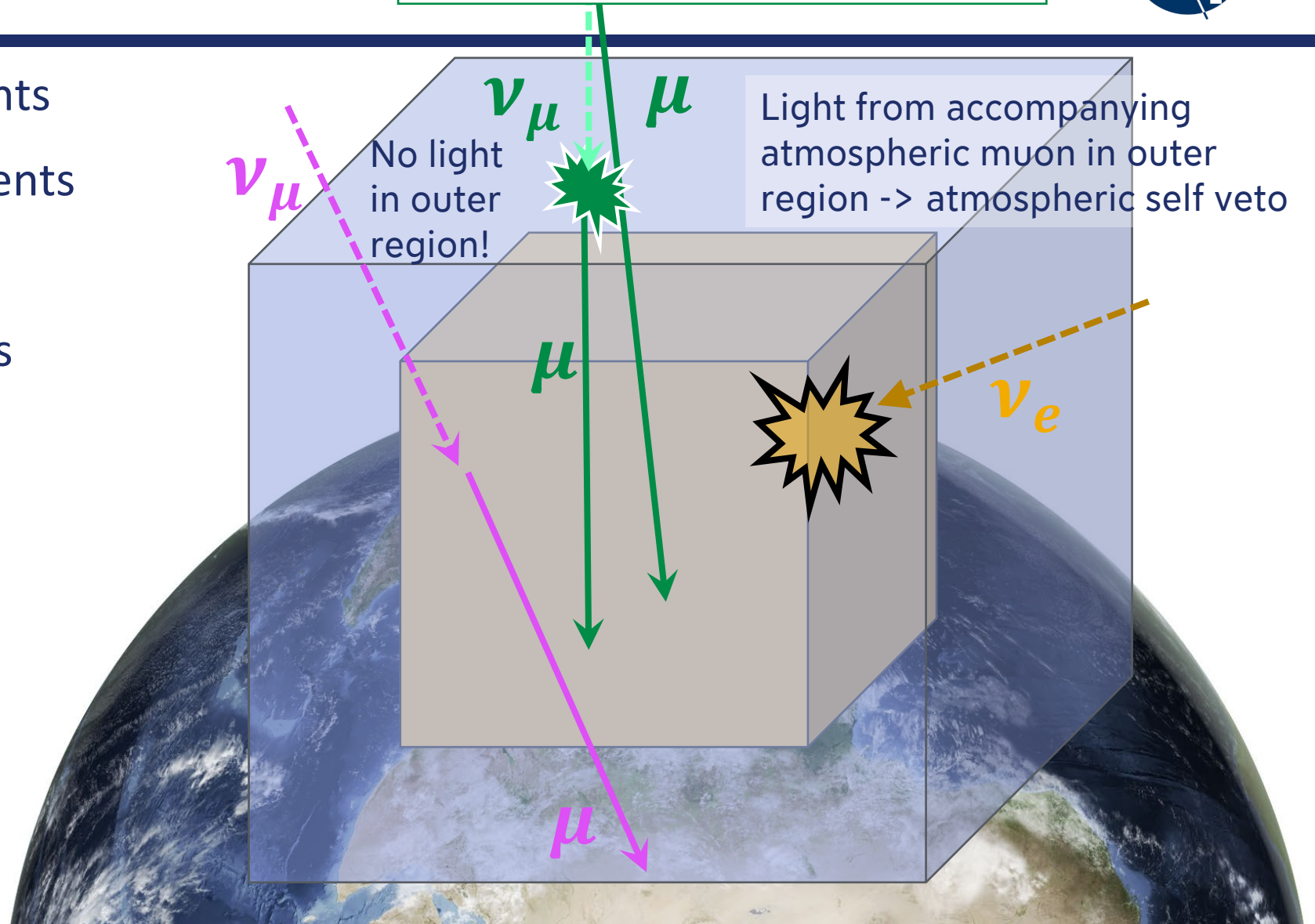
- a) Select “up-going” events
- b) Select “contained” events



# Neutrino selection

Muons and muon neutrinos from CR air showers = background

- a) Select “up-going” events
- b) Select “contained” events
- a) Starting events
- b) Contained cascades



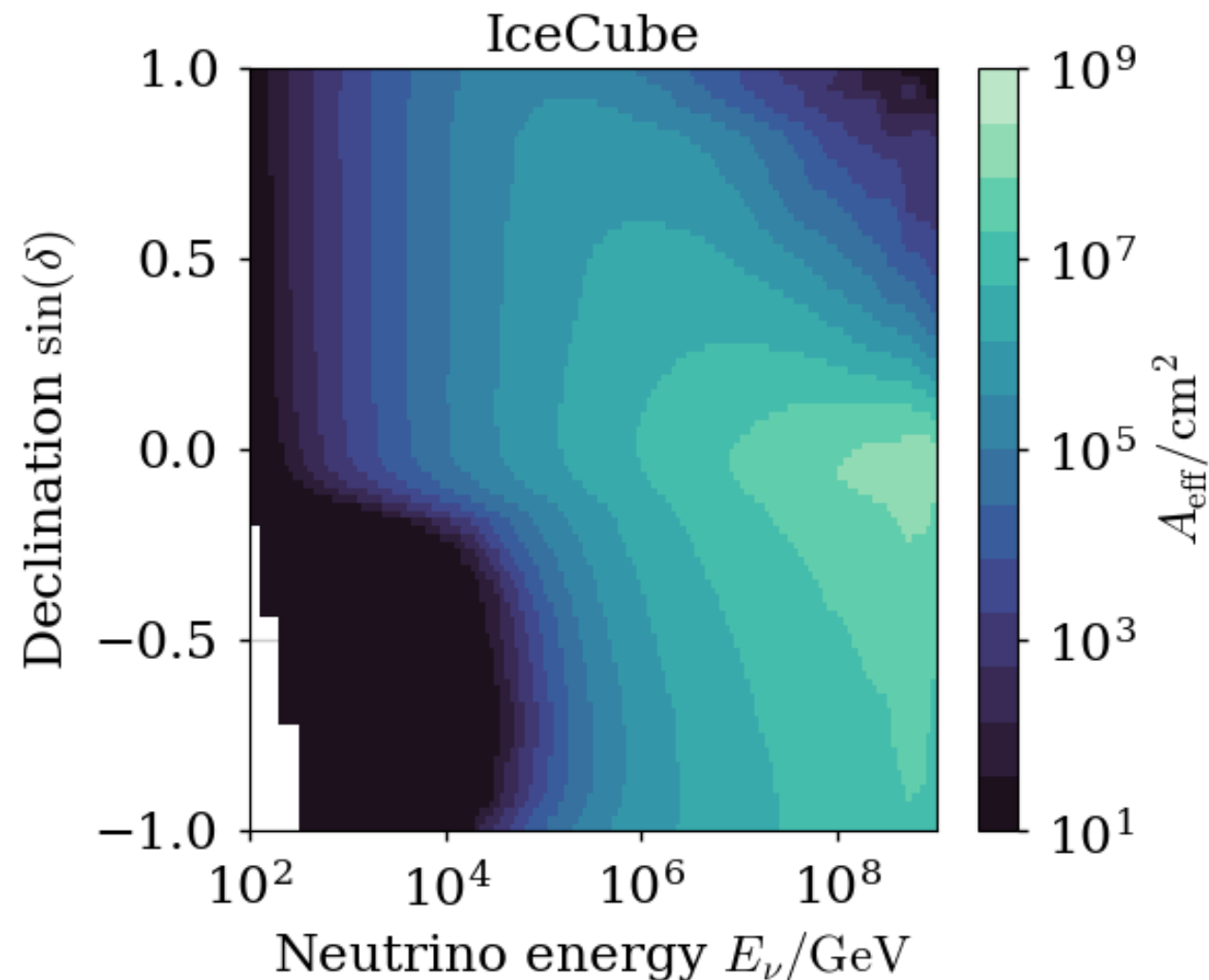
# Effective area – what’s that?

- “Effective area” is the imagined detection area (perpendicular to the neutrino direction) a detector with 100% efficiency would need to have to match the neutrino flux to the observed event rate

$$N_{\nu}(\sin(\delta_{\text{src}})) = T_{\text{live}} \cdot \int_{E_{\text{min}}}^{E_{\text{max}}} dE A_{\text{eff}}(E, \sin(\delta_{\text{src}})) \cdot \frac{d\Phi_{\text{src}}}{dE}$$

- Fold in transmission and interaction probability, selection efficiency and geometric area (usually MC-based)

$$A_{\text{eff}}(E, \vec{\Omega}) = T_{\text{Earth}}(E_{\nu}, \vec{\Omega}) \otimes P_{\nu \rightarrow \mu}(E_{\nu}, E_{\mu}, R) \otimes \epsilon_{\text{select}}(E_{\mu}, \vec{\Omega}) \otimes A_{\text{geo}}(\vec{\Omega})$$



# Effective area – what’s that?

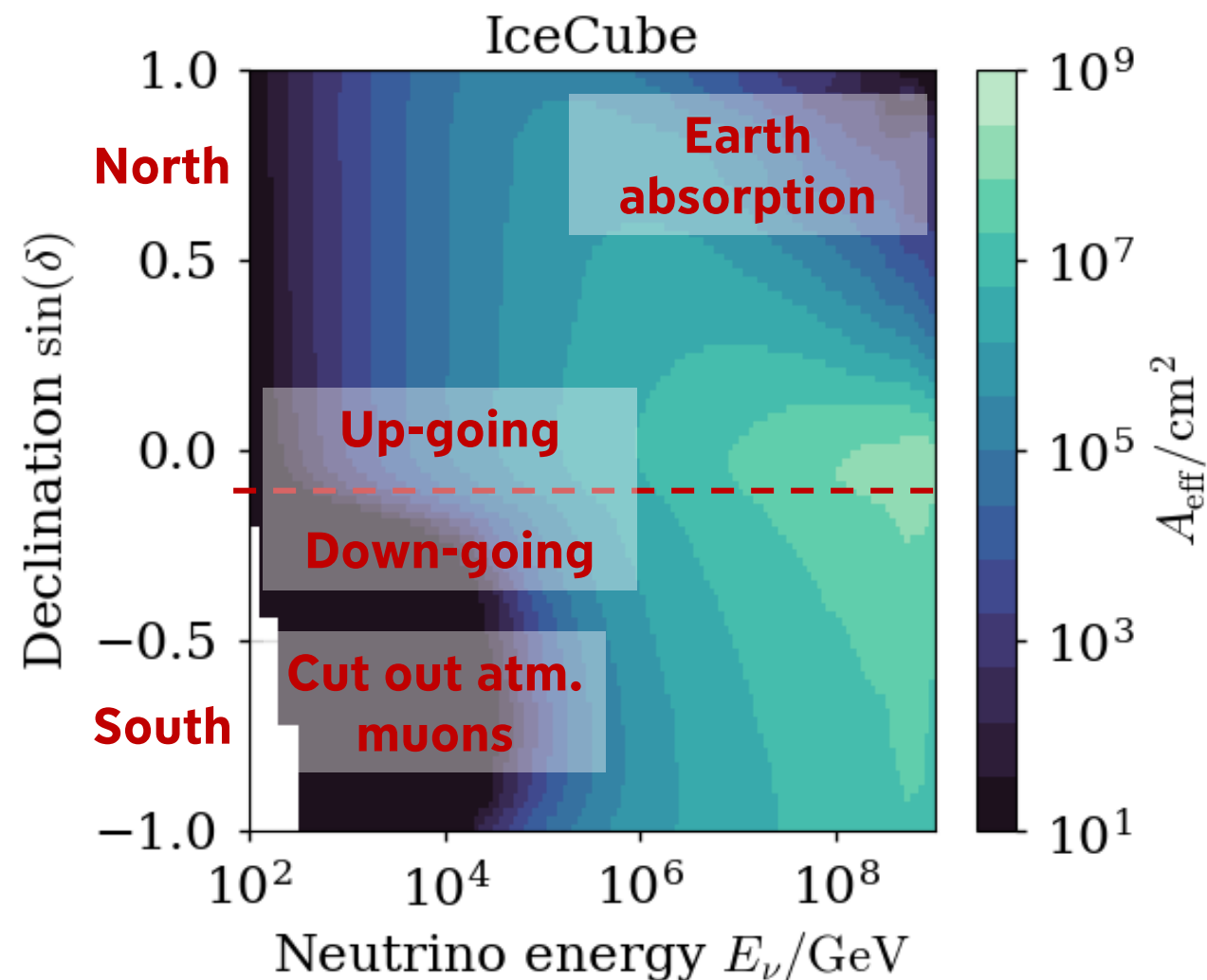
## Muon tracks

- “Effective area” is the imagined detection area (perpendicular to the neutrino direction) a detector with 100% efficiency would need to have to match the neutrino flux to the observed event rate

$$N_\nu(\sin(\delta_{\text{src}})) = T_{\text{live}} \cdot \int_{E_{\text{min}}}^{E_{\text{max}}} dE A_{\text{eff}}(E, \sin(\delta_{\text{src}})) \cdot \frac{d\Phi_{\text{src}}}{dE}$$

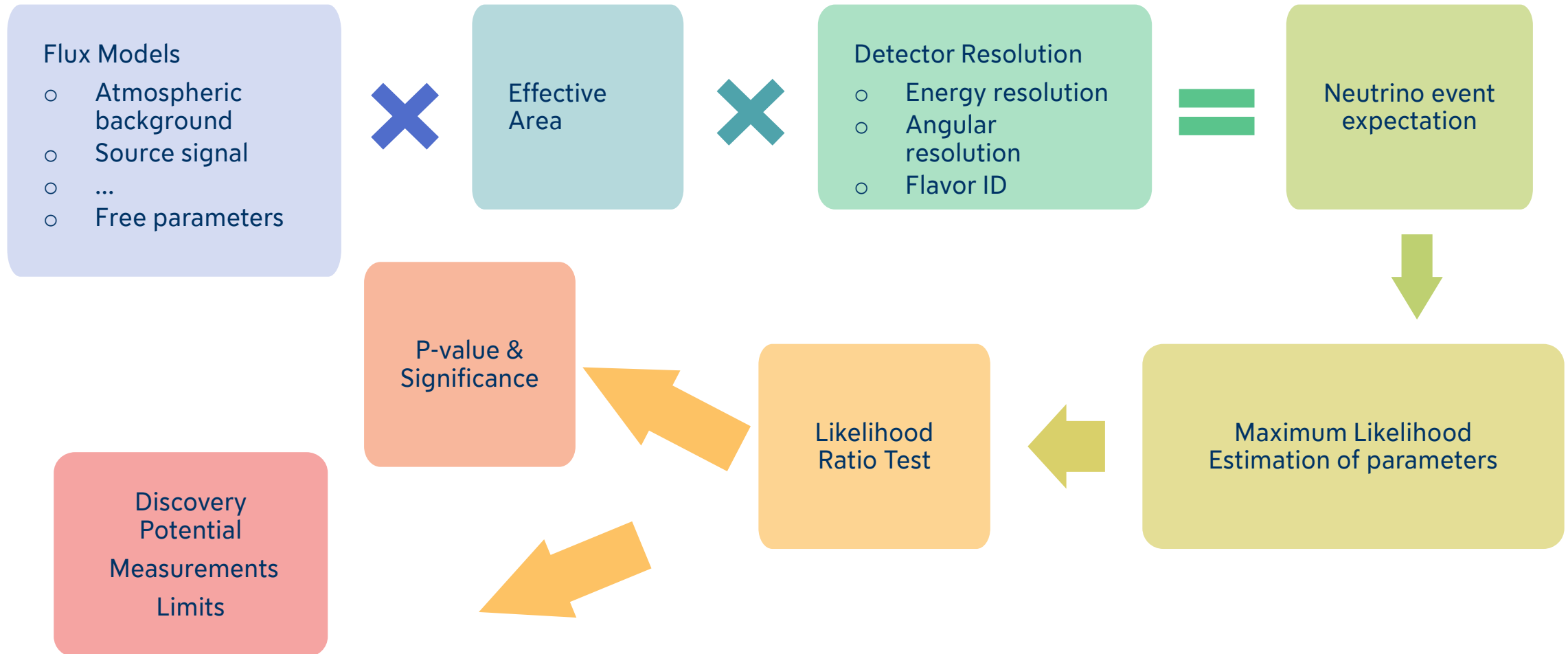
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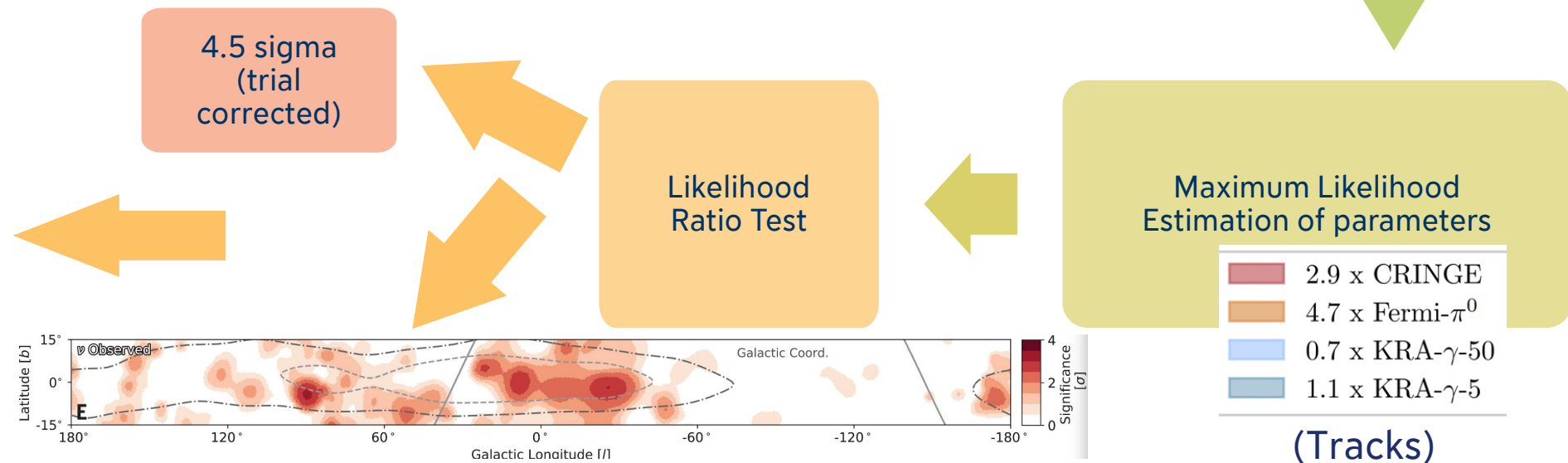
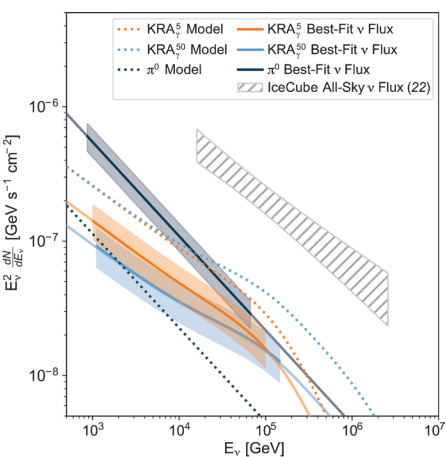
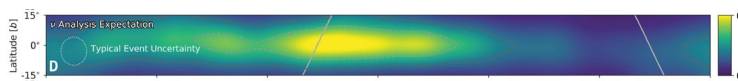
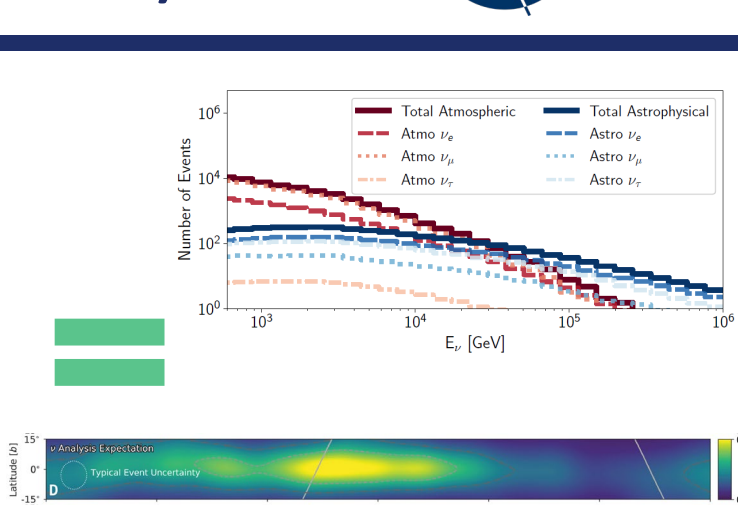
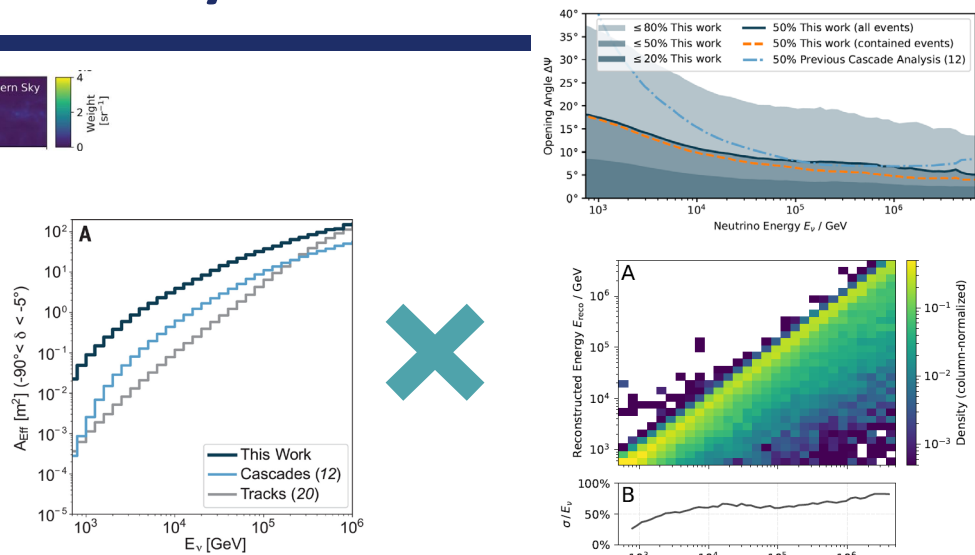
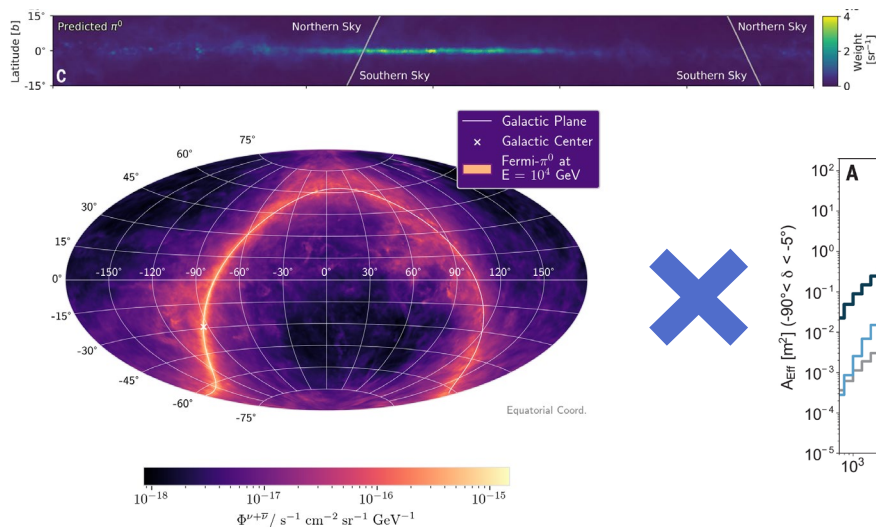




# Generic analysis method



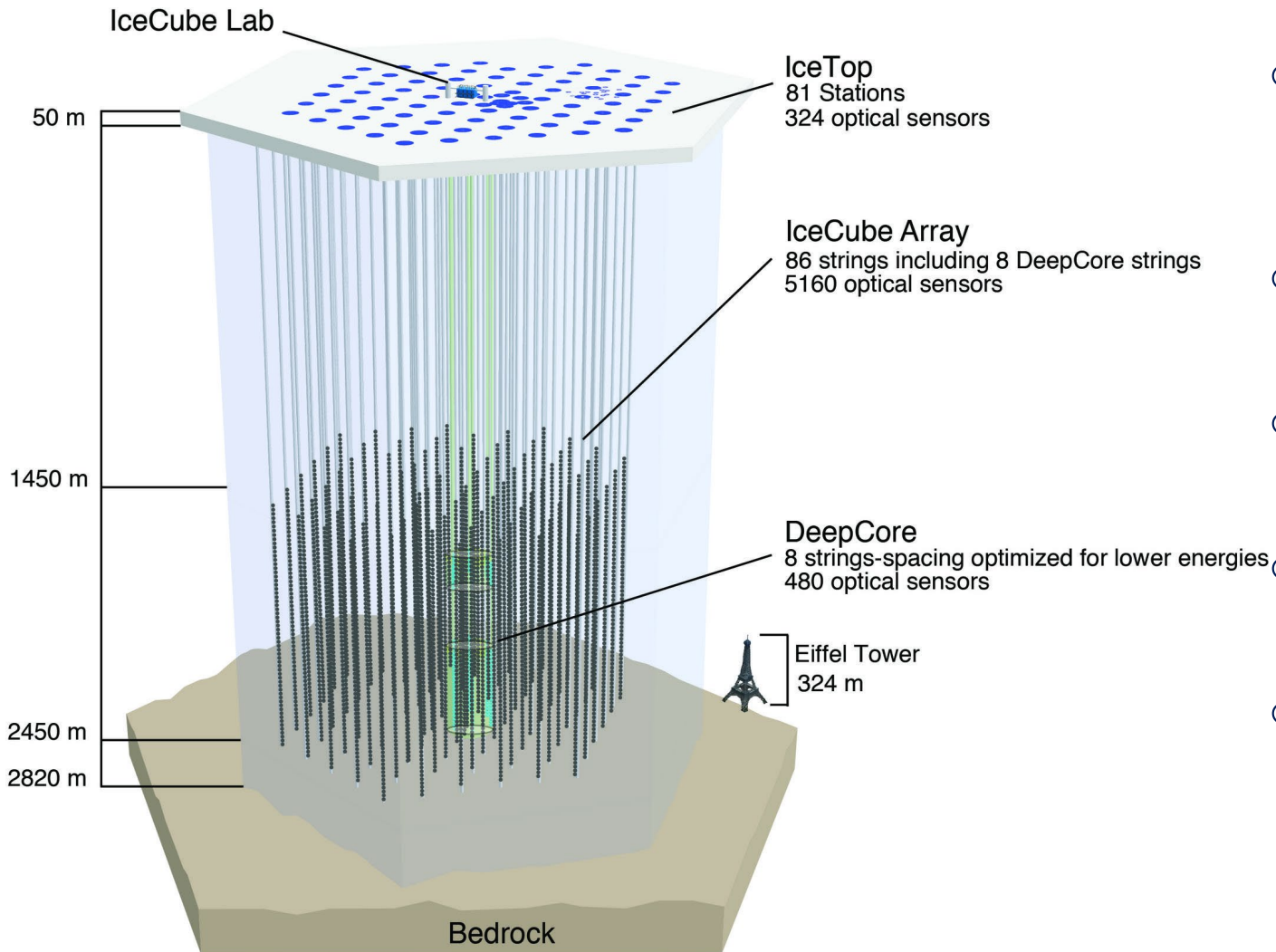
# Galactic plane - analysis method (cascades)



# Recent results from IceCube

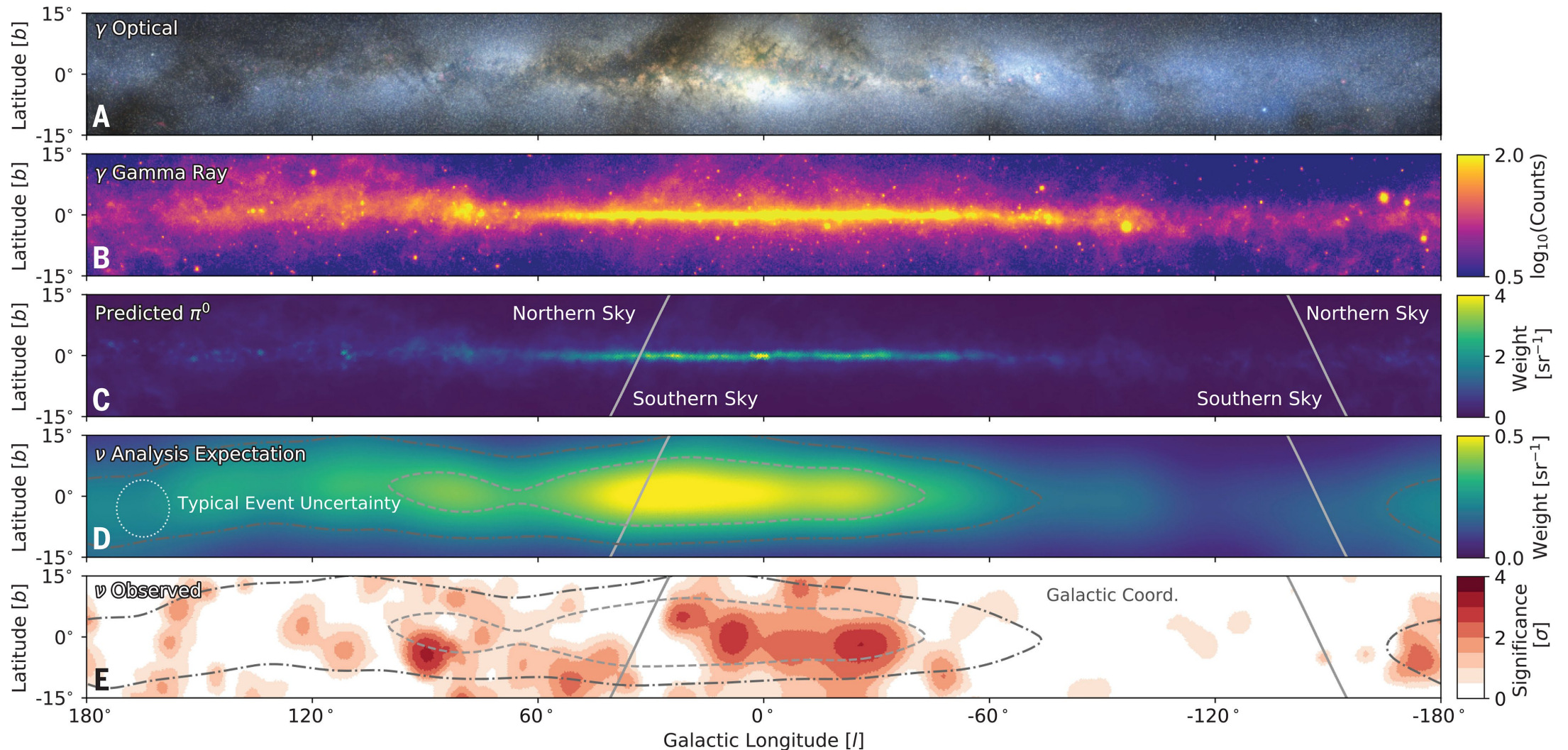
A very incomplete selection

# The IceCube Neutrino Observatory



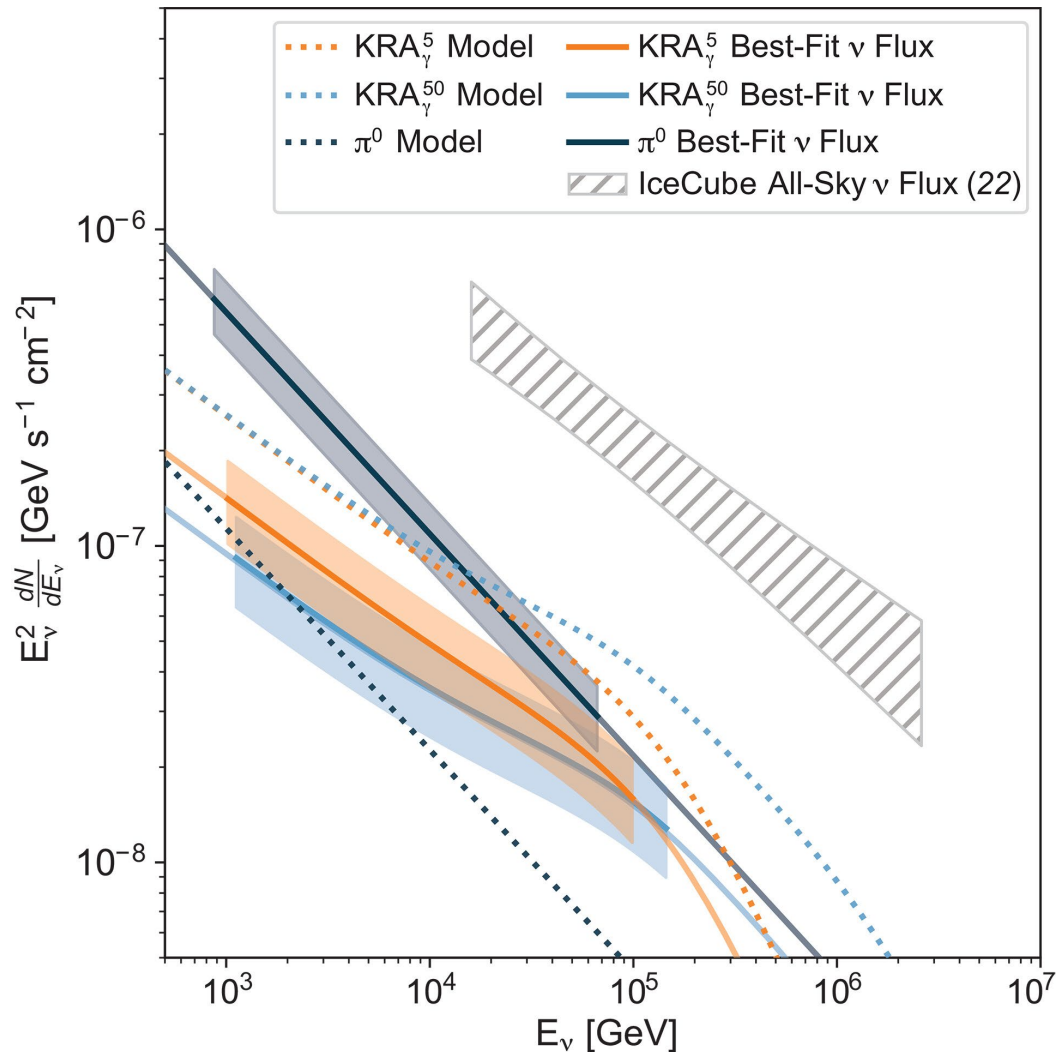
- Located at the geographic south pole 1.45-2.45 km deep in the ice with an instrumented volume of  $1 \text{ km}^3$
- 86 Strings with 60 Digital Optical Modules (DOMs) = 5160 DOMs in total
- Sparse instrumentation! 17m vertical & 125m horizontal spacing
- Full configuration running with >99% uptime since 2011
- Multi-purpose instrument for neutrino astronomy, neutrino physics, particle physics, physics beyond the standard model, ...

# Galactic neutrinos



# Galactic plane – diffuse emission

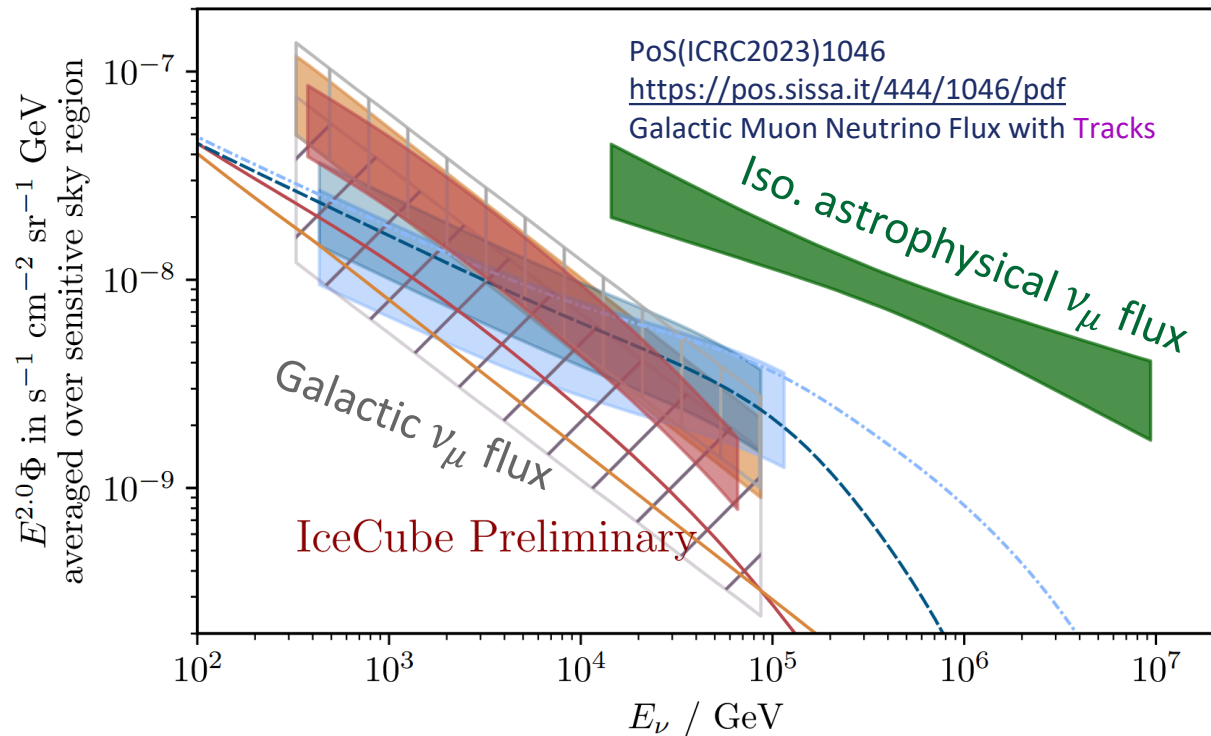
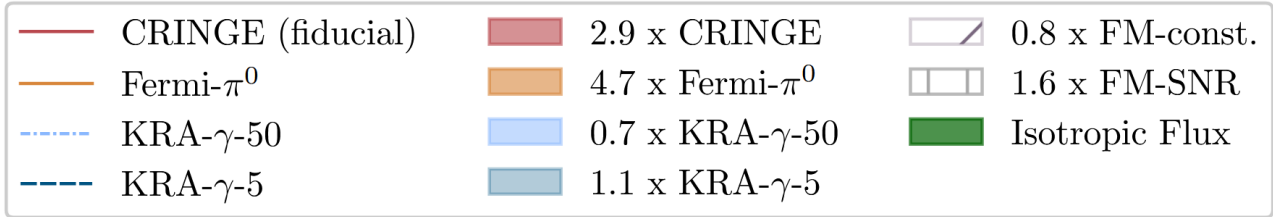
<https://doi.org/10.1126/science.adc9818>



- Observation of Neutrinos from the Galactic Plane with a novel machine-learning reconstruction of Cascades - **Significance: 4.5σ**
- Galactic Muon Neutrino Flux with **Tracks** **Significance: 2.7σ**
- View of our Galaxy at highest energies & complementary to Fermi and LHAASO gamma rays
- Results using **tracks** are not yet significant, but are compatible with cascades
- Work ongoing for combining these independent data sets

# What we (don't) know

<http://dx.doi.org/10.3847/1538-4357/acc1e2> CRINGE as baseline model



Tested models differ in underlying model assumptions  
 -> different energy spectrum & spatial distribution

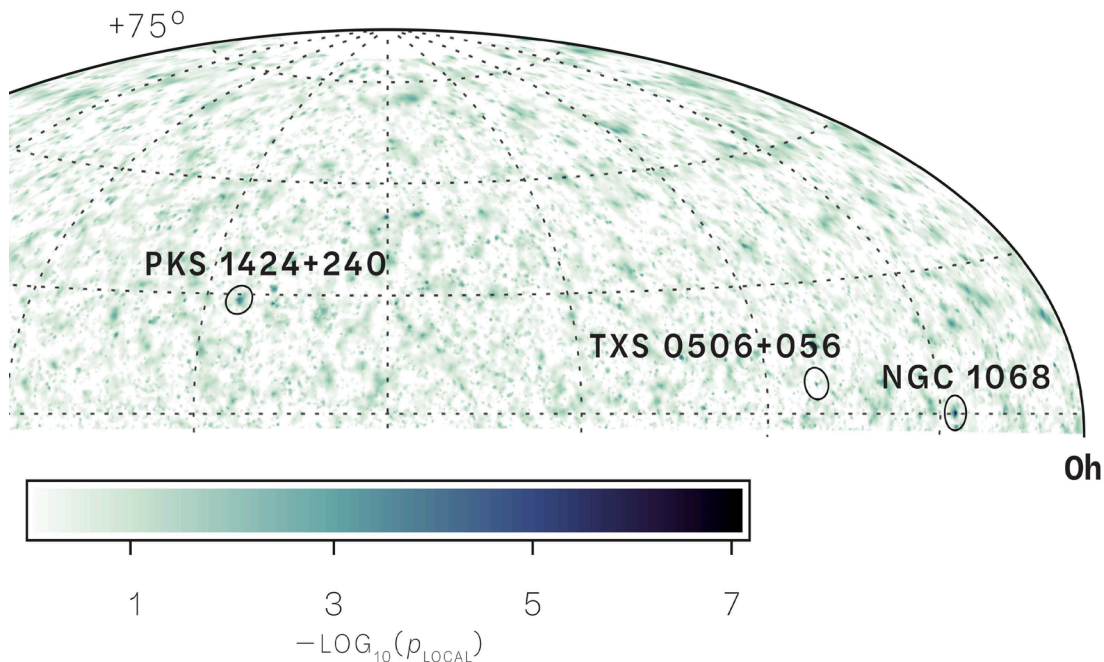
Galactic Muon Neutrino Flux with Tracks  
**Significance: 2.7 $\sigma$**

✓ About 6-13% of total astrophysical neutrino flux comes from GP (based on simultaneous fit of both components)

- ❑ Multiple diffuse neutrino emission models were tested, but no clear preference yet
- ❑ Normalization larger than expected could hint at sources in addition to diffuse emission, but still speculative

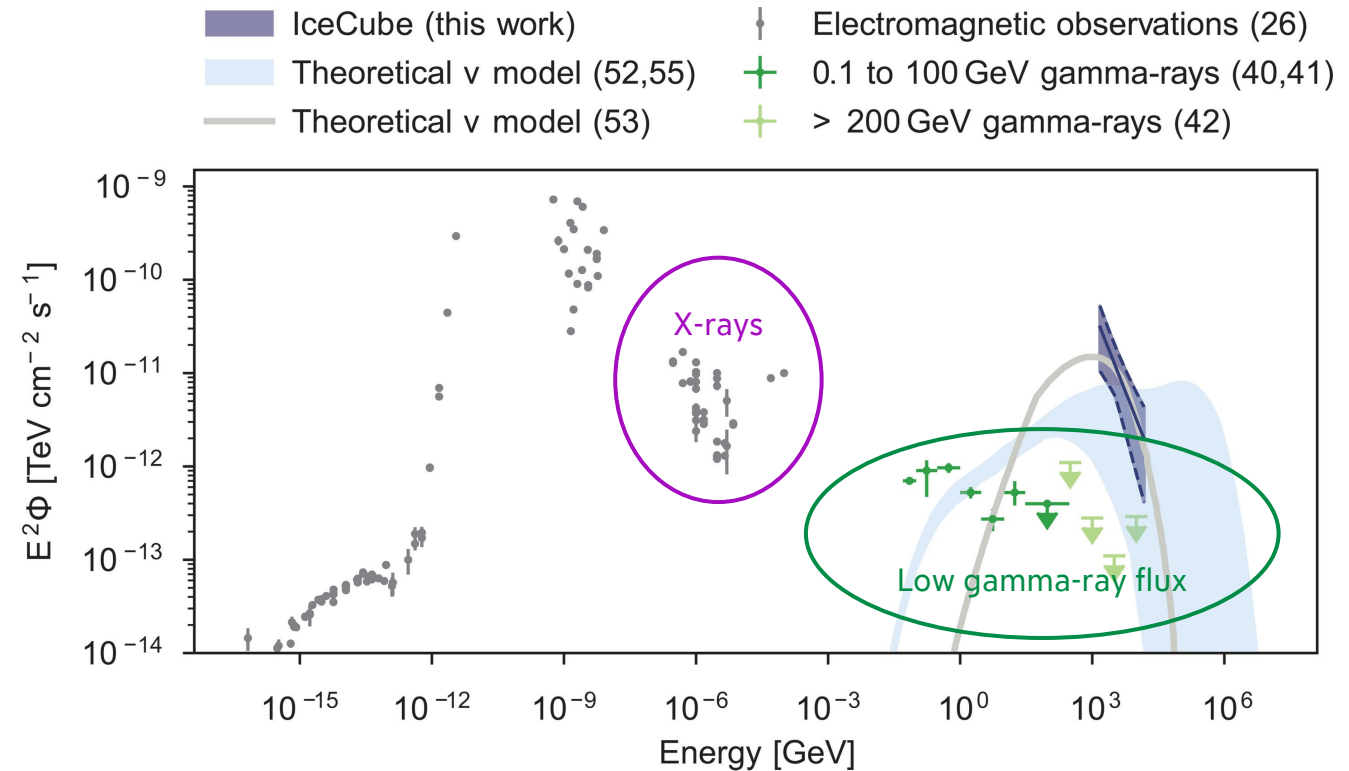
# NGC 1068

- Evidence for neutrino emission from NGC 1068 with significance of  $4.2\sigma$  using tracks
- Significance based on pre-defined list of 110 gamma-ray sources – local significance  $> 5\sigma$



<https://doi.org/10.1126/science.abg3395>

- Seyfert II galaxy at a distance of 14.4 Mpc (very close by!) with Compton-thick AGN
- X-Ray corona around accretion disk may enable neutrino production & gamma-ray absorption

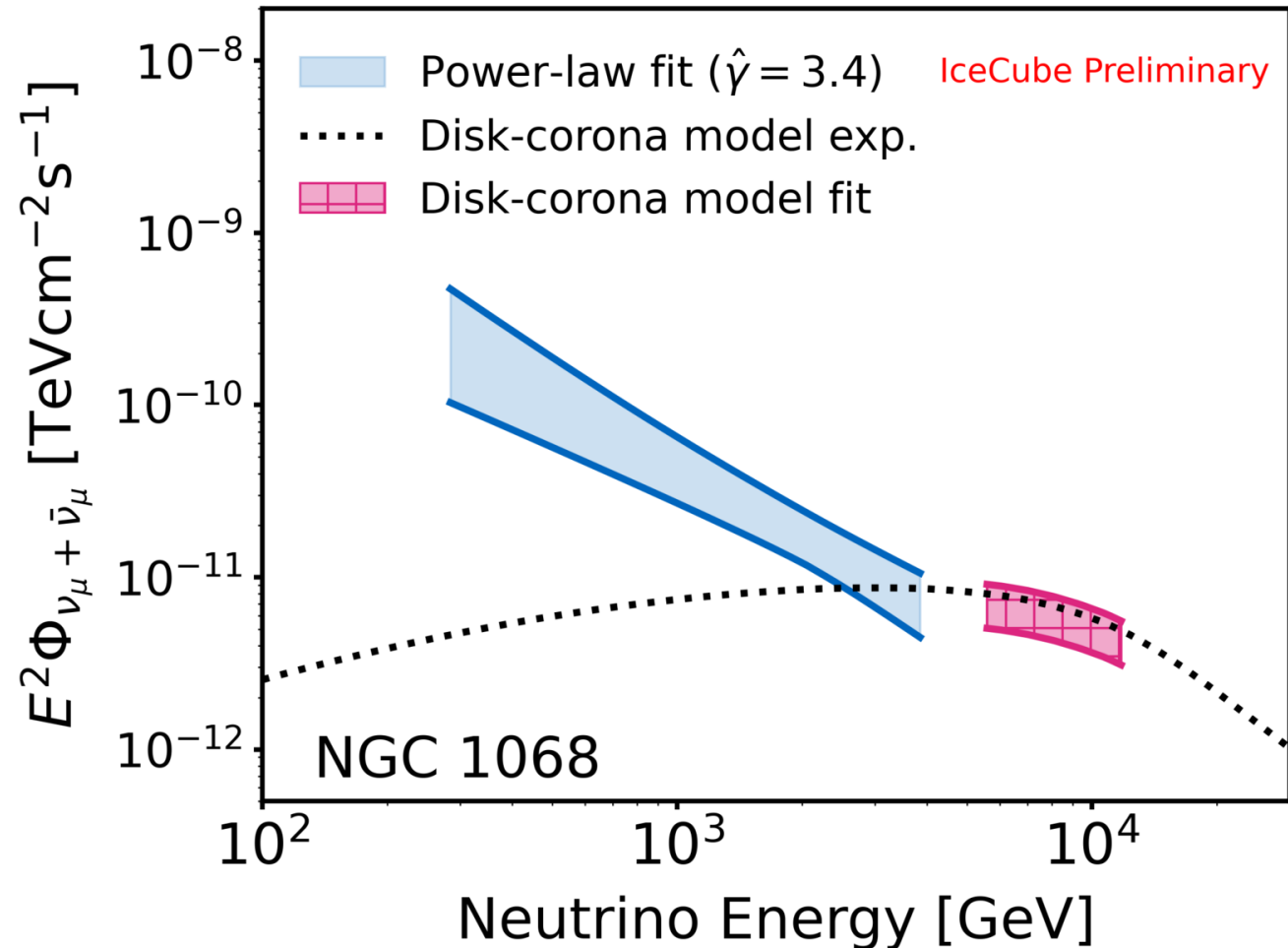




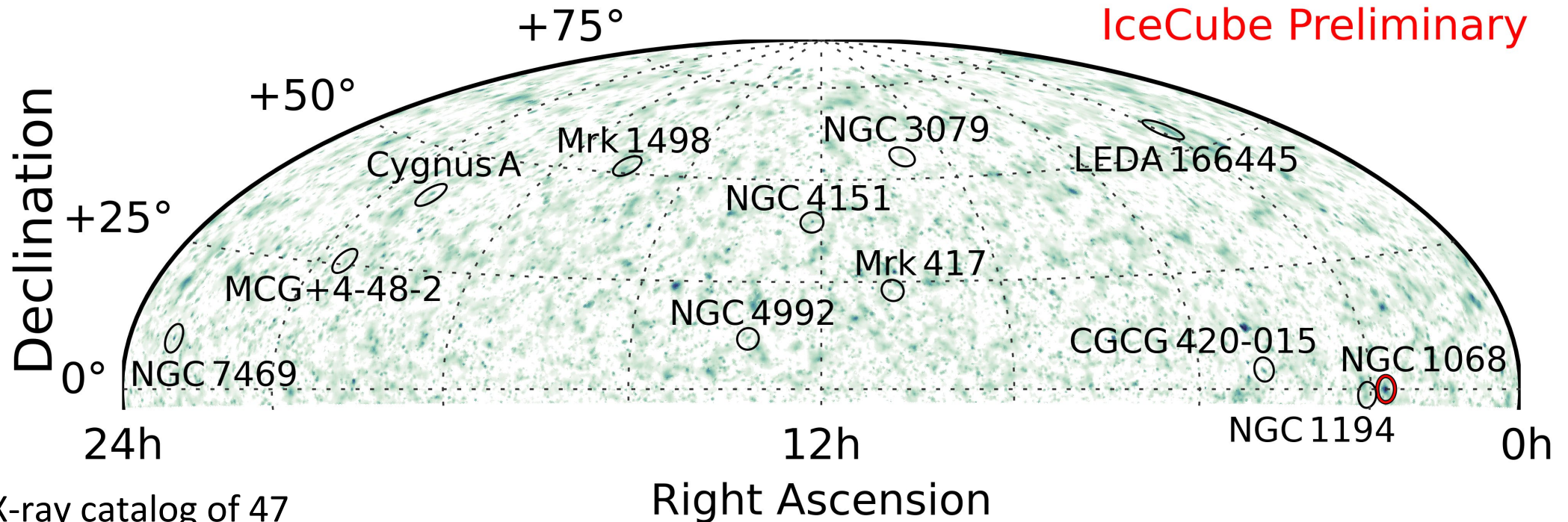
# New results on NGC 1068

- Spectrum became softer -  $E^{-\gamma}$  with  $\gamma = 3.2 \rightarrow 3.4$
- Model prediction similarly significant, but fundamentally different spectral shape – further investigations needed!

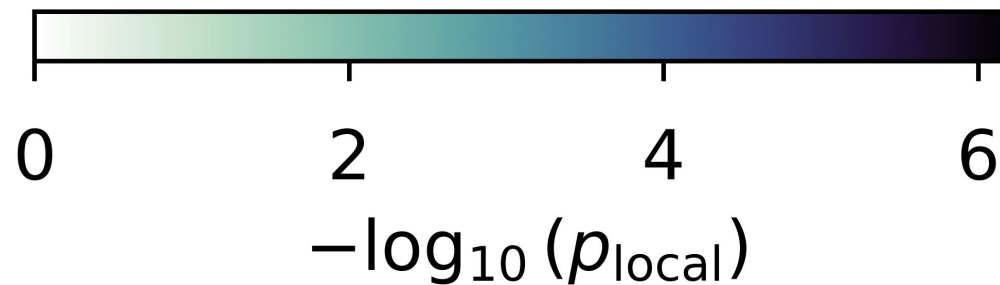
Disk-corona model based on  
<https://doi.org/10.3847/1538-4357/ac1c77>



# Newest addition to x-ray/neutrino puzzle



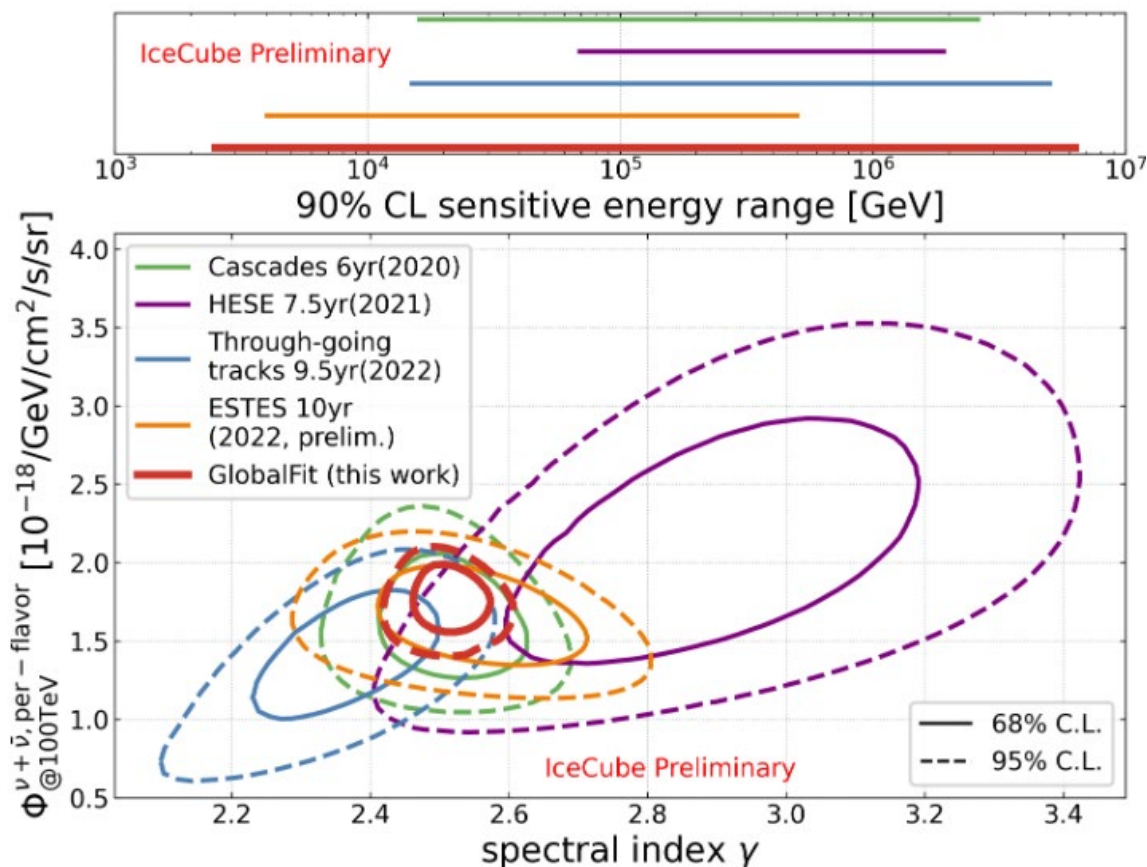
- Seyfert – X-ray catalog of 47 sources yields 11 neutrino source candidates (excl. NGC 1068) above background expectation
- Significance after correction:  $3.3\sigma$



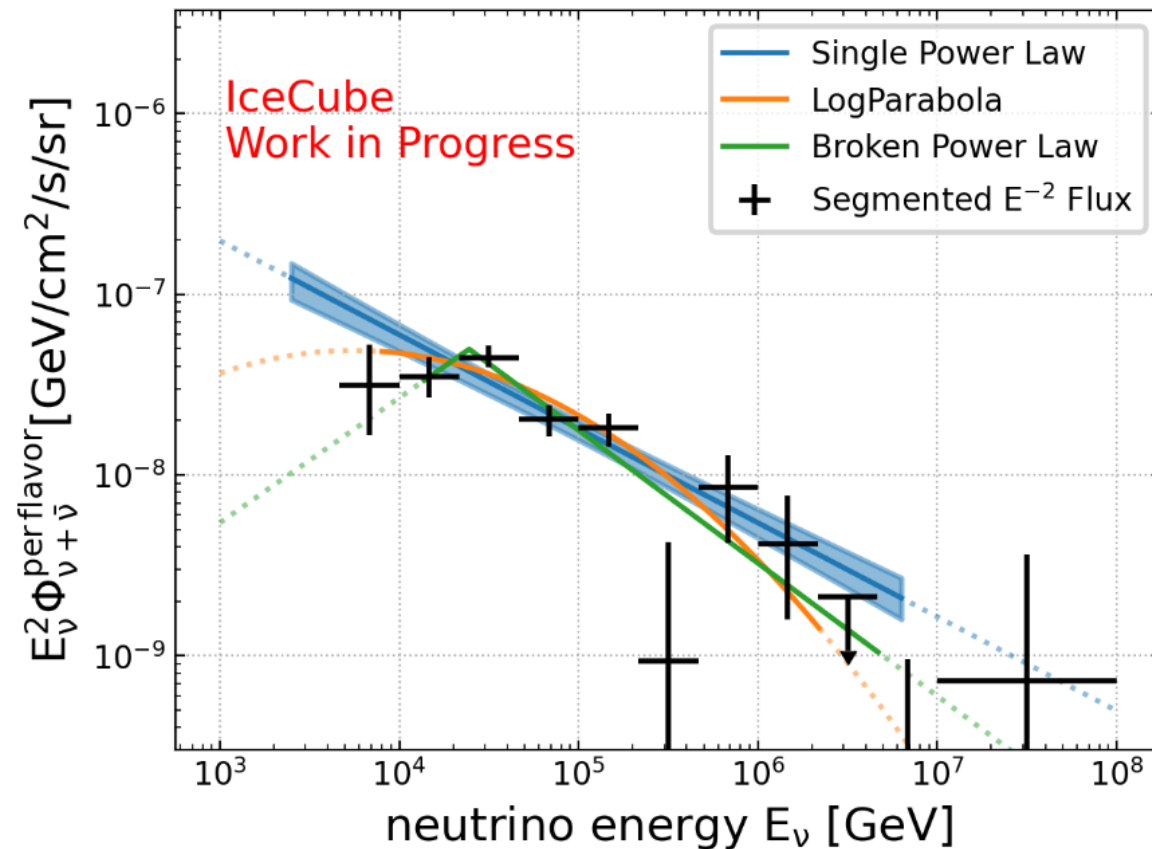
Previous follow-up studies summarized in back-up

# First indication for flux beyond power law

Measurements of the diffuse astrophysical neutrino flux (all flavors)



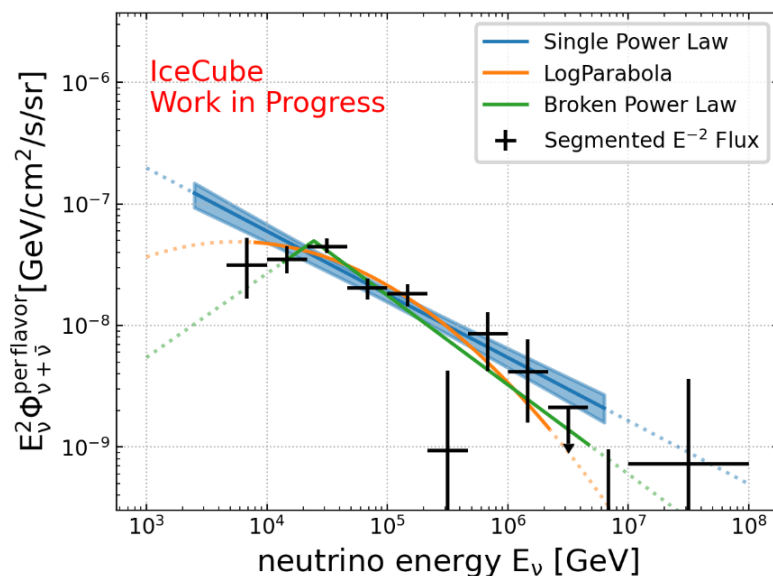
First hint of spectral features in the diffuse astrophysical neutrino flux



<https://doi.org/10.22323/1.444.1064> (ICRC'23) - Full publication in progress

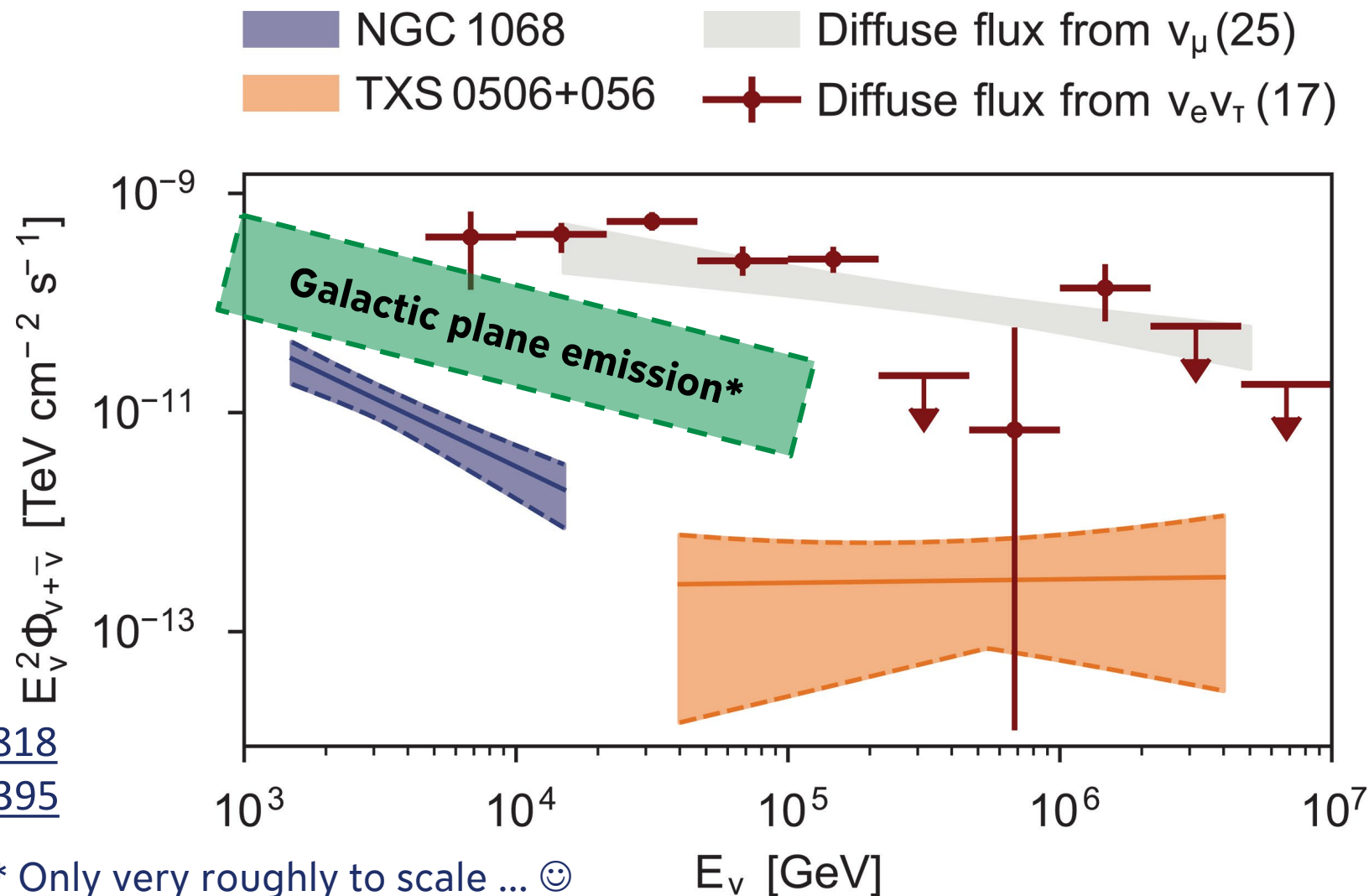
# The road so far

## First hint at spectral features in diffuse flux



<https://doi.org/10.1126/science.adc9818>

<https://doi.org/10.1126/science.abg3395>



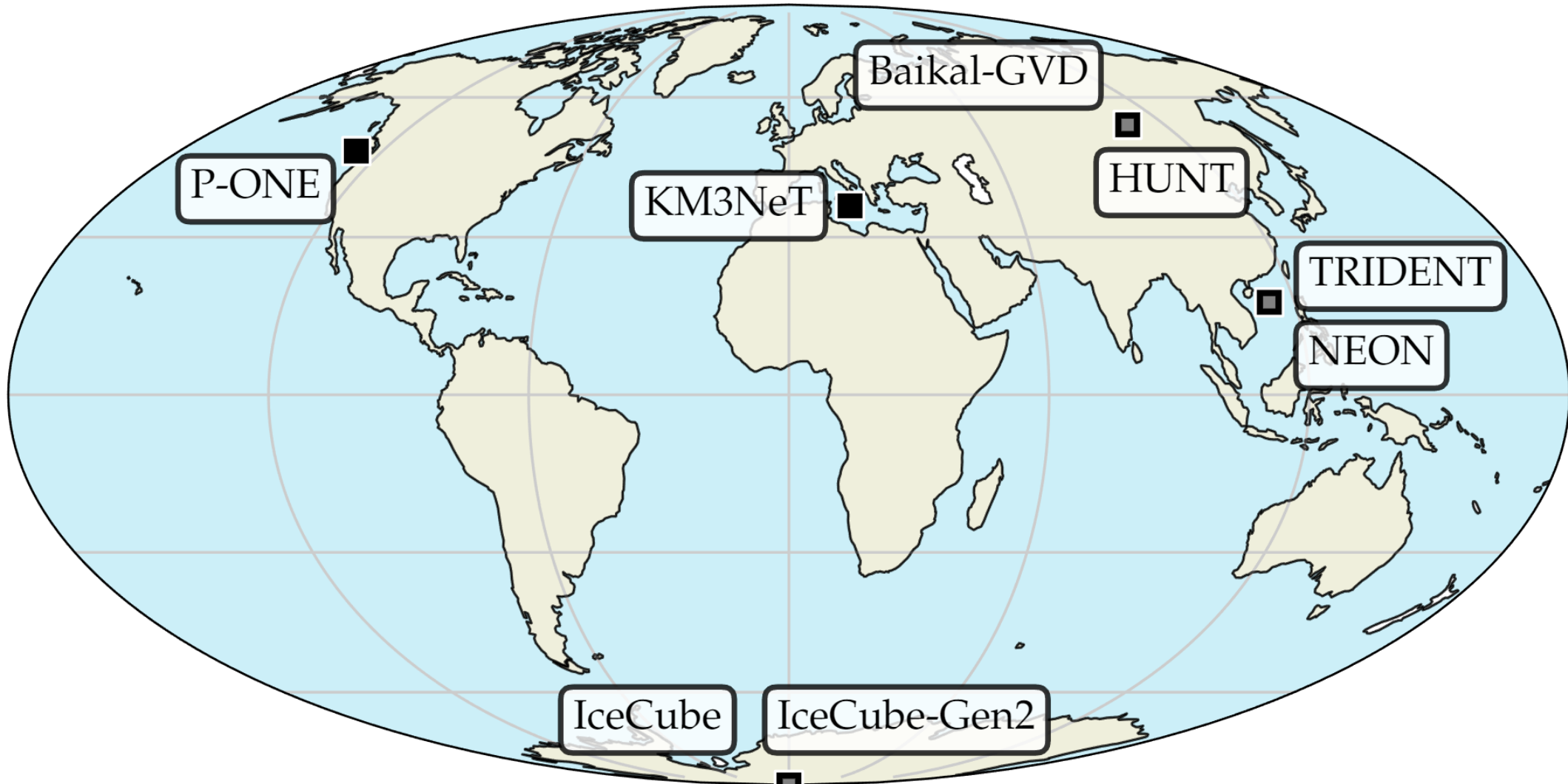
- Are TXS056+056 and NGC1068 just the brightest sources of an entire population of similar sources? Or are they special in another way?
- Are there other source populations?
- How does CR acceleration and neutrino production work in these sources?
- Is the Galactic-Plane emission truly diffuse or are there also smaller-scale sources?

- Are TXS056+056 and NGC1068 just the brightest sources of an entire population of similar sources? Or are they special in another way?
  - Are there
  - How does
  - Is the Gal
- sources?  
scale sou

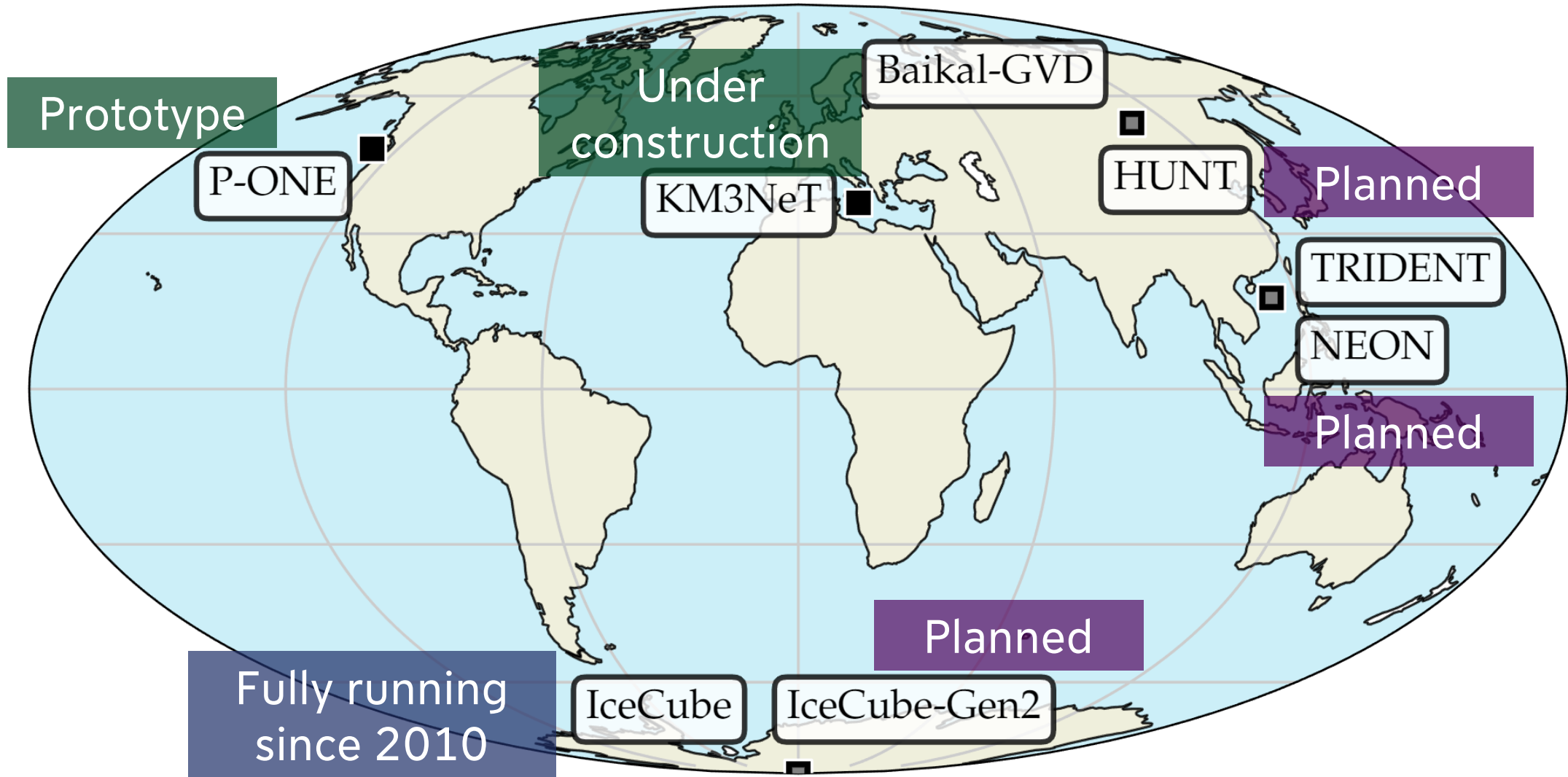
IceCube gathered data for more than a decade and progress based on livetime alone will slow down

We need more telescopes!

# Current & future neutrino telescopes

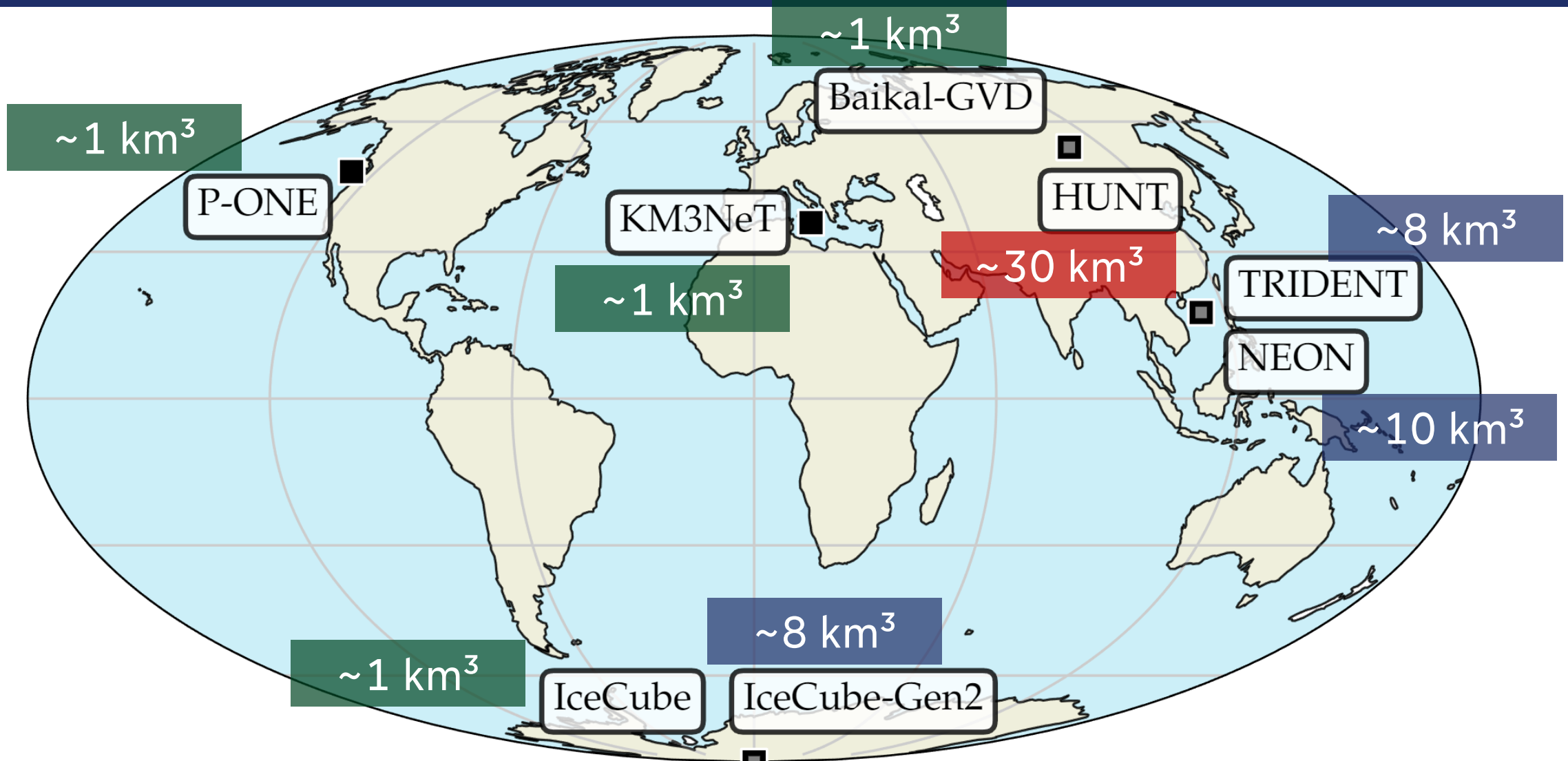


# Current & future neutrino telescopes

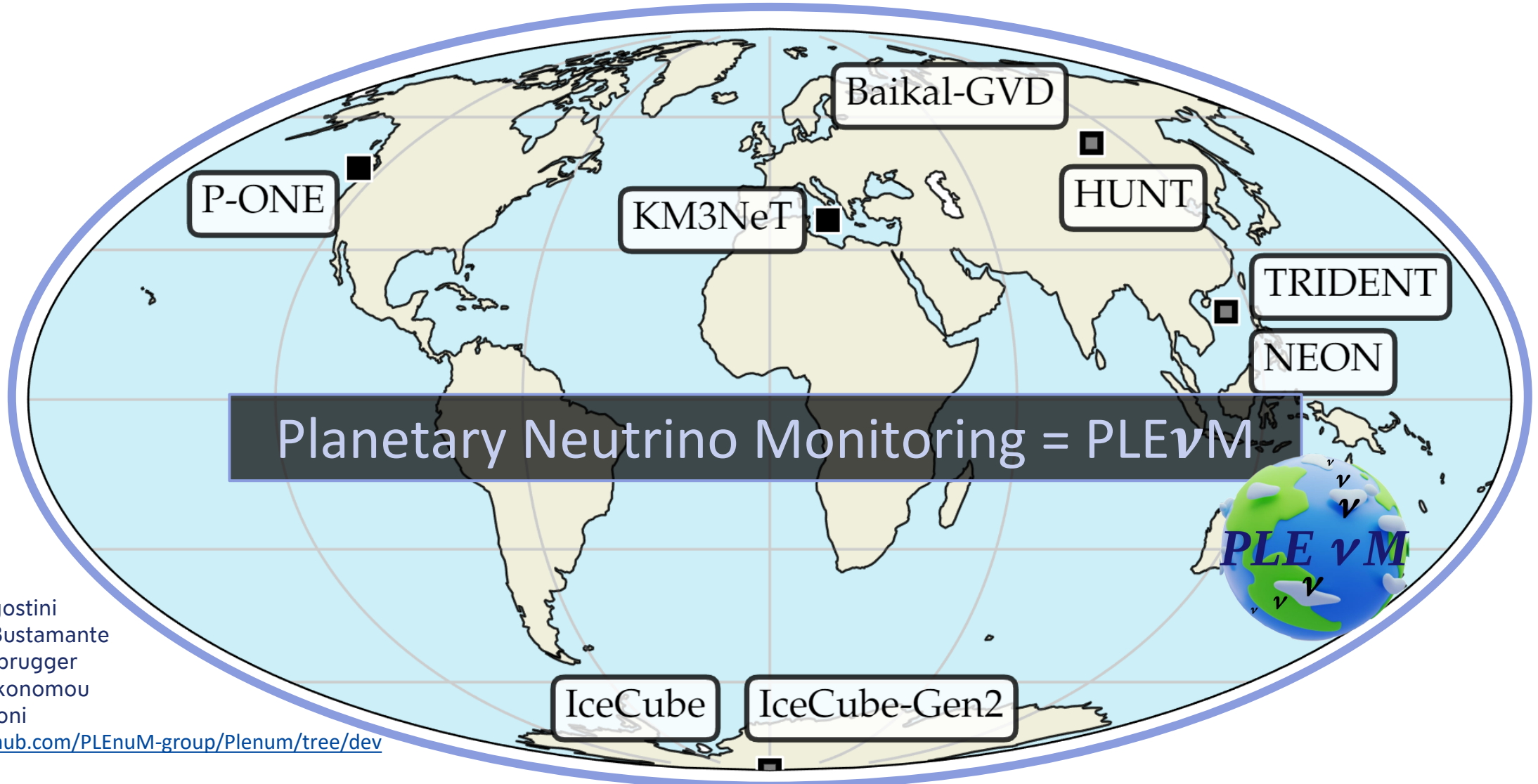




# Current & future neutrino telescopes

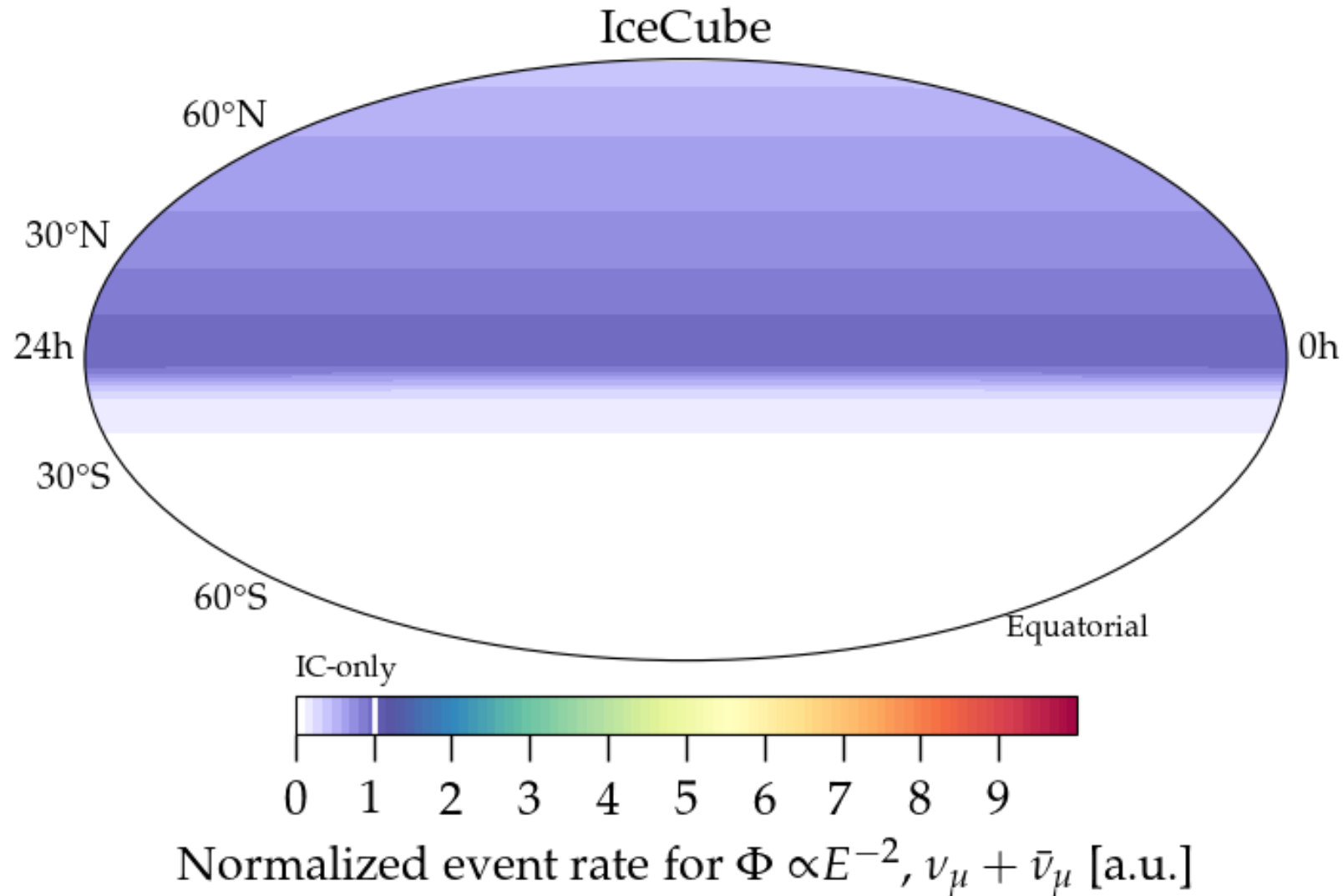


# Current & future neutrino telescopes

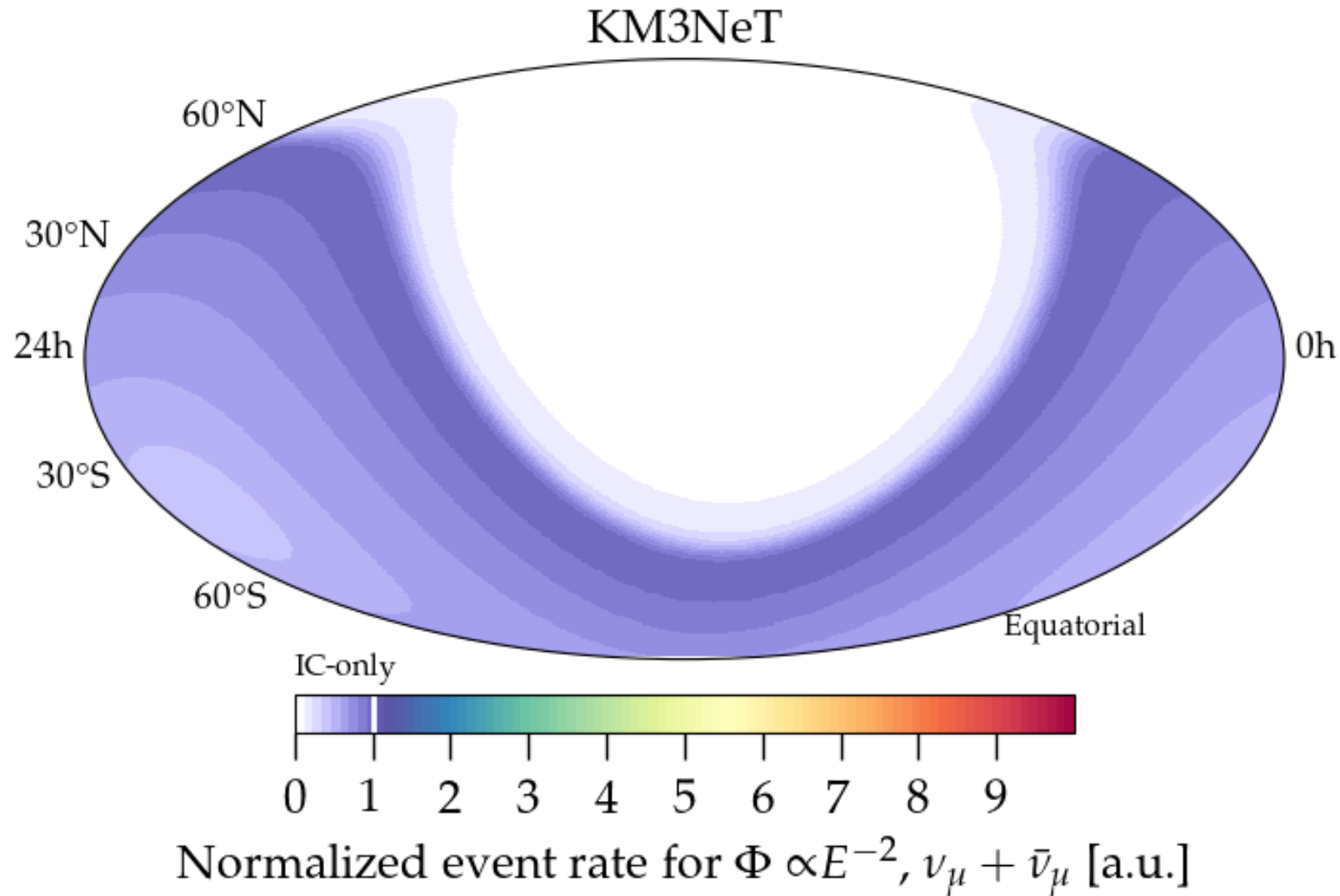


Matteo Agostini  
Mauricio Bustamante  
Anke Mosbrugger  
Foteini Oikonomou  
Elisa Resconi  
<https://github.com/PLEnuM-group/Plenum/tree/dev>

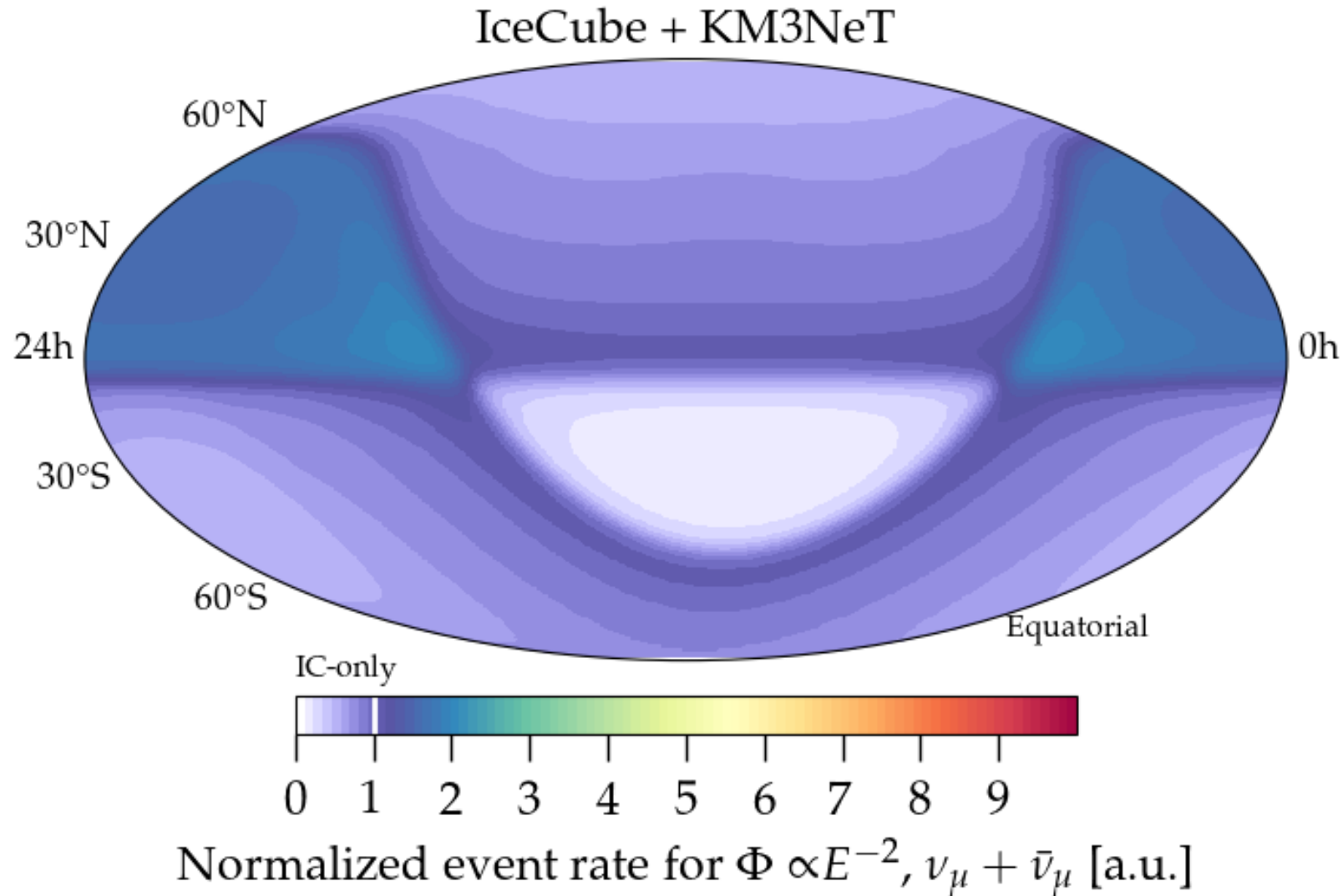
# Instantaneous detection efficiency



# Instantaneous detection efficiency



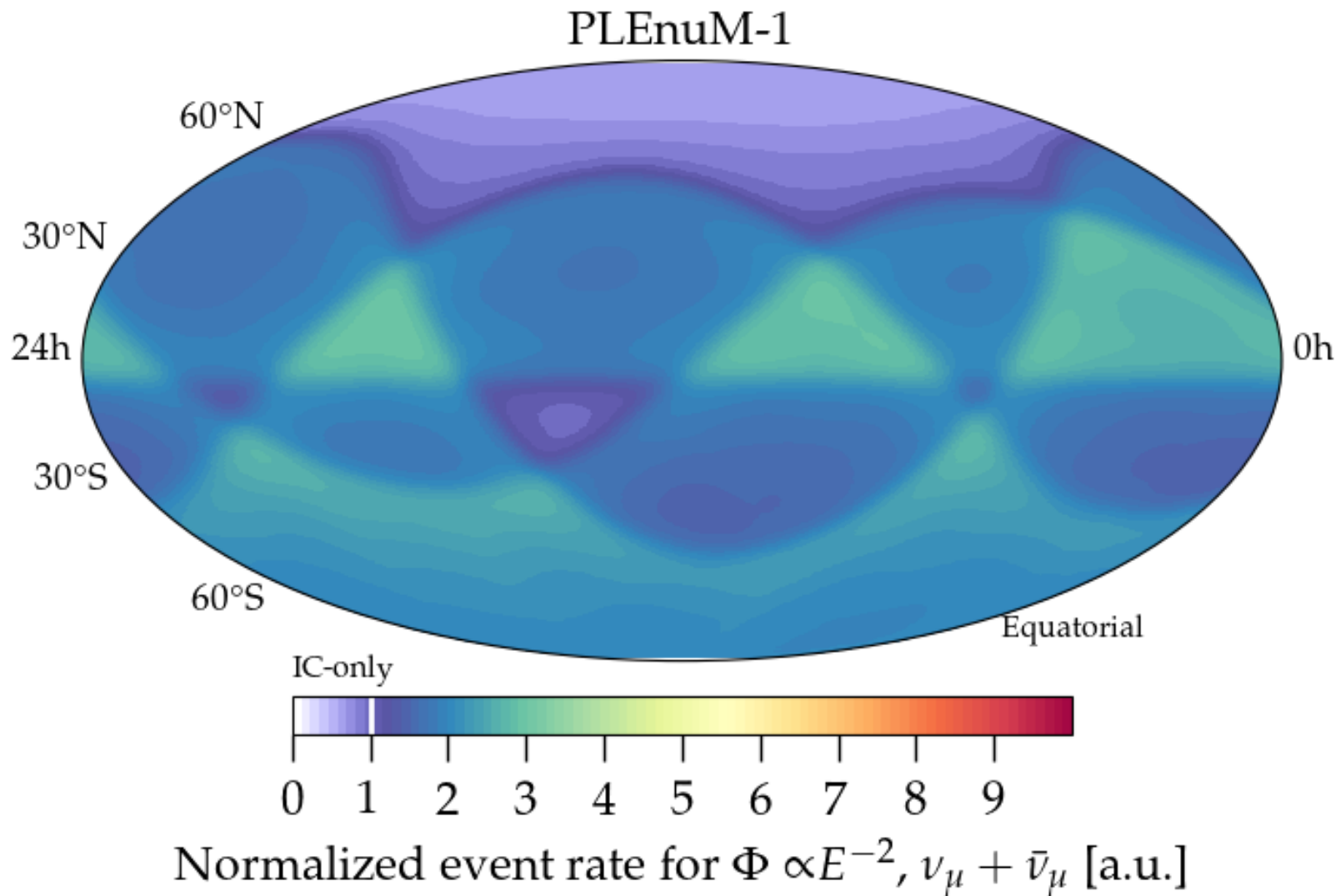
# Instantaneous detection efficiency



# Instantaneous detection efficiency



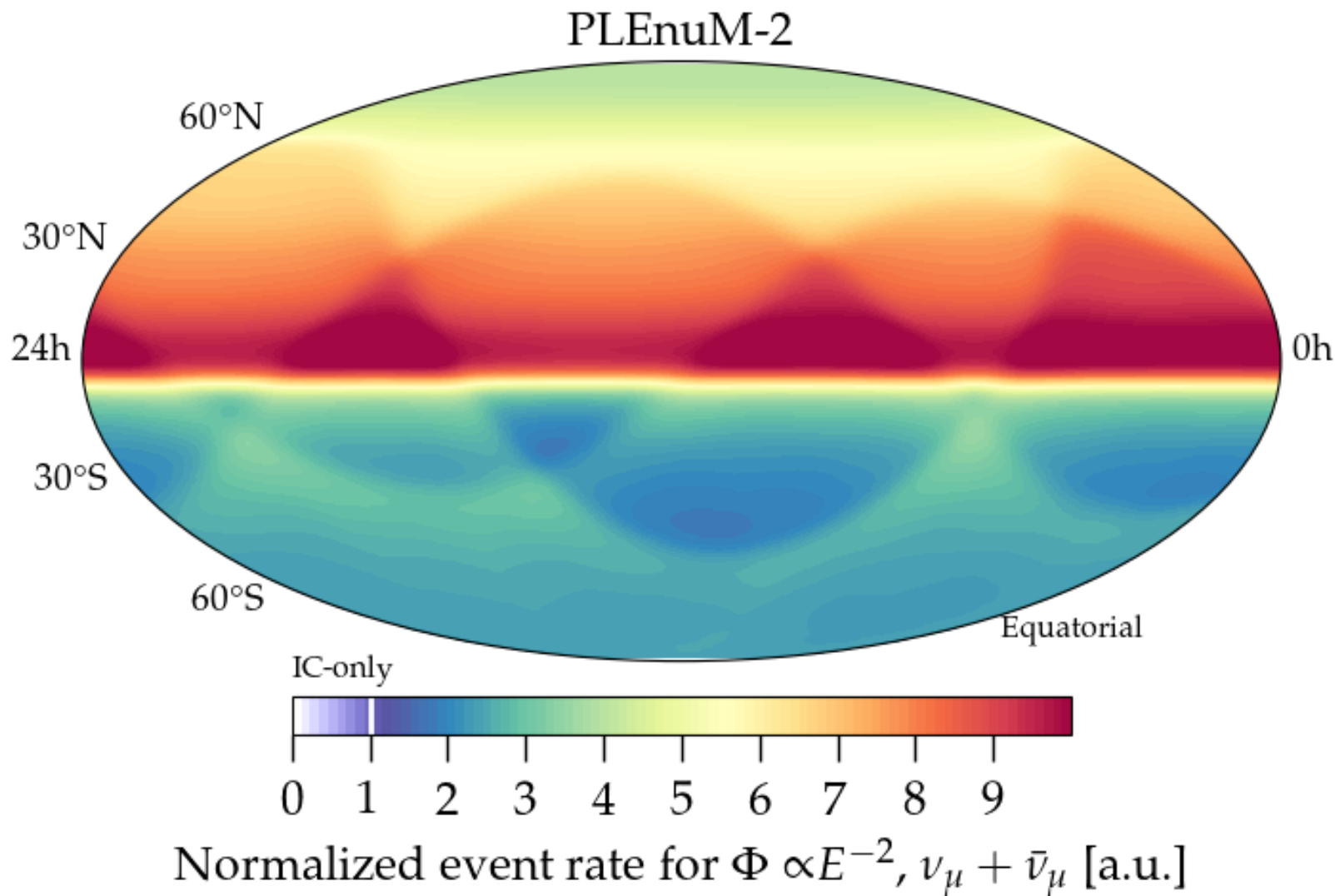
IceCube  
+ KM3NeT  
+ P-ONE  
+ Baikal-GVD



# Instantaneous detection efficiency



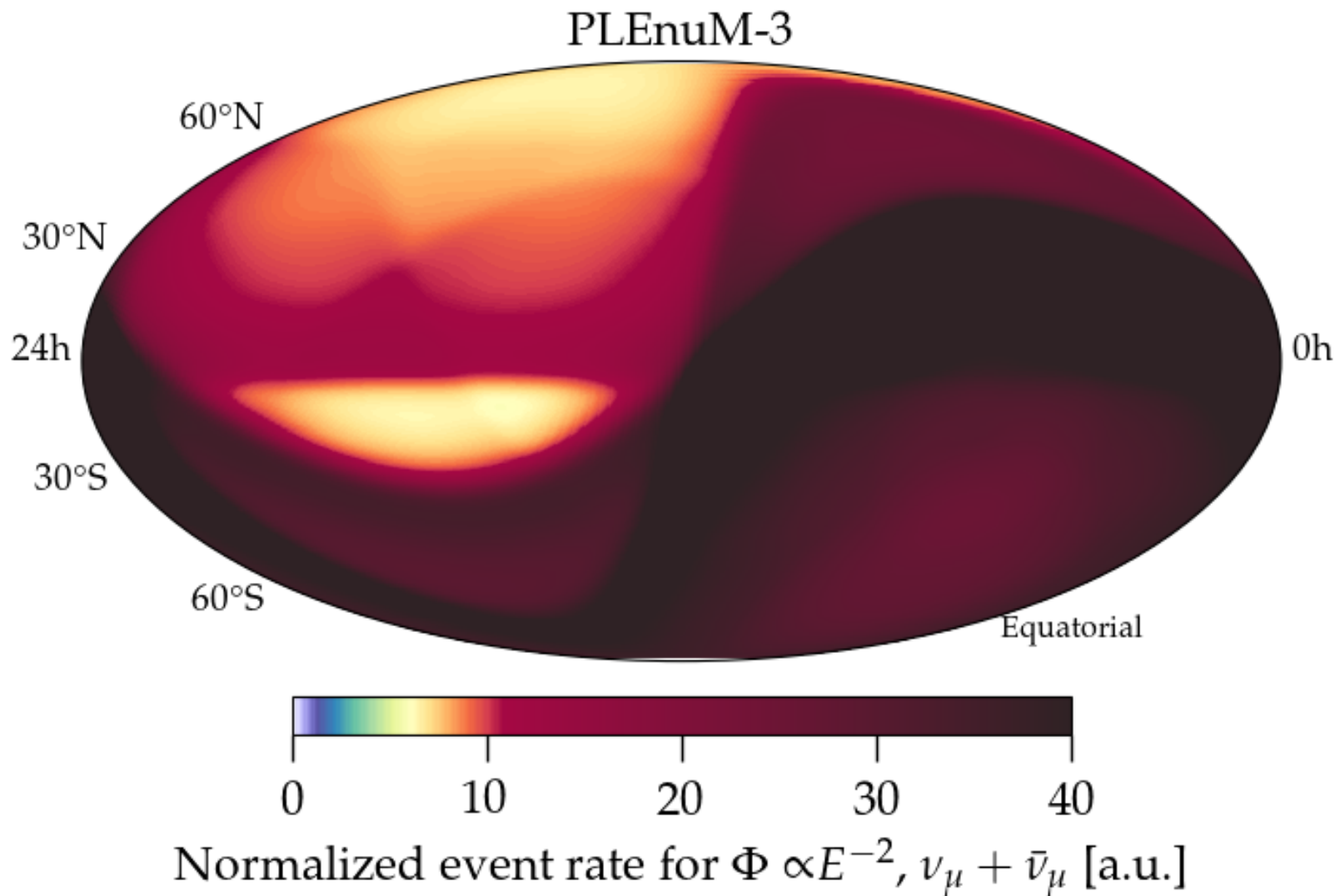
IceCube  
+ KM3NeT  
+ P-ONE  
+ Baikal-GVD  
+ IceCube-Gen2  
(IC x 7.5)



# Instantaneous detection efficiency



- IceCube
- + KM3NeT
- + P-ONE
- + Baikal-GVD
- + IceCube-Gen2
- + TRIDENT  
(IC x 7.5)
- + NEON  
(IC x 10)
- + HUNT  
(IC x 30)

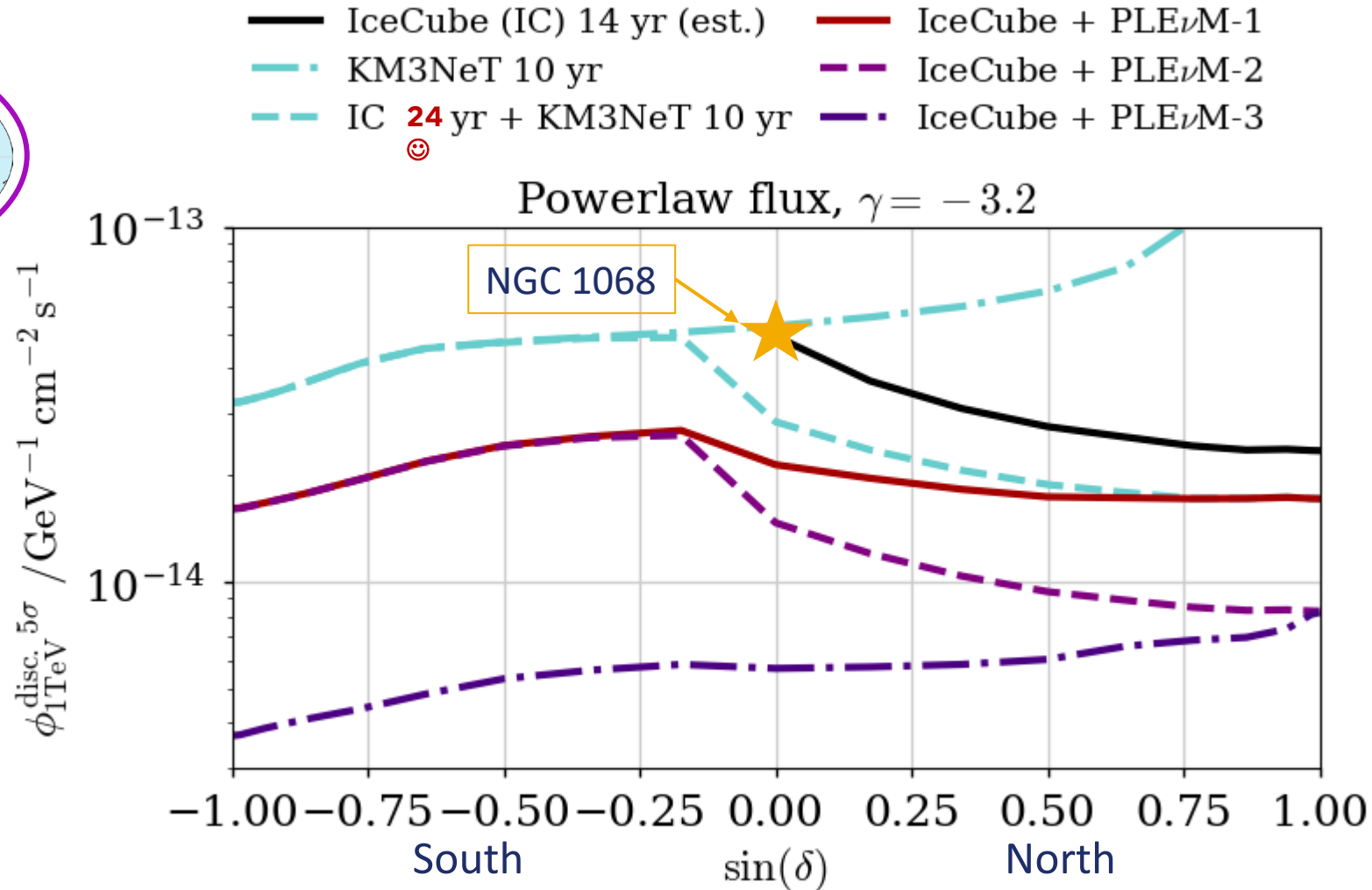
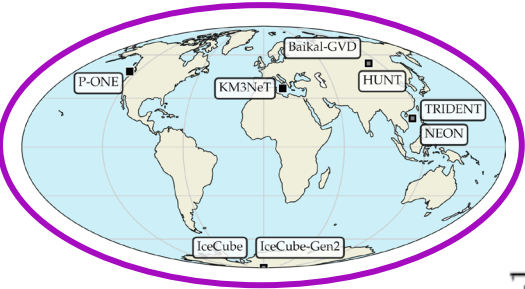




# Discovery potential for point sources

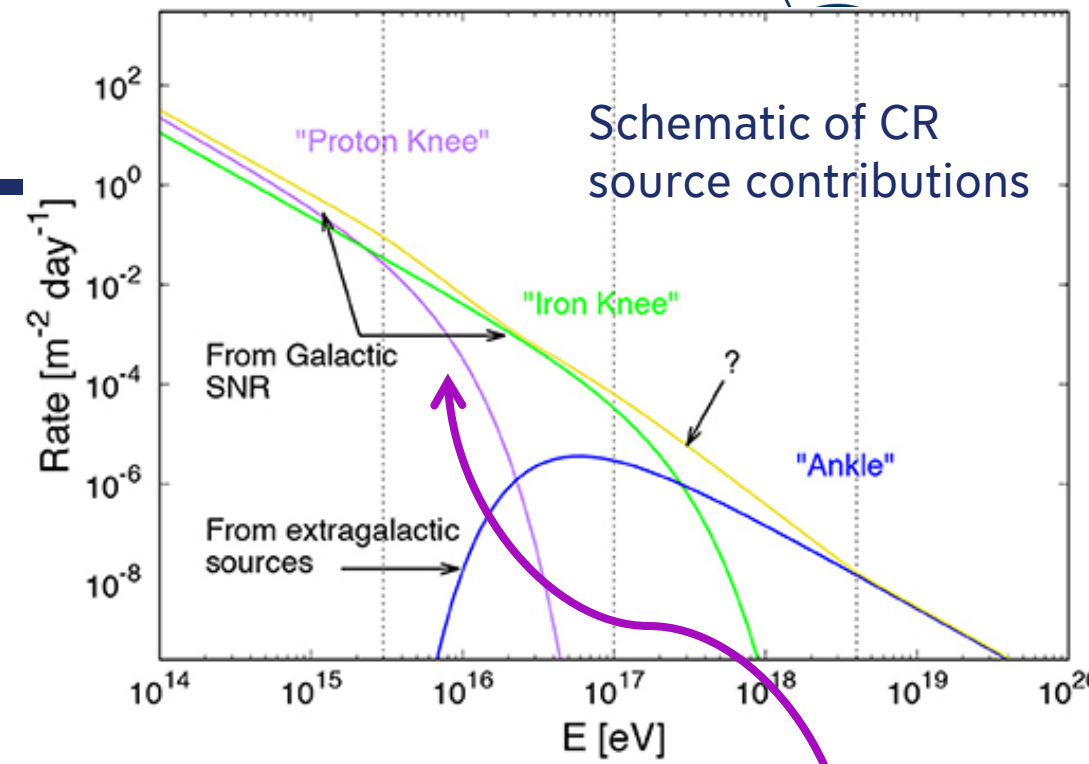
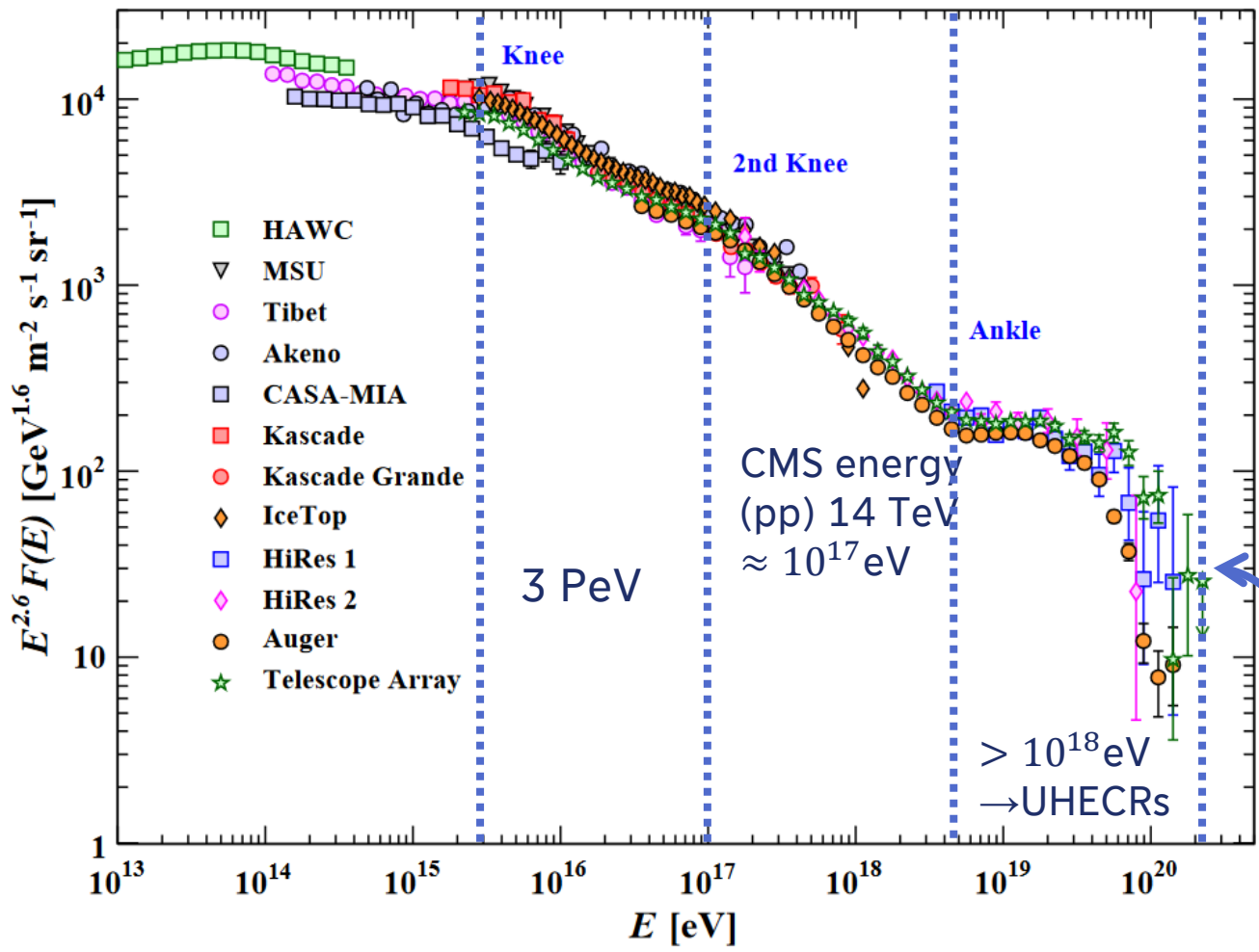


... as function of declination for NGC 1068-like sources



Back up

# Cosmic rays: Galactic and extragalactic

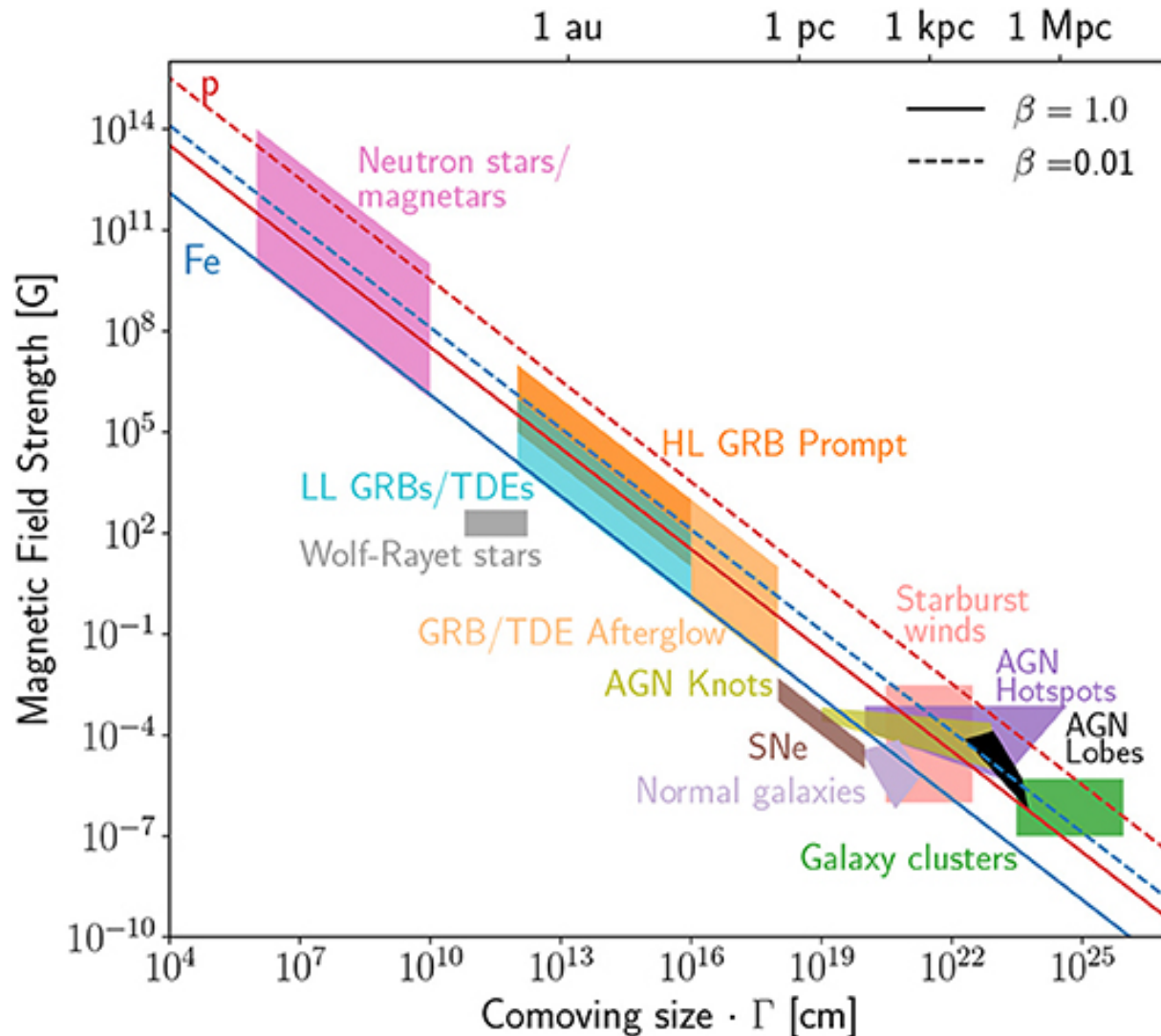


Oh-my-god particle,  
 presumably first UHECR  
 detected above  $10^{20}$  eV  
 "... equivalent to a 142-gram baseball travelling at about 26 m/s..."\*

"Guaranteed galactic neutrino flux" - measured by now!

\*[https://en.wikipedia.org/wiki/Oh-My-God\\_particle](https://en.wikipedia.org/wiki/Oh-My-God_particle)  
[arXiv:astro-ph/9410067](https://arxiv.org/abs/astro-ph/9410067)

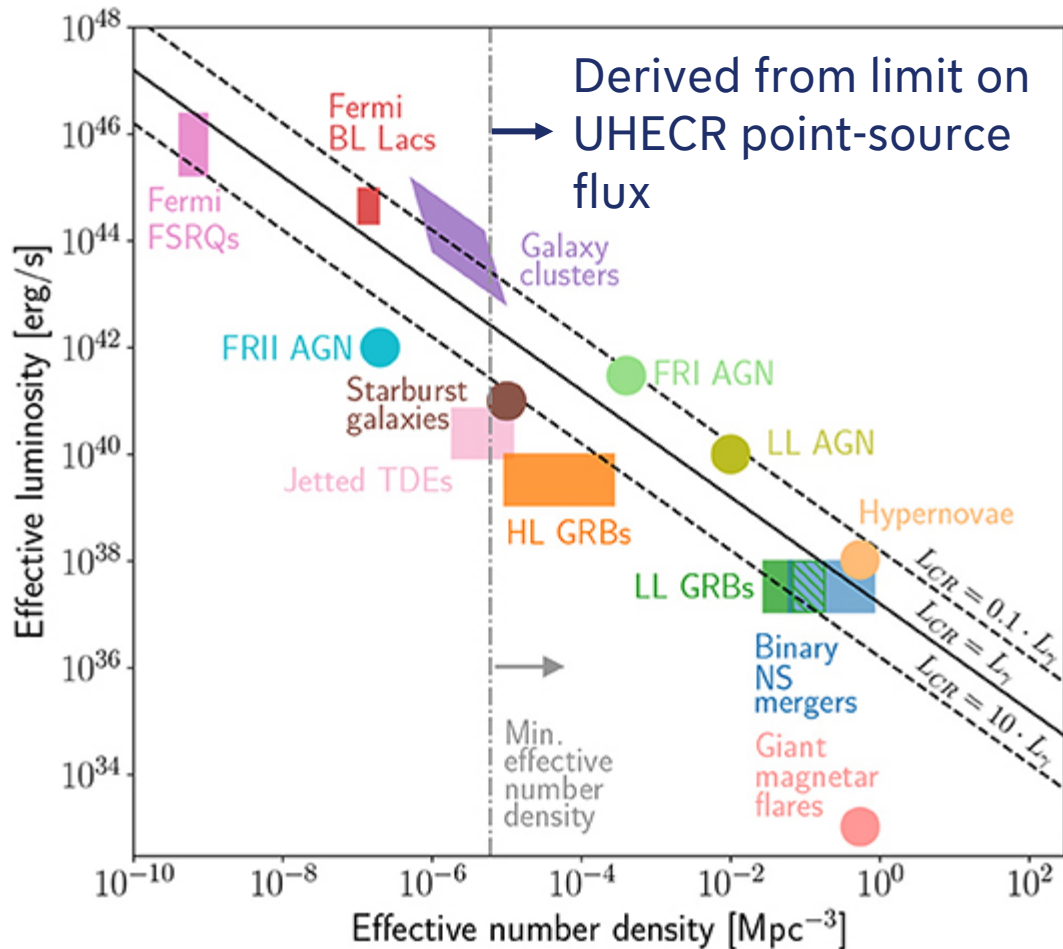
# Required source characteristics: Maximum energy



- Hillas diagram connects the maximum possible CR energy (here  $10^{20}$  eV = UHECR) to source size and magnetic field strength
- Requirement: Gyroradius needs to be equal to source size ( $\Gamma$ ) to enable acceleration up to corresponding energy
 
$$B \propto \frac{E\beta}{q} \cdot \frac{1}{\Gamma}$$
- Depends on CR-charge, B-field strength and outflow speed

<https://doi.org/10.3389/fspas.2019.00023>

# Required source characteristics: Energy budget



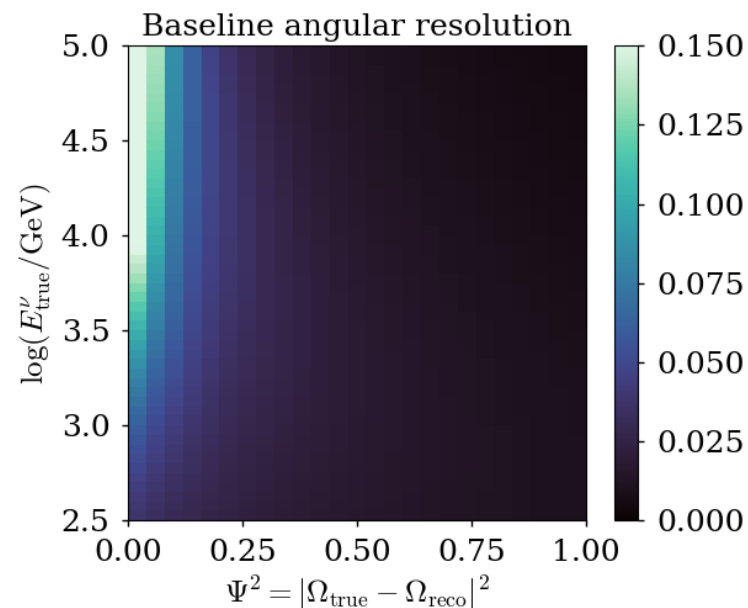
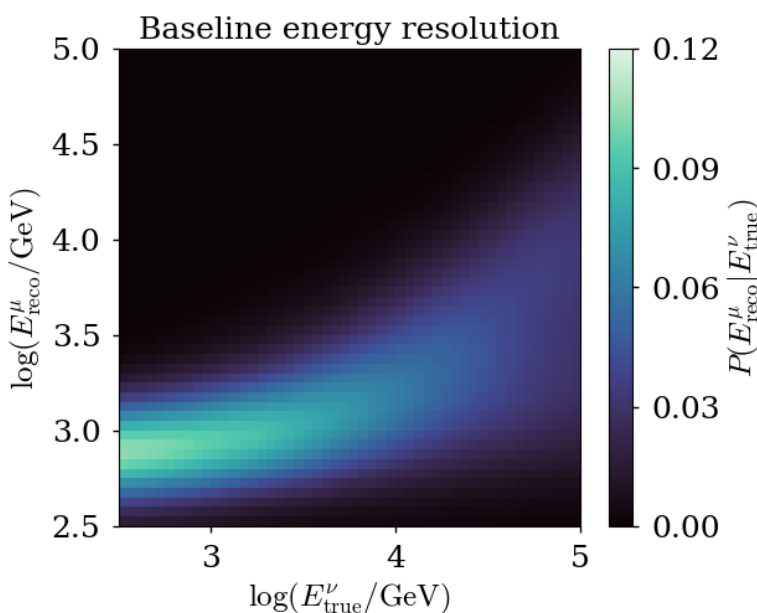
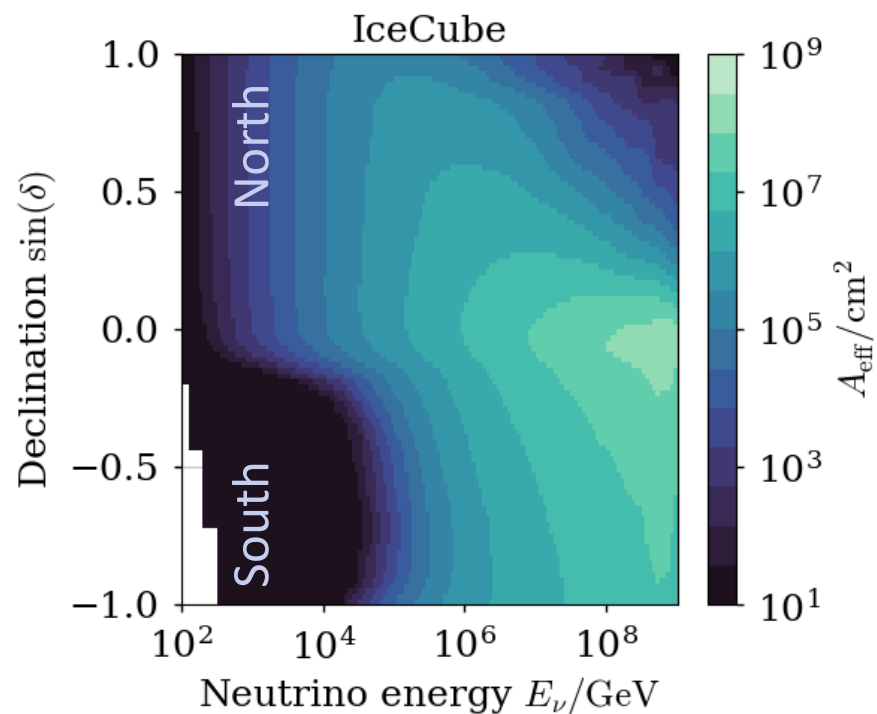
- Energy budget inferred from UHECR spectrum constrains source luminosity and number density
- Scenario of few, strong sources disfavored
- Multi-messenger analyses needed to constrain source models and individual sources
- Does one source class dominate the UHECR production or do many classes contribute? Which processes accelerate particles to highest energies of PeV to over 100 EeV? Can this be explained with today's knowledge of physics? Can these processes (in small scales) be applied to future accelerator experiments?

<https://doi.org/10.3389/fspas.2019.00023>

# What input is needed for PLEnuM?

$$A_{\text{eff}}(E, \vec{\Omega}) = T_{\text{Earth}}(E_\nu, \vec{\Omega}) \otimes P_{\nu \rightarrow \mu}(E_\nu, E_\mu, R) \otimes \epsilon_{\text{select}}(E_\mu, \vec{\Omega}) \otimes A_{\text{geo}}(\vec{\Omega})$$

- **Effective area:** rotated from local zenith to declination for other detectors based on IceCube's effective area
- **Energy resolution**
- **Angular resolution**



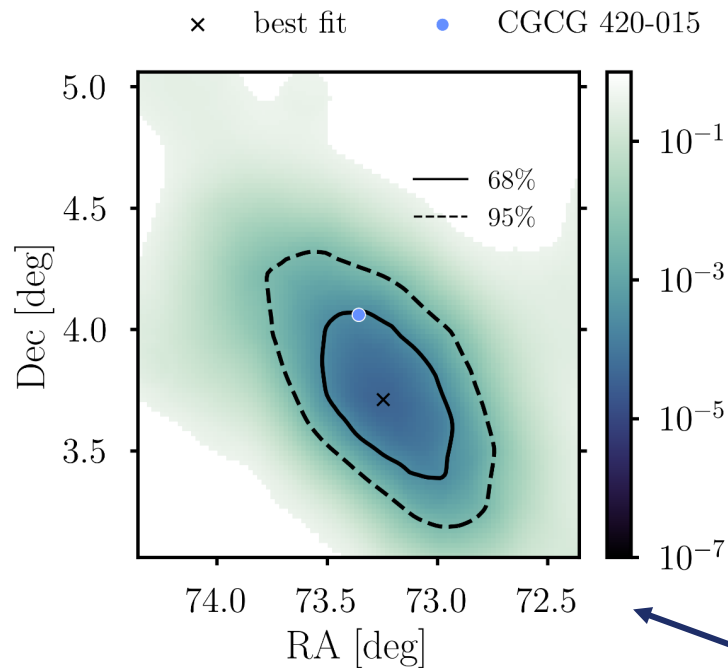
$$N_\nu(\sin(\delta_{\text{src}})) = T_{\text{live}} \cdot \int_{E_{\text{min}}}^{E_{\text{max}}} dE A_{\text{eff}}(E, \sin(\delta_{\text{src}})) \cdot \frac{d\Phi_{\text{src}}}{dE}$$

Important: currently everything is based on IceCube's data release of 10yr muon tracks <http://doi.org/DOI:10.21234/sxvs-mt83>

# Seyfert Galaxies & X-ray bright AGN

- Excess of neutrinos associated with two sources, NGC 4151 and CGCG 420-015 @  $2.7\sigma$  significance
- Results constrain the collective neutrino emission from chosen source catalogue

- Search for high-energy neutrino emission from hard X-ray AGN
- Confirmed emission of NGC 1068 and found NGC 4151 @  $2.9\sigma$  significance



- Overlapping data sets and source catalogues → not independent results
- Open questions remain about neutrino production mechanism in source candidates
- Further studies on-going!

Publications submitted to ApJ:  
<https://arxiv.org/abs/2406.07601>  
<https://arxiv.org/abs/2406.06684>

### NGC 4151

