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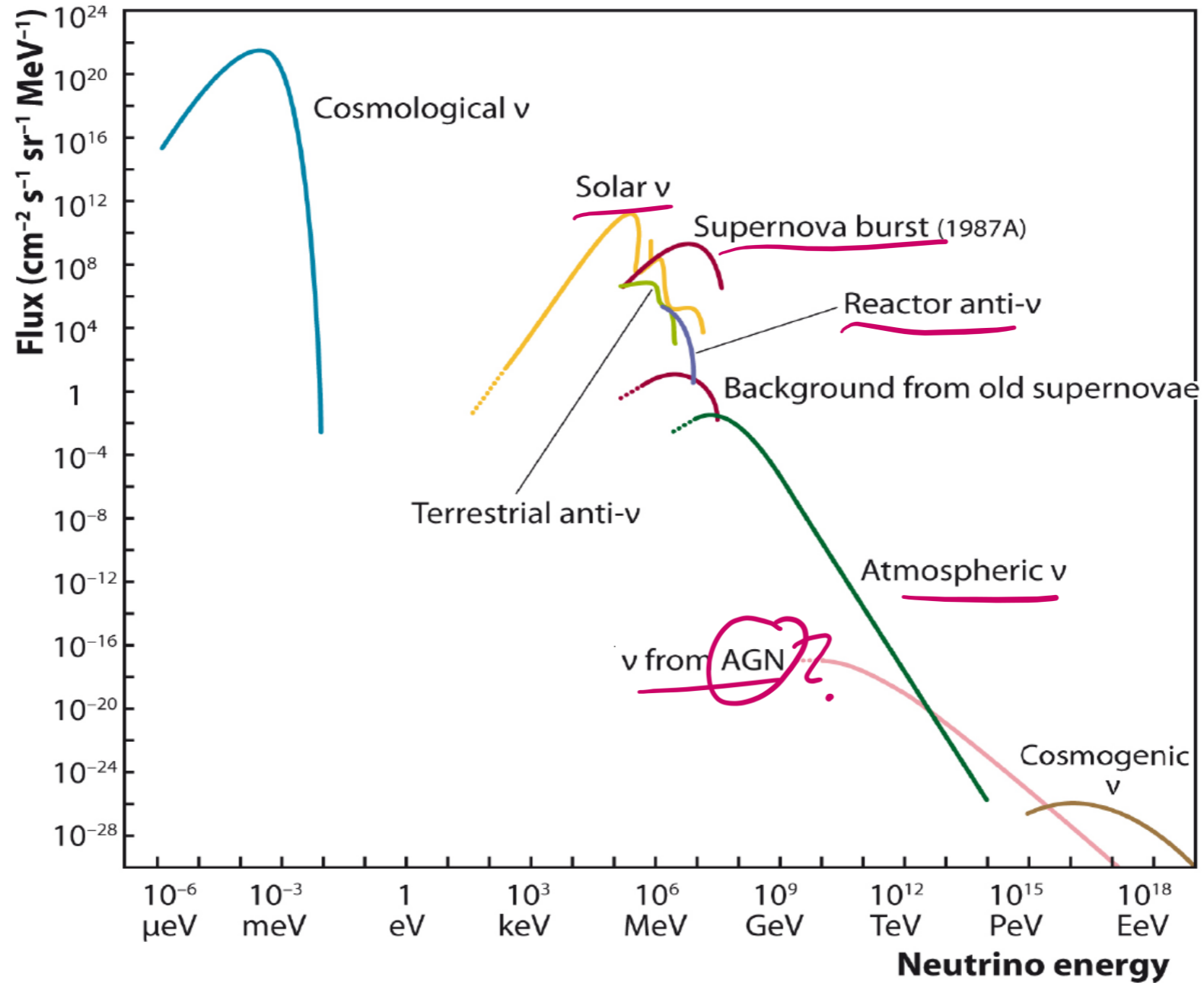
Astroparticle School 2024

Obertrubach-Bärnfels

Atmospheric neutrino oscillations

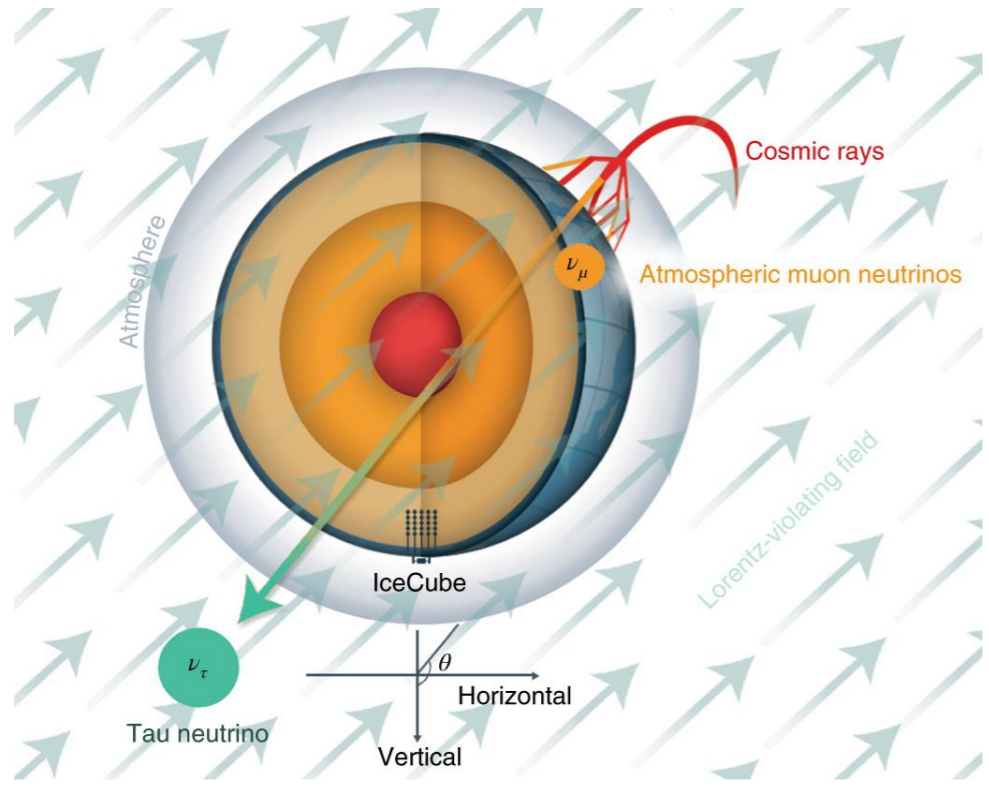
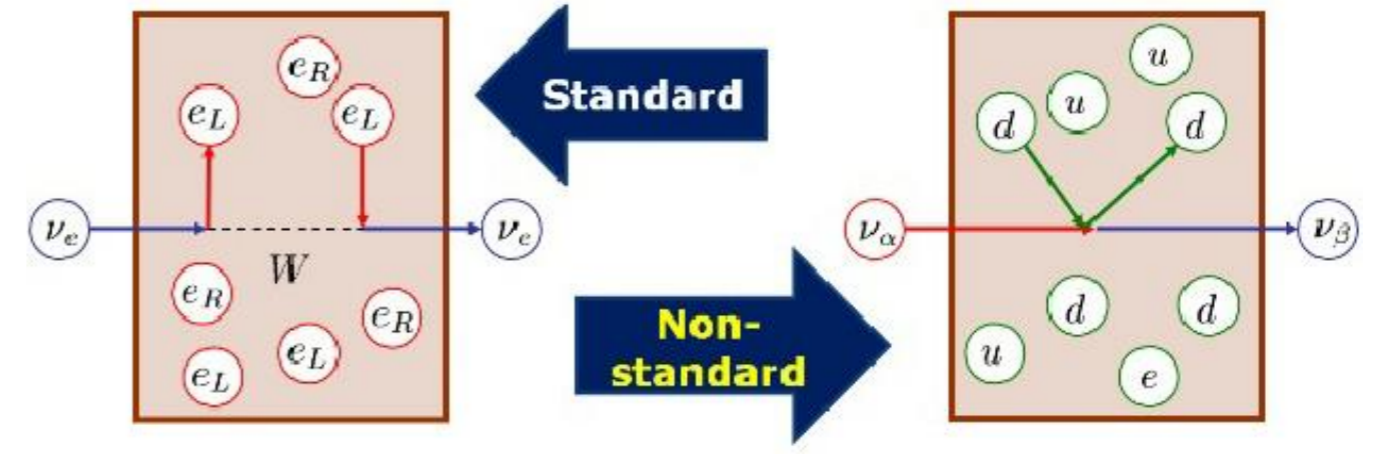
neutrinos as probes

neutrino sources



searching for **exotic physics** with ν

non-standard interactions

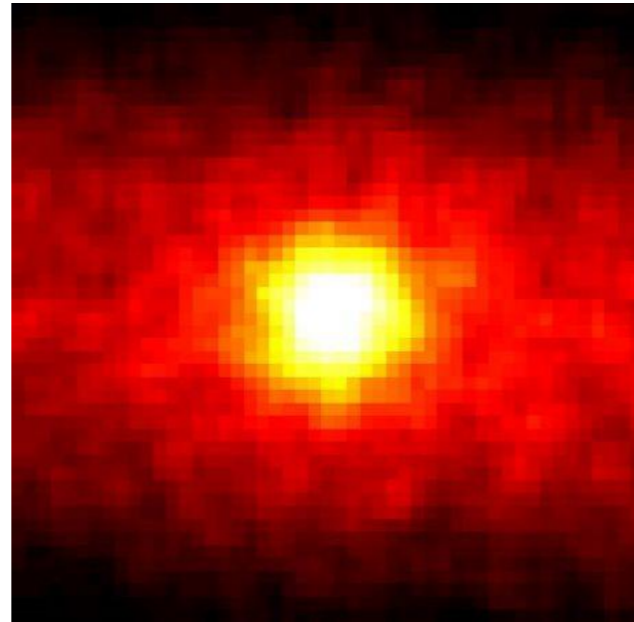


Lorentz invariance violation

studying **astrophysical** objects

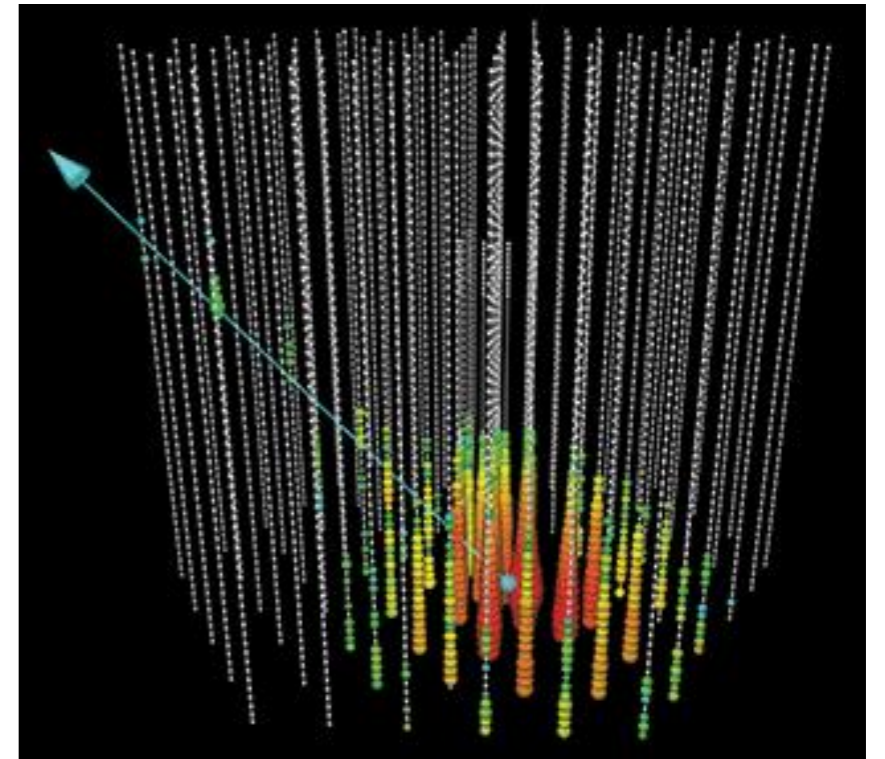


supernova
neutrinos



solar neutrinos

HE neutrinos from
violent sources



- historical **context**
- modeling** atmospheric neutrinos
- detection** technology
- motivation & recent **results**
- future** experiments

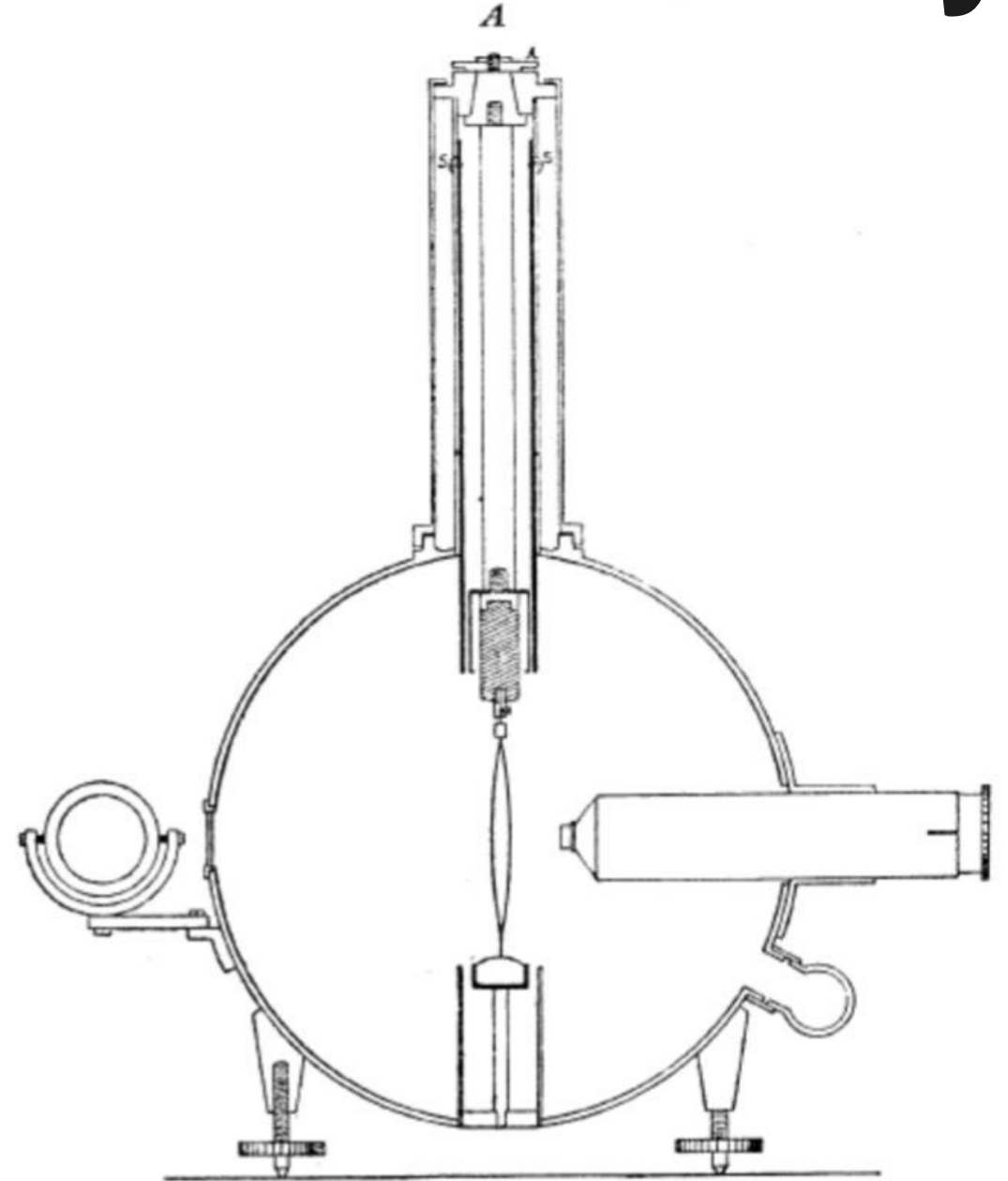
atmospheric neutrino origins

A lot of the material borrowed from

- [P. Lipari's talk at neutrino history conference](#)
- [Horeandel, Early cosmic-ray work published in German](#)
- [Bertolotti, Celestial Messengers](#)

it starts with radioactivity

- phenomenon of **radioactivity** discovered in late 1800's
- electroscopes** were used to study levels of radioactivity
- they would **spontaneously** discharge, why?



a source outside Earth?



-could radioactivity have **non-terrestrial** origin?

-in 1910 Theodor Wulf went up the Eiffel Tower (300m) and measured less radiation than on the ground, but **more than expected**

adventurous experiments

-Viktor Hess
made multiple
balloon flights
in 1912

-Going up to
5km elevation



adventurous experiments

Physik. Zeitschr. XIII, 1912. Hess, Durchdringende Strahlung bei sieben Freiballonfahrten. 1089

Tabelle der Mittelwerte.

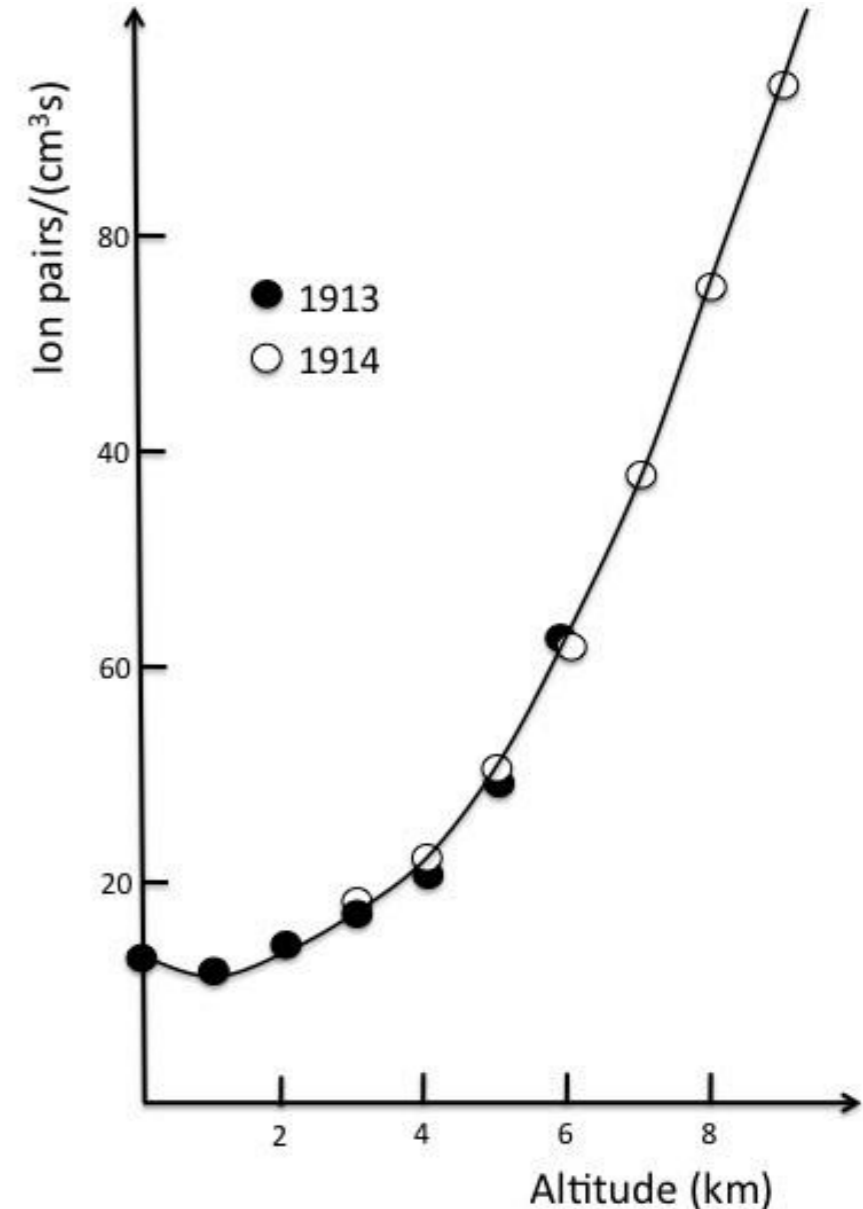
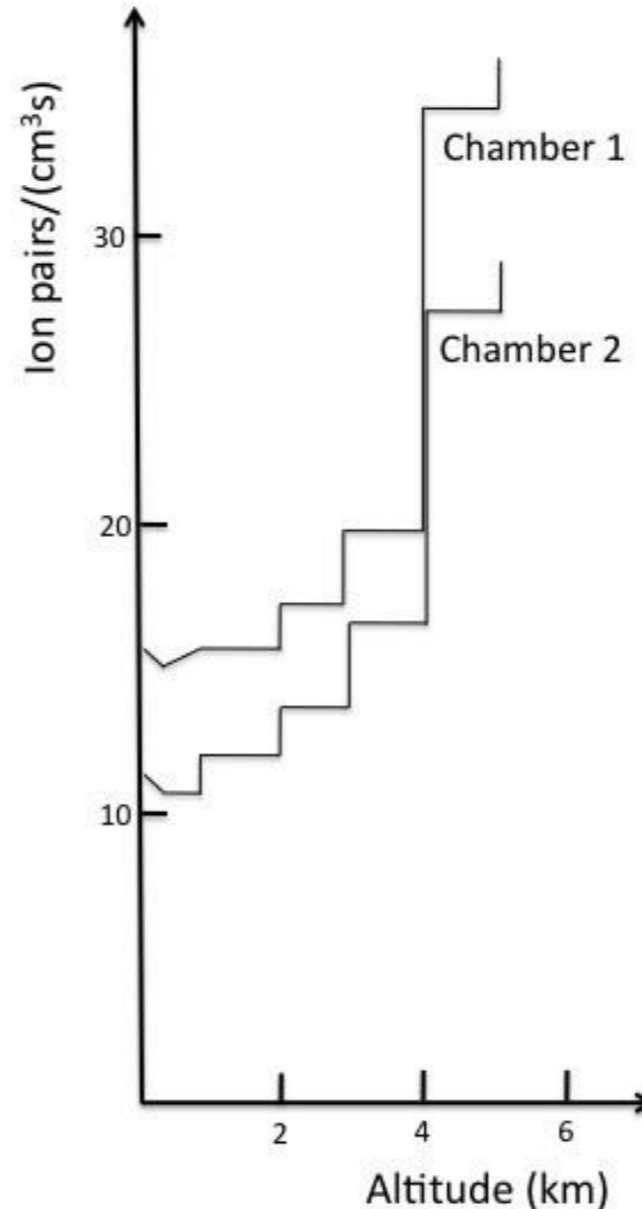
Mittlere Höhe über dem Erdboden m	Beobachtete Strahlung in Ionen pro ccm und sec.			
	Apparat 1	Apparat 2	Apparat 3	
	Q_1	Q_2	Q_3 (reduziert)	Q_3 (nicht reduziert)
0	16,3 (18)	11,8 (20)	19,6 (9)	19,7 (9)
bis 200	15,4 (13)	11,1 (12)	19,1 (8)	18,5 (8)
200—500	15,5 (6)	10,4 (6)	18,8 (5)	17,7 (5)
500—1000	15,6 (3)	10,3 (4)	20,8 (2)	18,5 (2)
1000—2000	15,9 (7)	12,1 (8)	22,2 (4)	18,7 (4)
2000—3000	17,3 (1)	13,3 (1)	31,2 (1)	22,5 (1)
3000—4000	19,8 (1)	16,5 (1)	35,2 (1)	21,8 (1)
4000—5200	34,4 (2)	27,2 (2)	—	—

coming from the cosmos

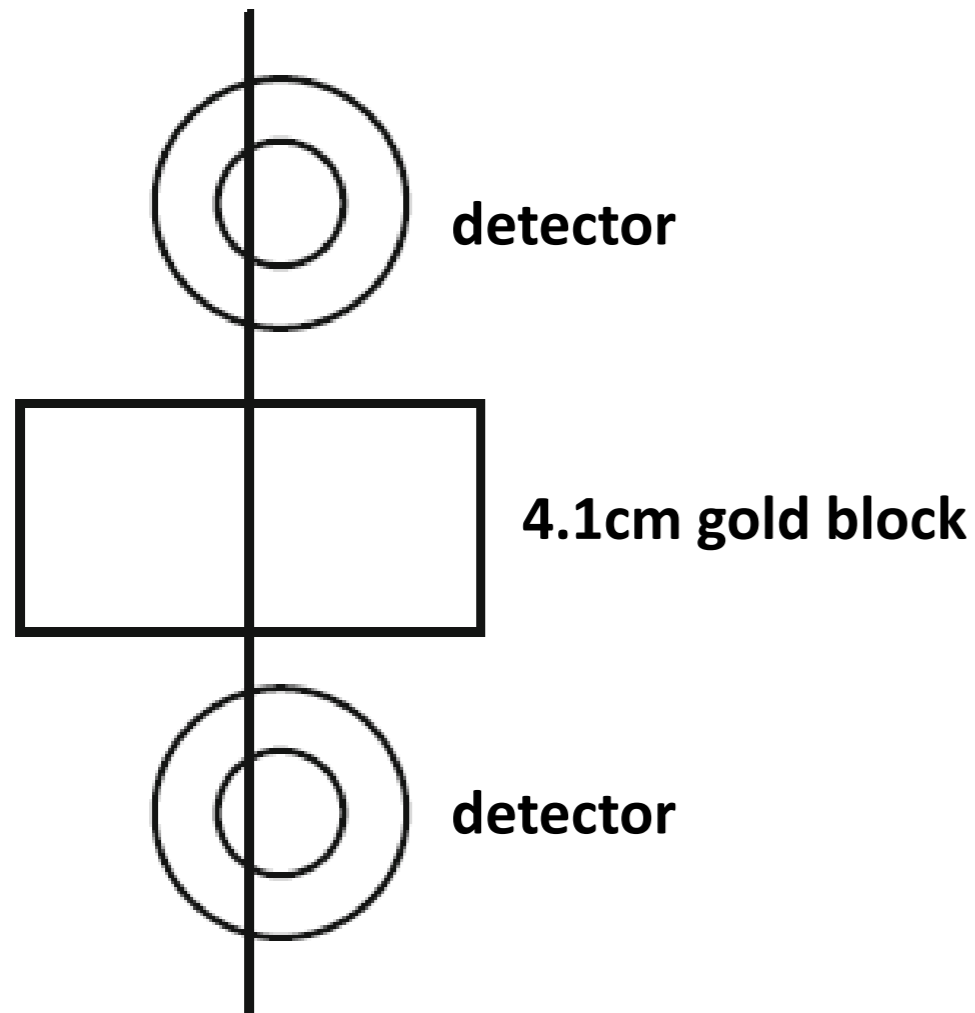
-there's a **dip**, then a sharp **rise** in radiation levels

-Kolhörster **confirmed** the measurements shortly afterwards

-non-terrestrial radiation exists:
cosmic rays



identifying the radiation



76% of particles passing through

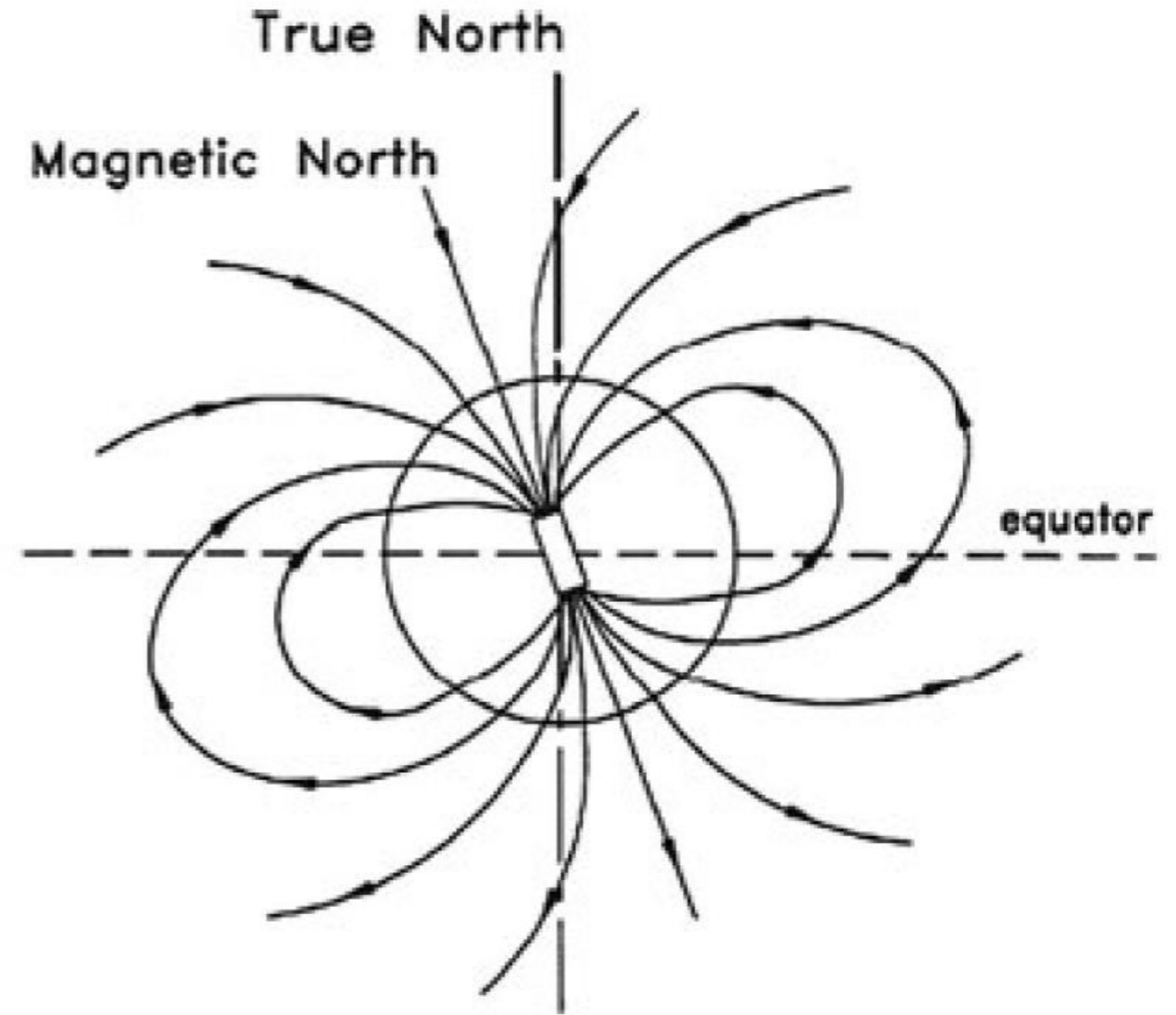
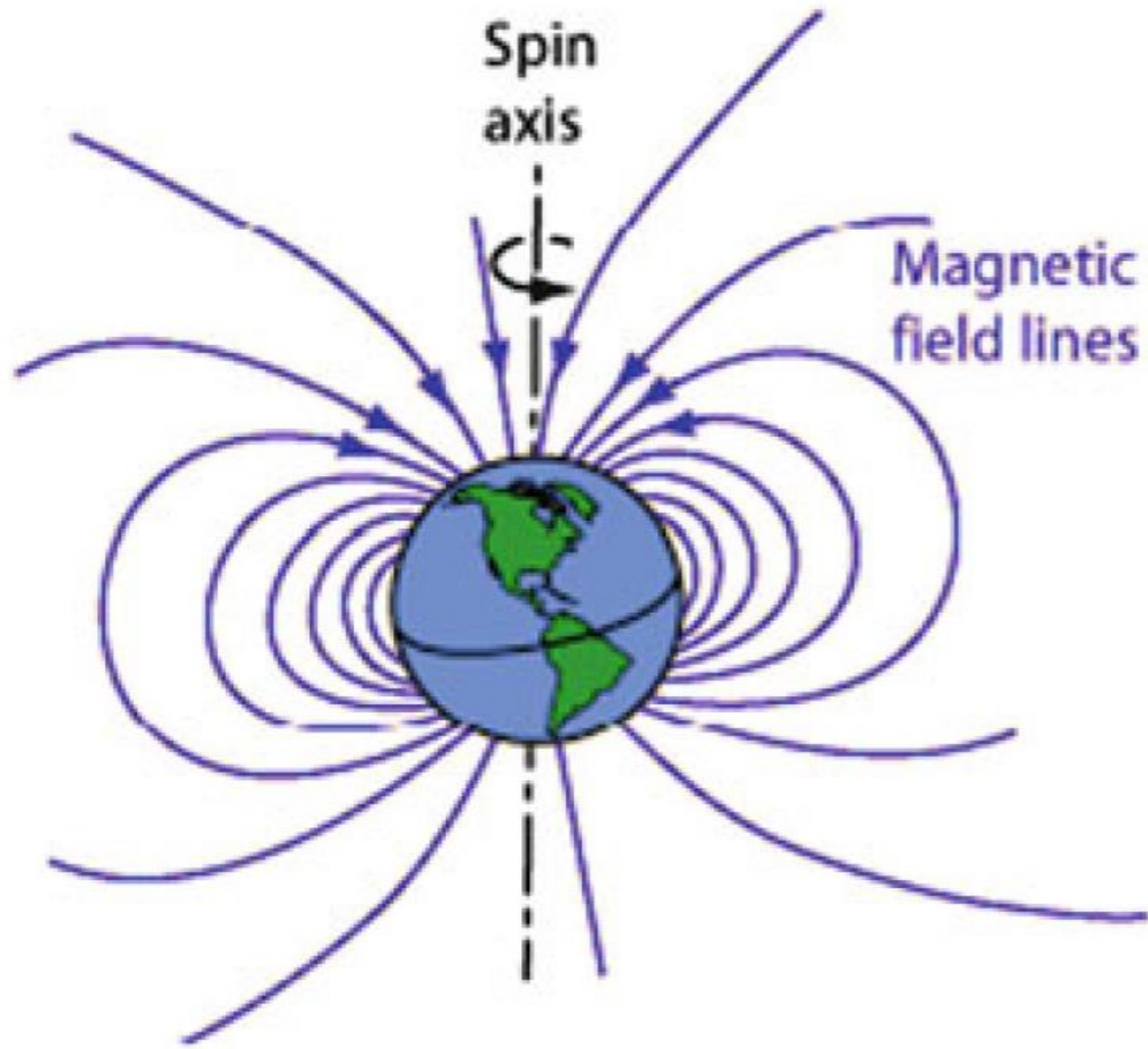
-but what is it? first believed to be **gamma rays**

-but in 1928-1929 Bothe & Kolhoerster showed the radiation to be **very penetrating**

-first peek at **muons** (at that time not known)

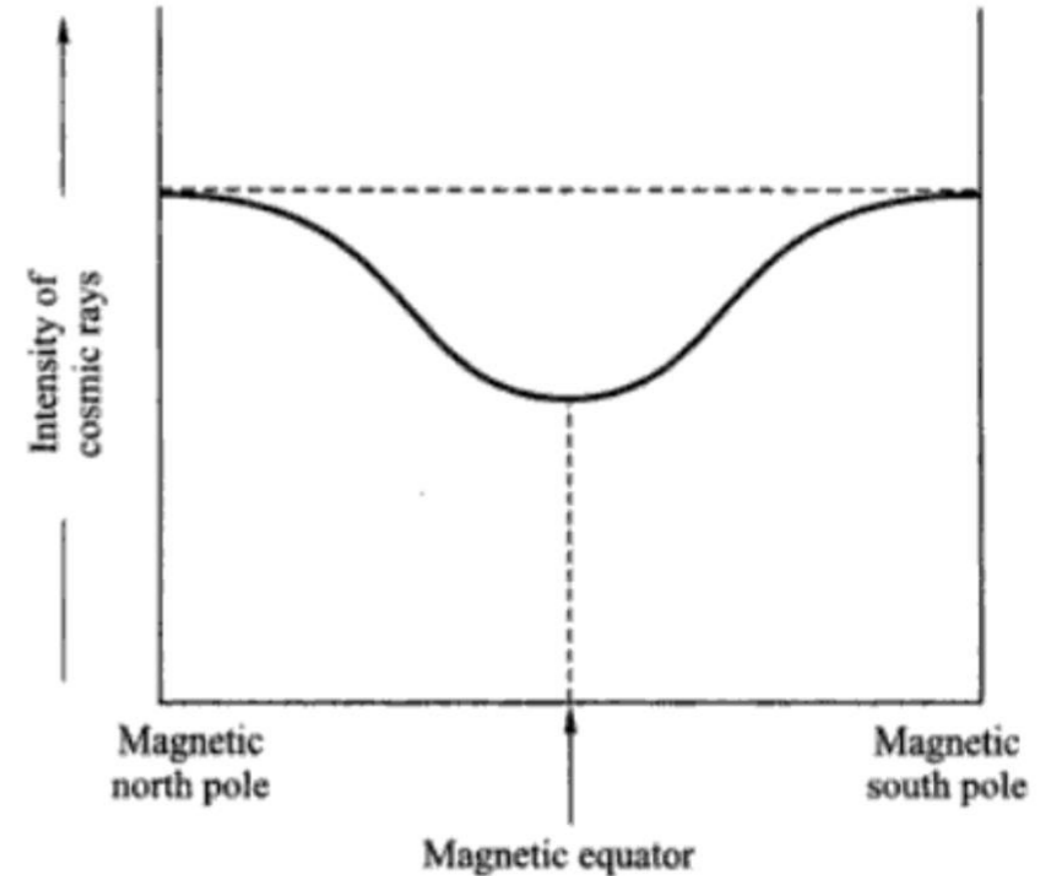
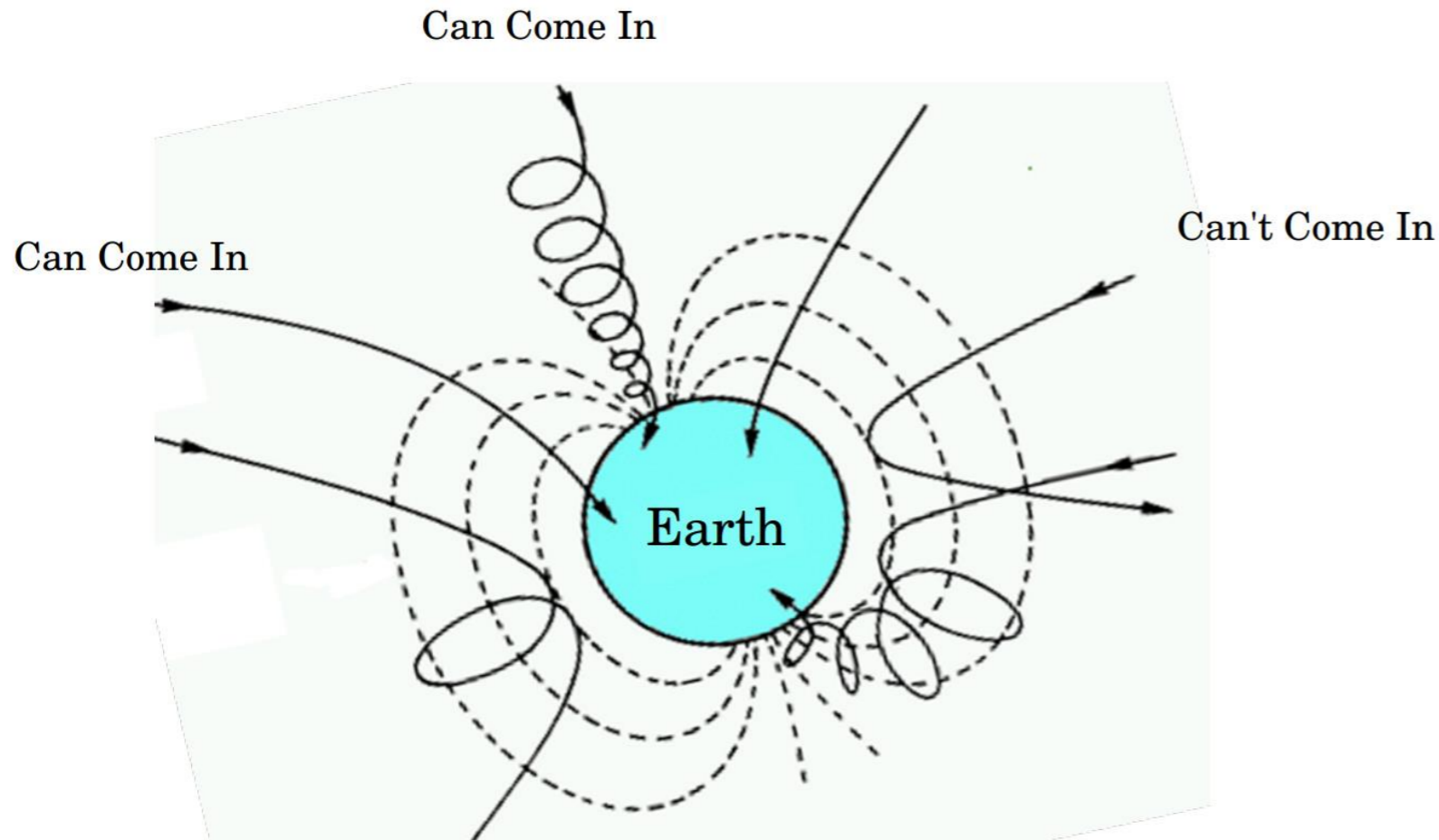
-what about the **primary radiation?**

Earth has a magnetic field



identifying primary CRs

- intensity of cosmic rays is **smaller** at the equator
- B-field deflecting them → they are **charged!**

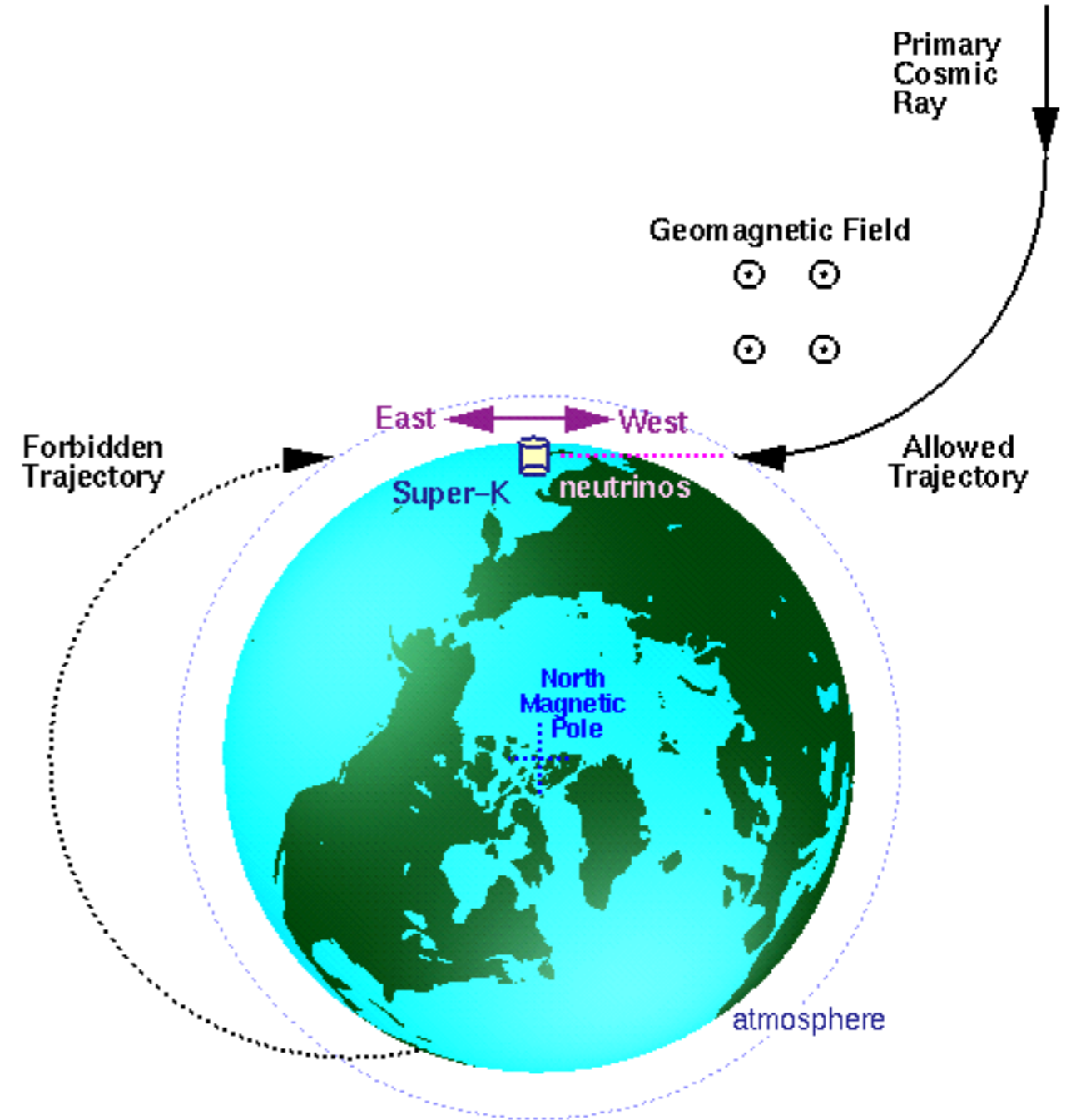


positively charged CRs

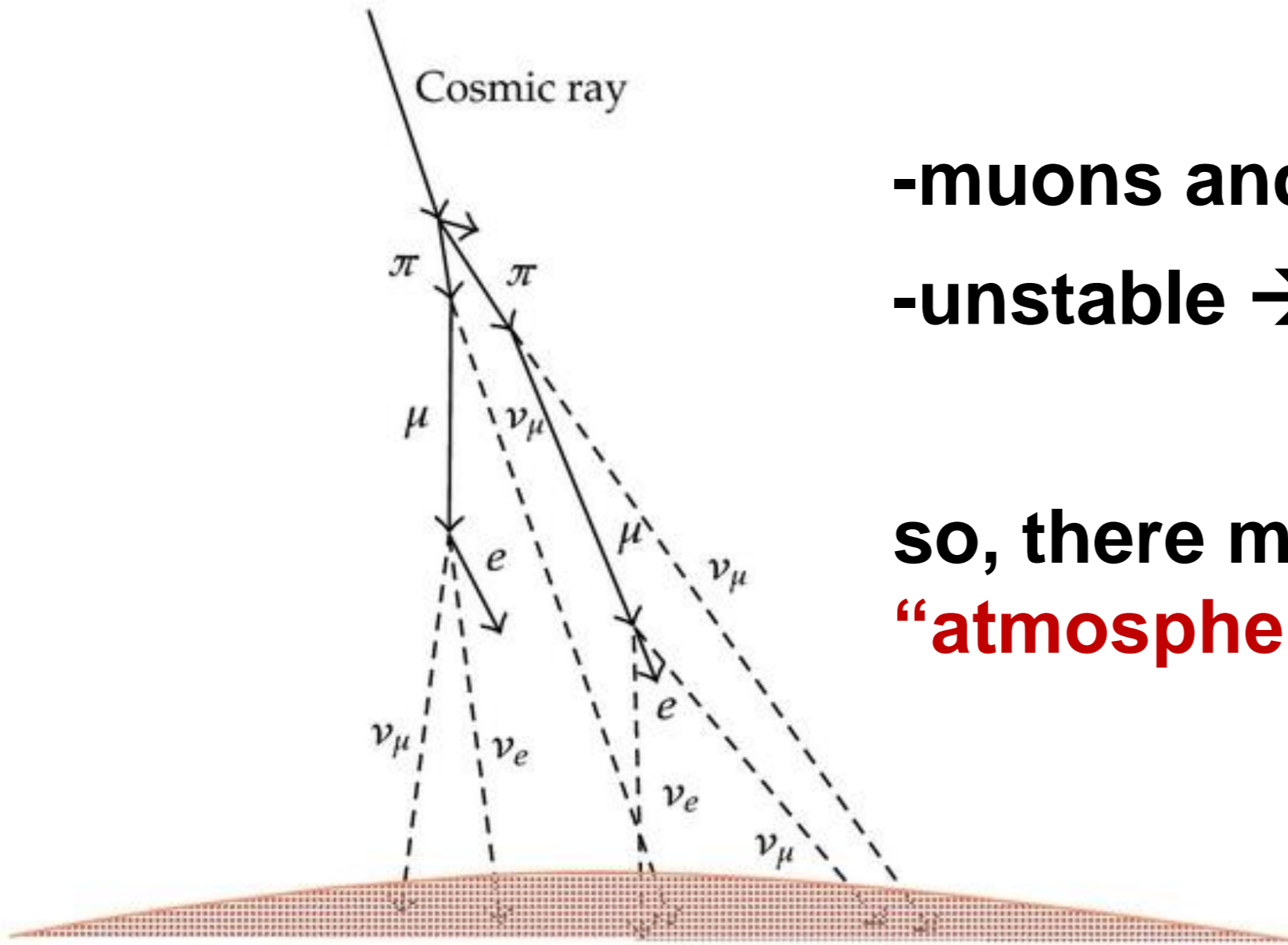
-in 1930 Rossi proposed a charge-induced **asymmetry** in arrival directions

-Earth **shadows** trajectories → more particles from west compared to east

-most CRs are **positively charged** → protons & nuclei



consequences of CR interactions



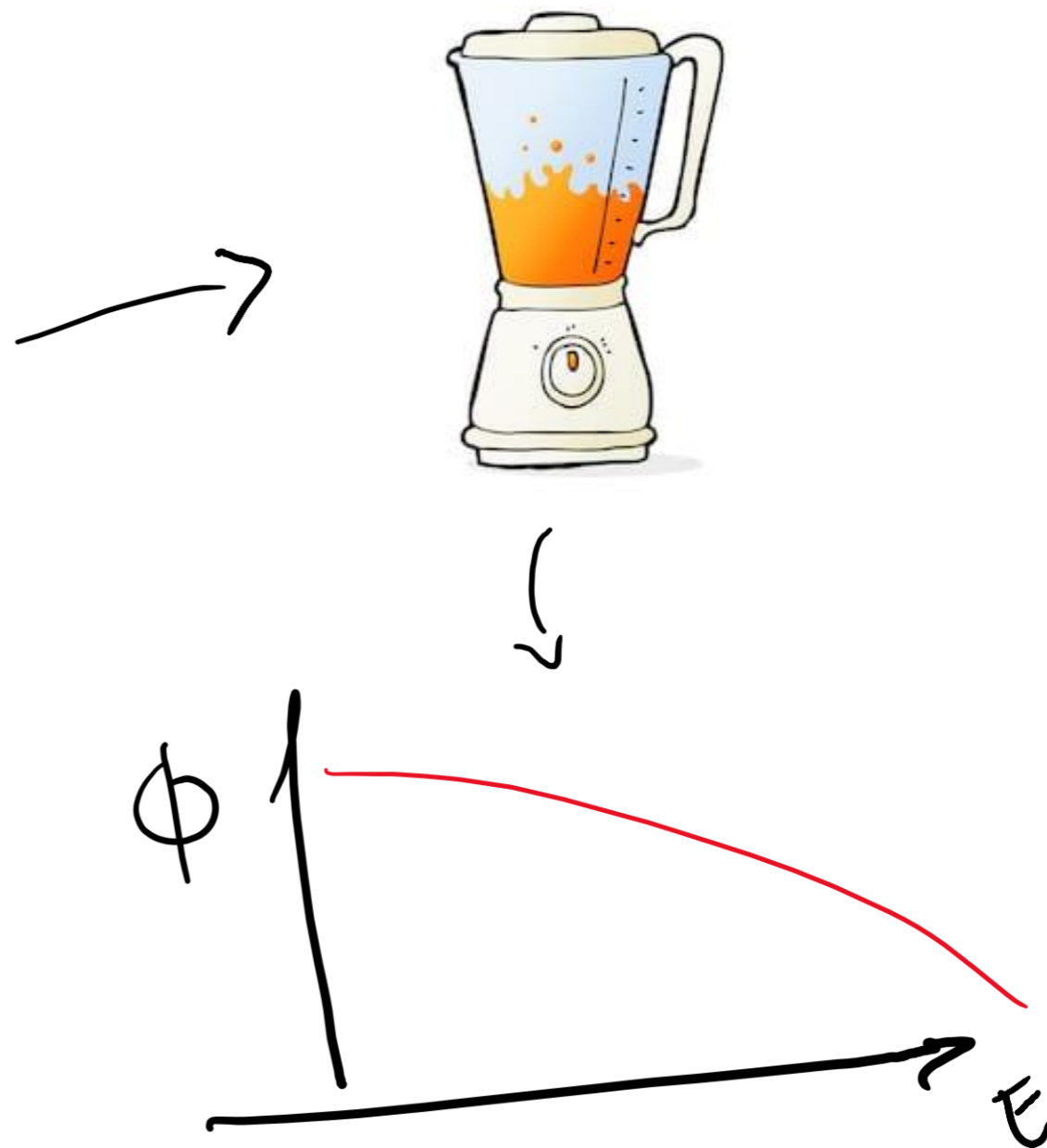
-muons and pions are produced
-unstable → decay → neutrinos

so, there must be an
“atmospheric neutrino” flux

modeling the atmospheric neutrino flux

calculation needs

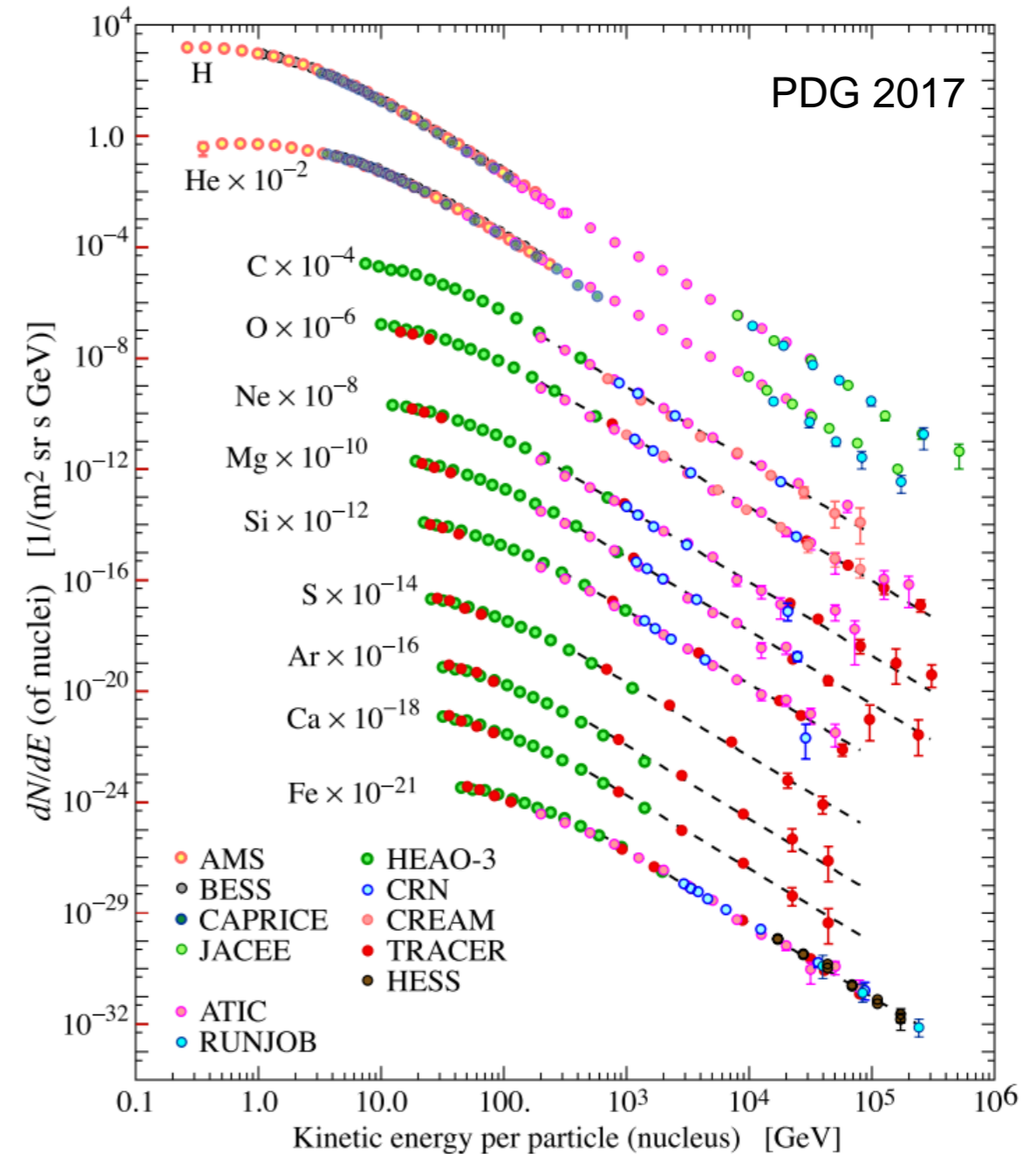
- **cosmic ray flux**
- **atmospheric density**
- **hadronic interactions**
- **model of weak decays**



cosmic ray flux

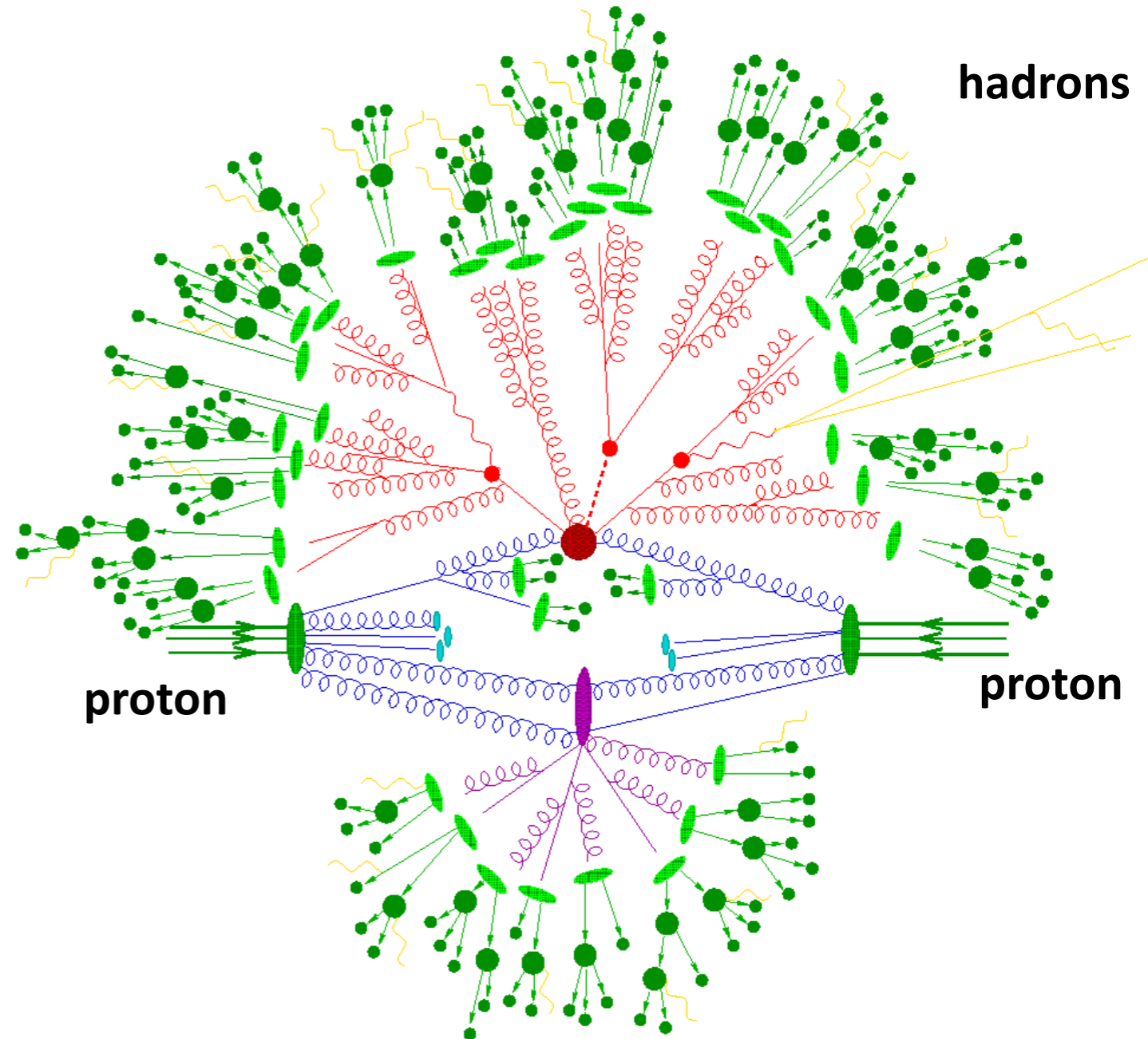
-many **new measurements** in last years

-extreme precision from **AMS-II, CALET and DAMPE**



hadronic interactions

- messy** interactions
- no full first-principle calculations
- use MC generators that **mix** phenomenology and calculations



atmospheric density

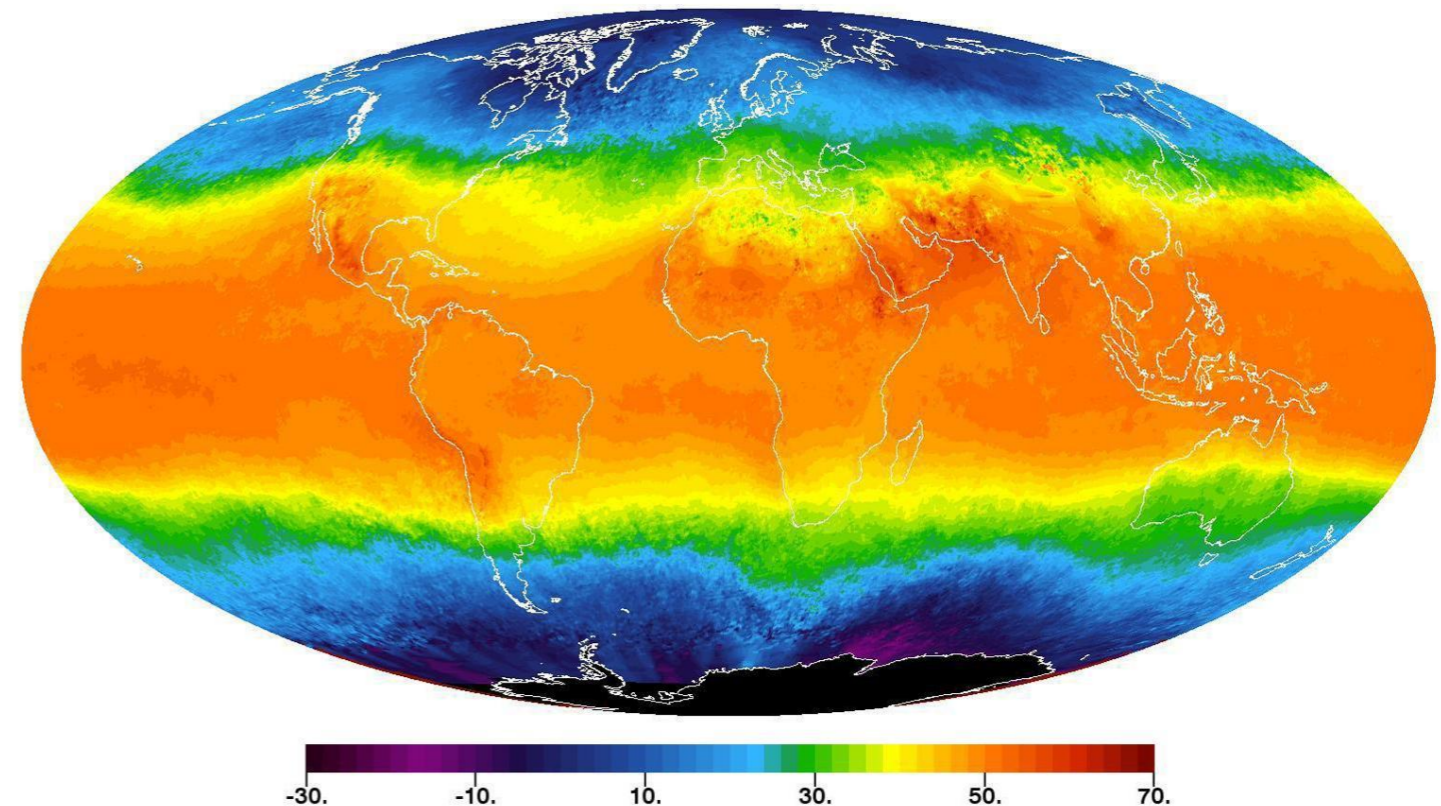
-model or direct measurement

-using satellite data

AIRS

NRLMSIS-E-00

AIRS DAYTIME AIR TEMPERATURE AT 700mb (F), May 2009



computation scheme options

a) analytically approx. cascade equations

b) numerically solving the equations

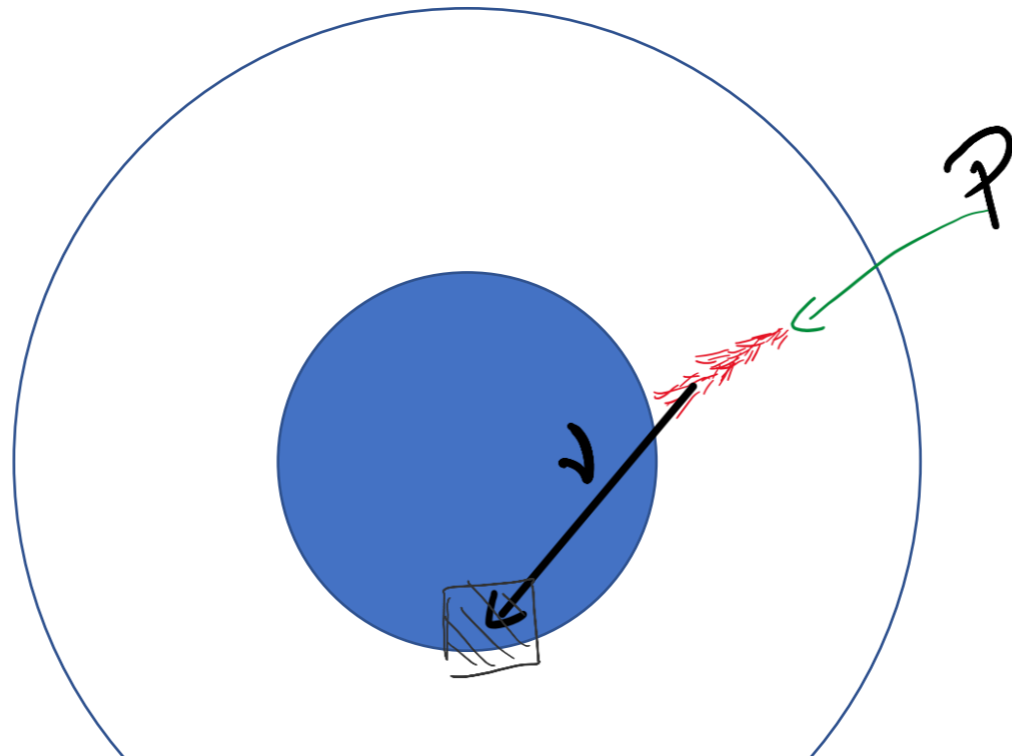
$$\begin{aligned} \frac{d\Phi_h(E, X)}{dX} = & - \frac{\Phi_h(E, X)}{\lambda_{\text{int},h}(E)} && \text{Interactions with air} \\ & - \frac{\Phi_h(E, X)}{\lambda_{\text{dec},h}(E, X)} && \text{Decays} \\ & - \frac{\partial}{\partial E} (\mu(E)\Phi_h(E, X)) && \text{Continuous losses} \\ & + \sum_k \int_E^\infty dE_k \frac{dN_{k(E_k) \rightarrow h(E)}}{dE} \frac{\Phi_k(E_k, X)}{\lambda_{\text{int},k}(E_k)} && \text{Re-injection from interactions} \\ & + \sum_k \int_E^\infty dE_k \frac{dN_{k(E_k) \rightarrow h(E)}^{\text{dec}}}{dE} \frac{\Phi_k(E_k, X)}{\lambda_{\text{dec},k}(E_k, X)} && \text{Re-injection from decays} \end{aligned}$$

[See A. Fedynitch's talk at ISAPP 2018](#)
for a more complete discussion

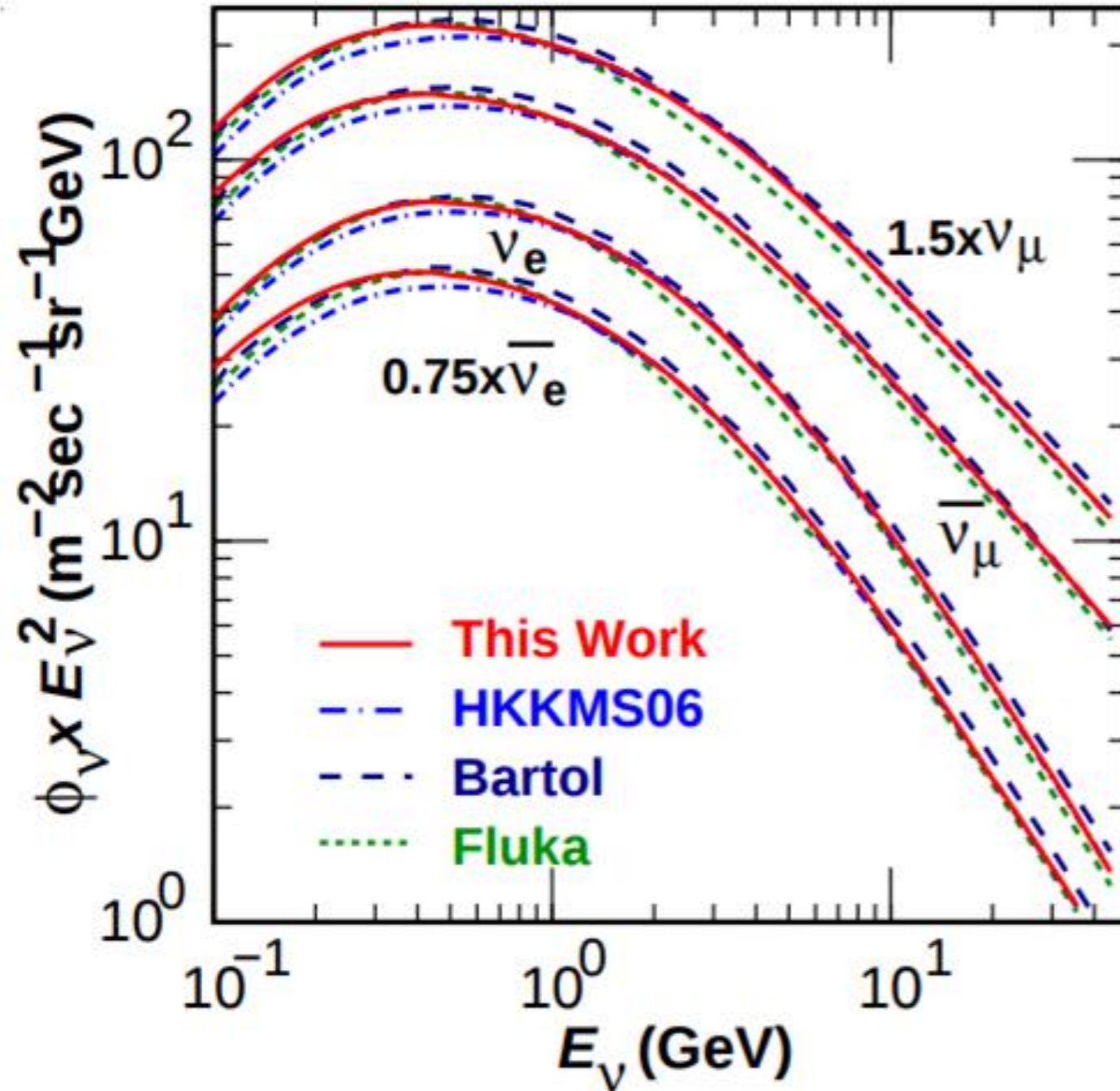
$$X(h_0) = \int_0^{h_0} d\ell \rho_{\text{air}}(\ell)$$

computation scheme options

- a) analytically approx. cascade equations
- b) numerically solving the equations
- c) MC of CR injected far from Earth

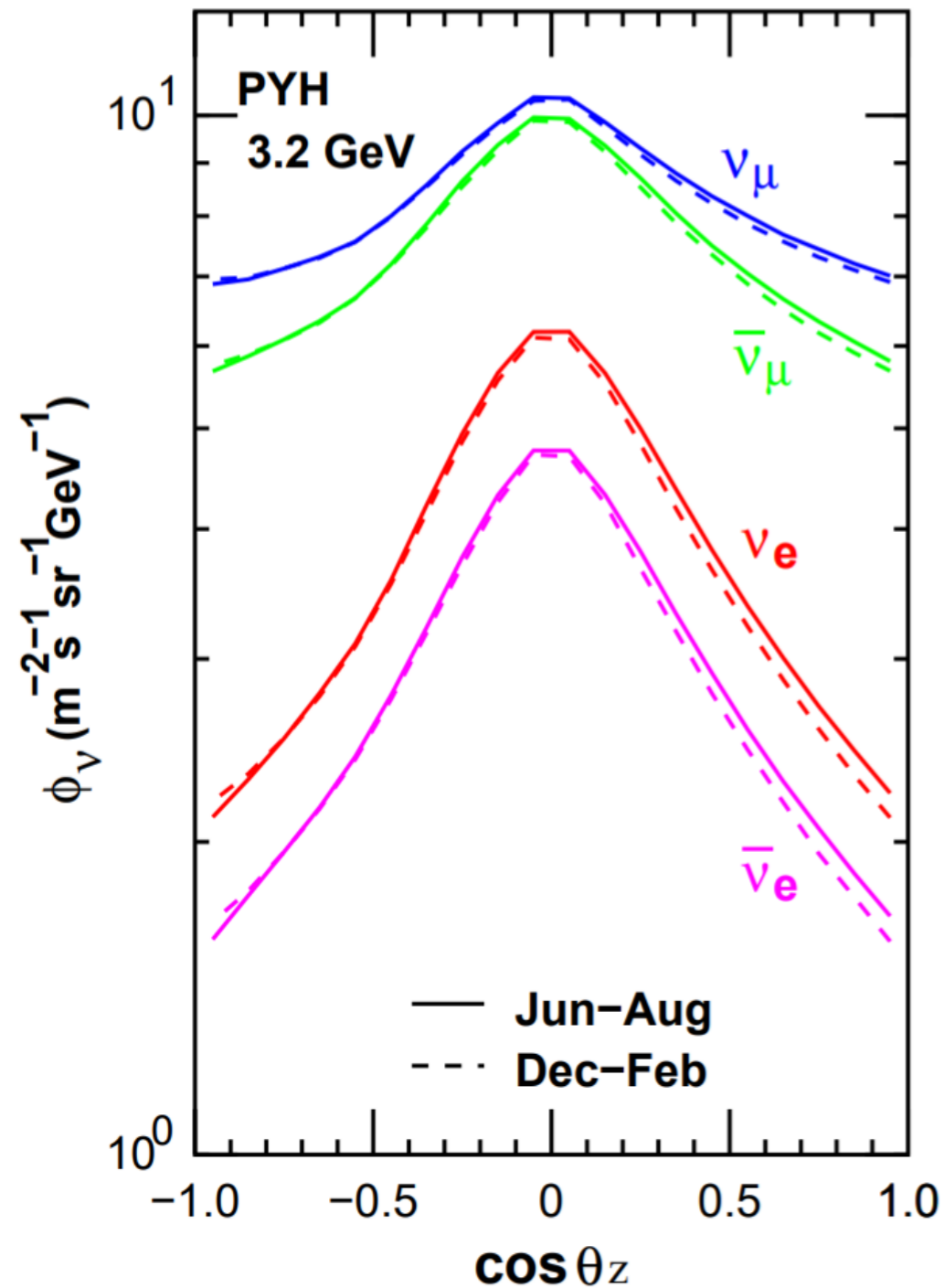


predicted flux



- covers a **wide energy** range
- contains **four** different particles
- dominated by **muon** neutrinos
- approximately top/down **symmetric**

predicted flux



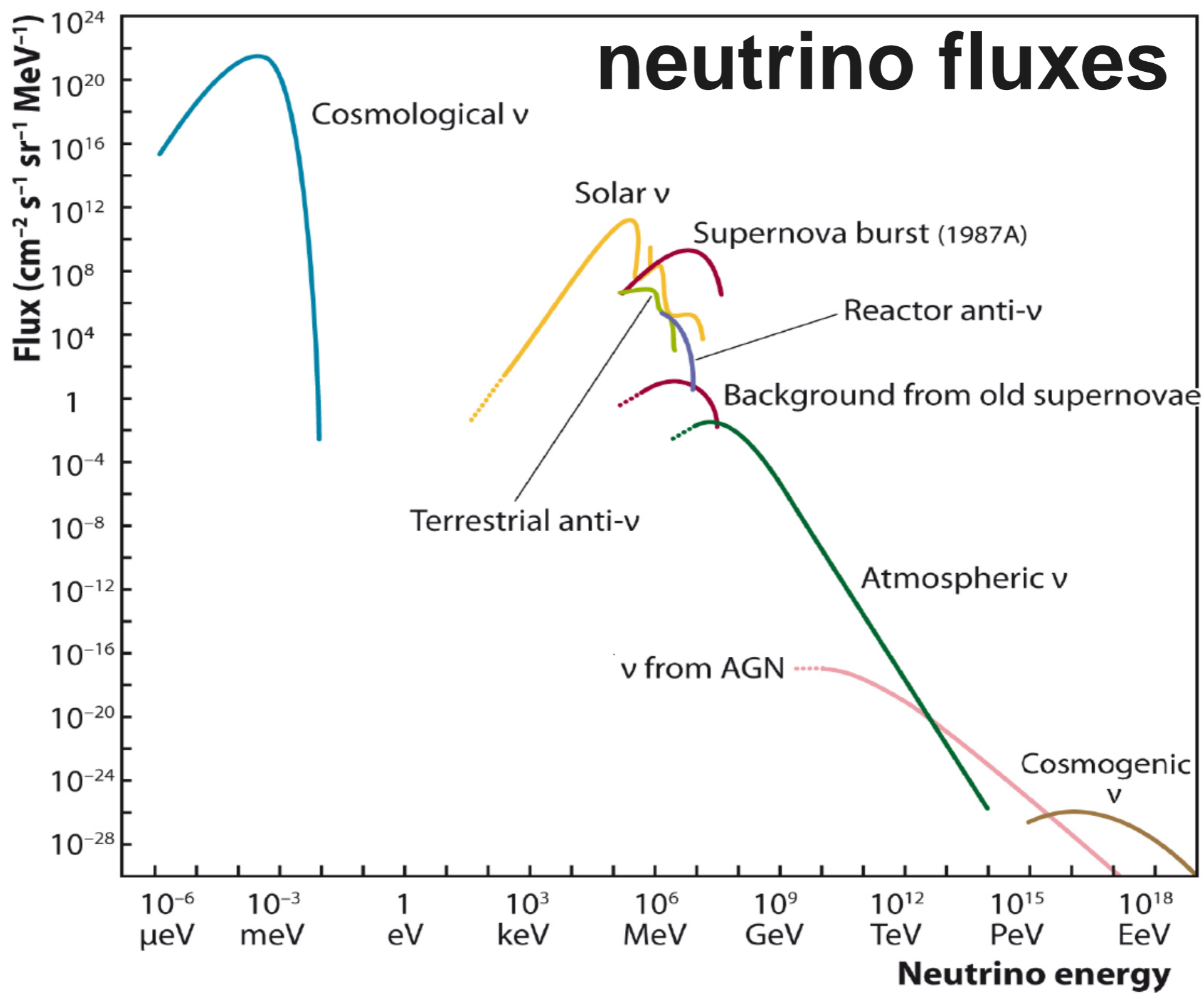
-covers a **wide energy** range

-contains **four** different particles

-dominated by **muon** neutrinos

-approximately top/down **symmetric**

neutrino fluxes

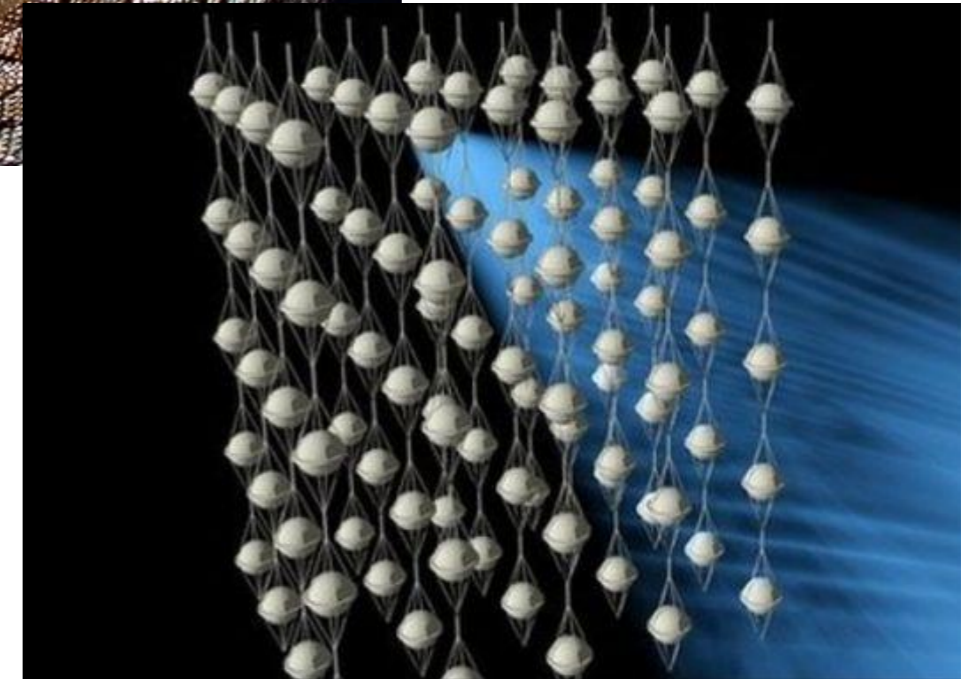
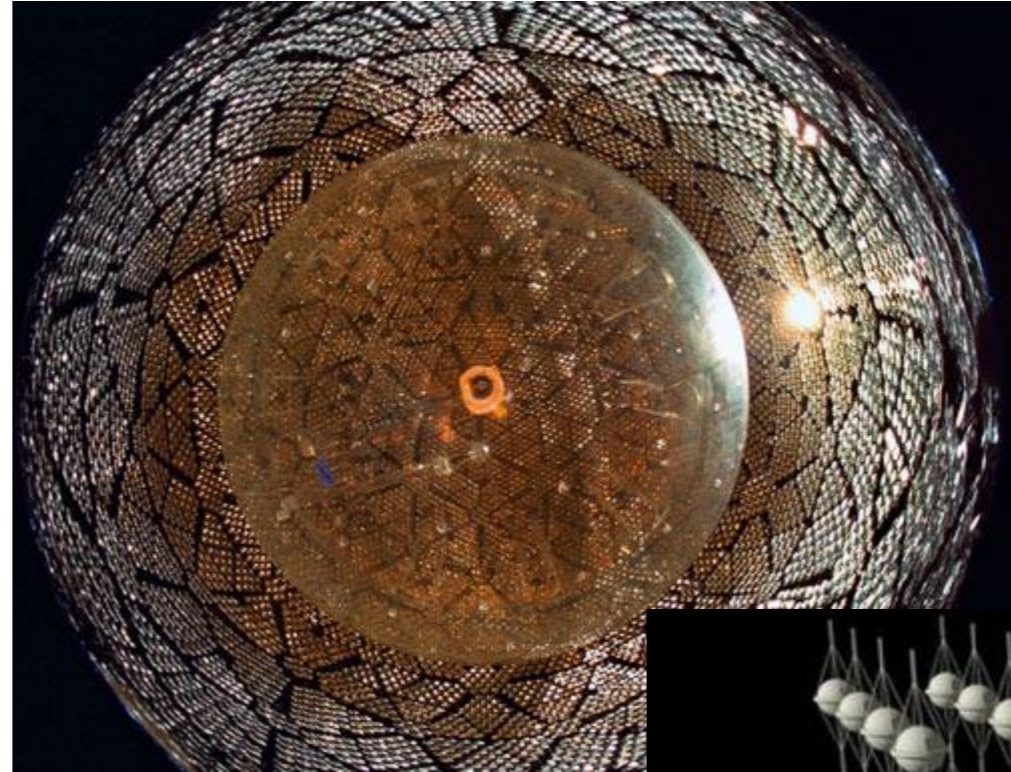


atmospheric neutrino detection

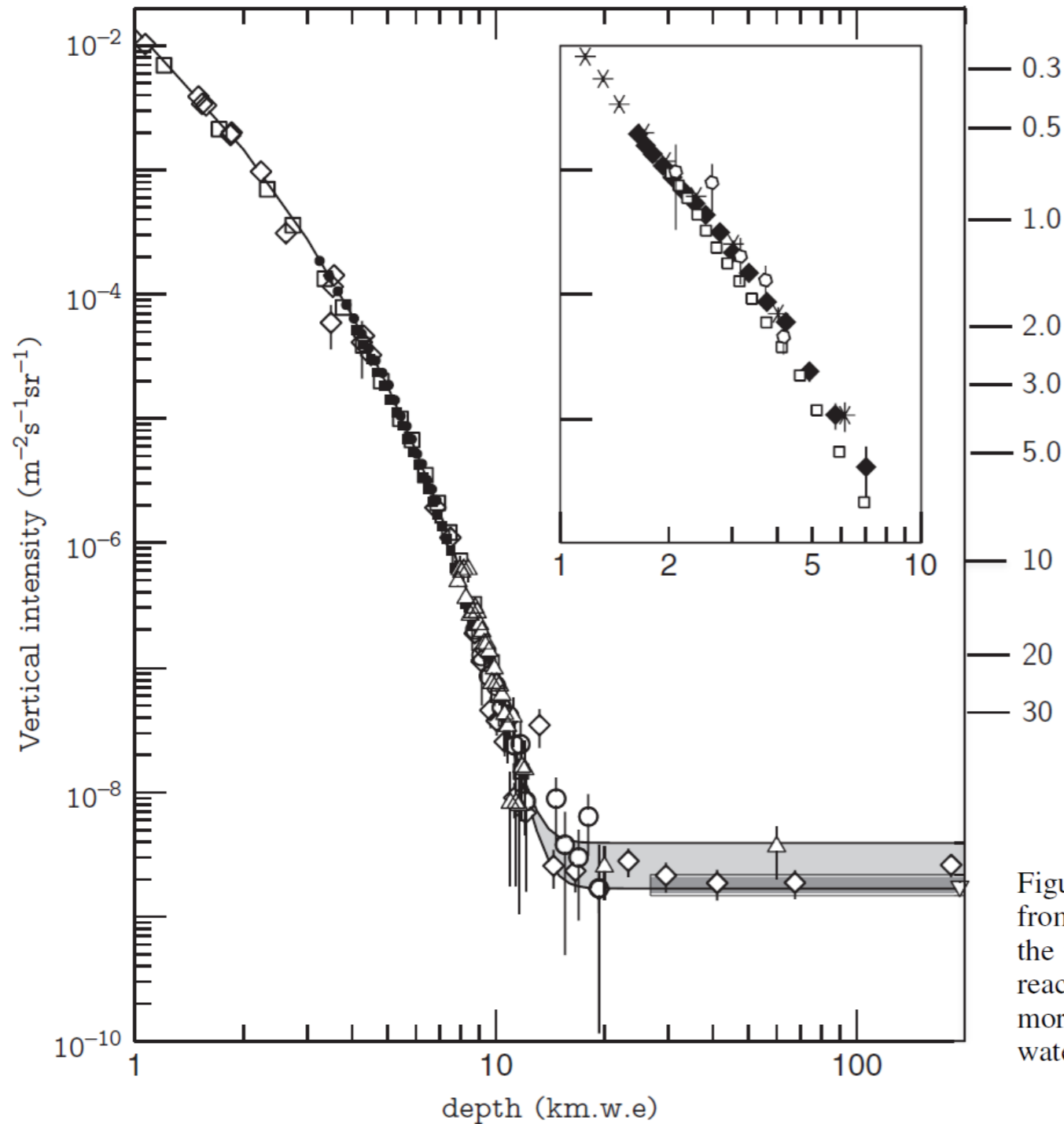
first ideas

-Greisen (1960)
proposed a volume of
water **surrounded by**
Cherenkov counters

-Markov (1960)
proposed installing
detectors deep in a
lake or the sea



first ideas



key point:
deep
underground
to avoid muon
background

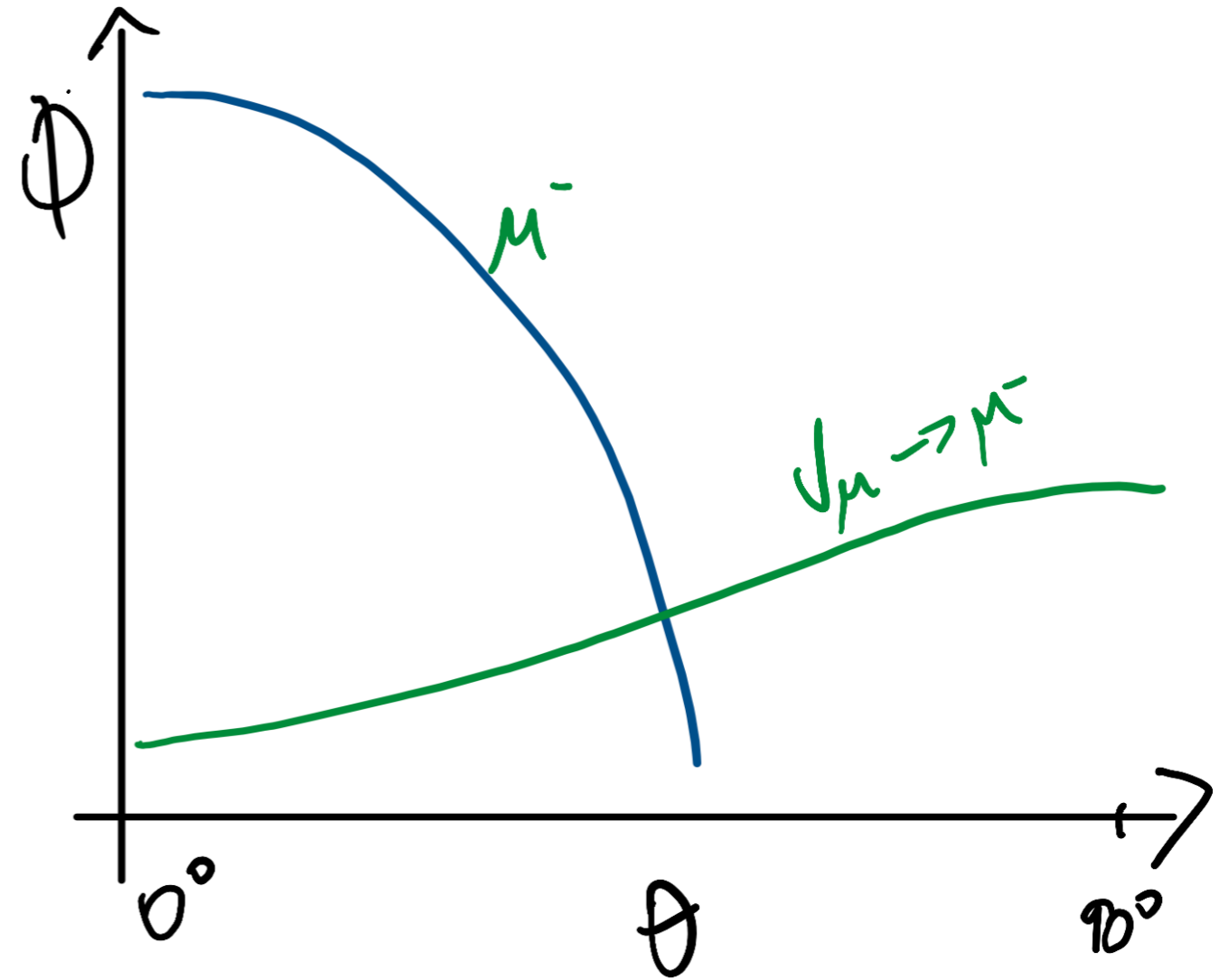
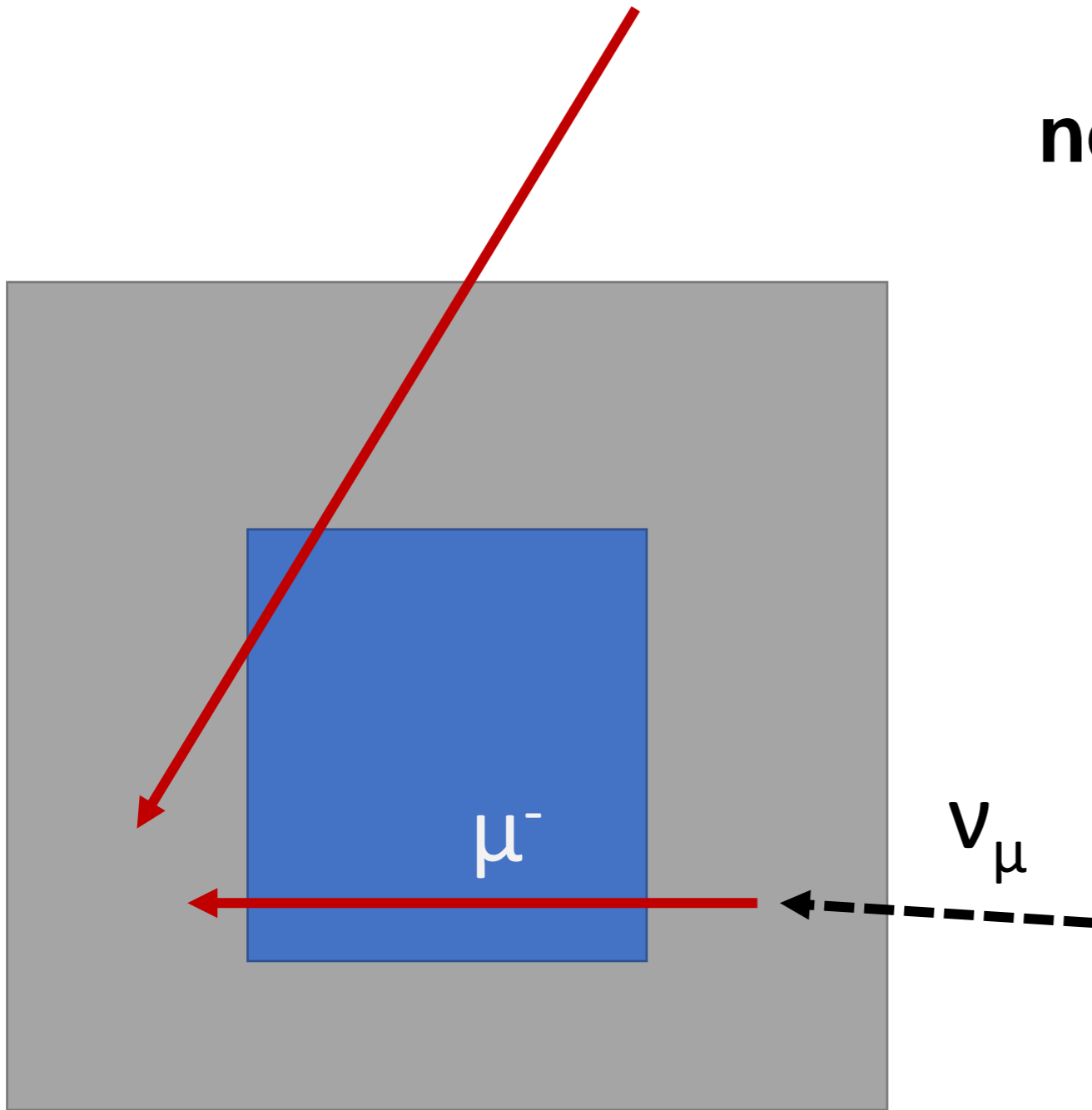
Figure 8.2 Relation between muon intensity and depth underground, adapted from Review of Particle Physics [10]. The left axis is the vertical intensity, while the right axis shows the minimum muon energy (TeV) at production needed to reach the depth corresponding to a given intensity. At depths of 10 km.w.e and more neutrino-induced muons dominate. The inset shows measurements made in water or ice.

discovery of **atmospheric neutrinos** (1965-68)

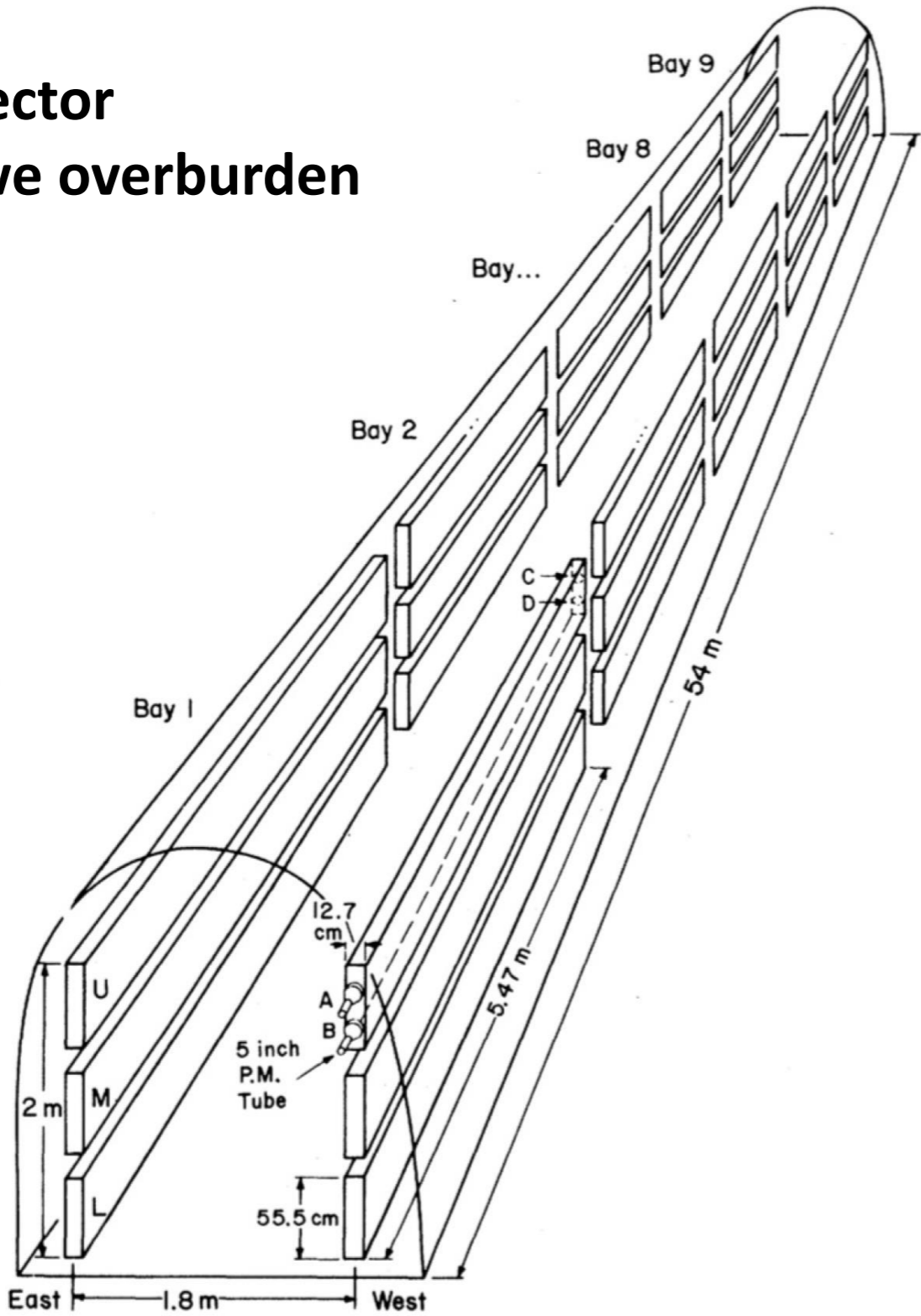


Kolar Gold Fields detector
Case Western Irvine/South Africa Neutrino Detector

neutrino-induced muons

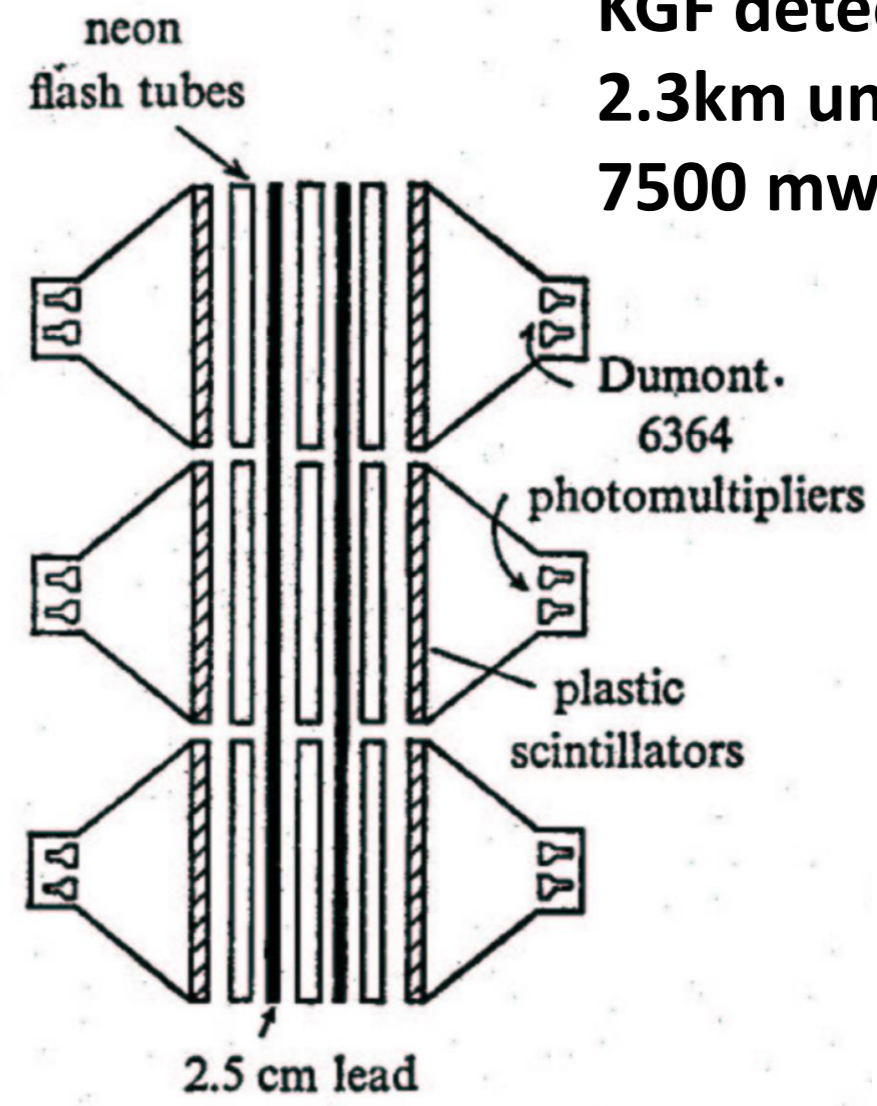


CWI detector 8800 mwe overburden

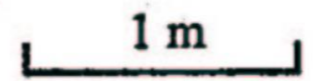


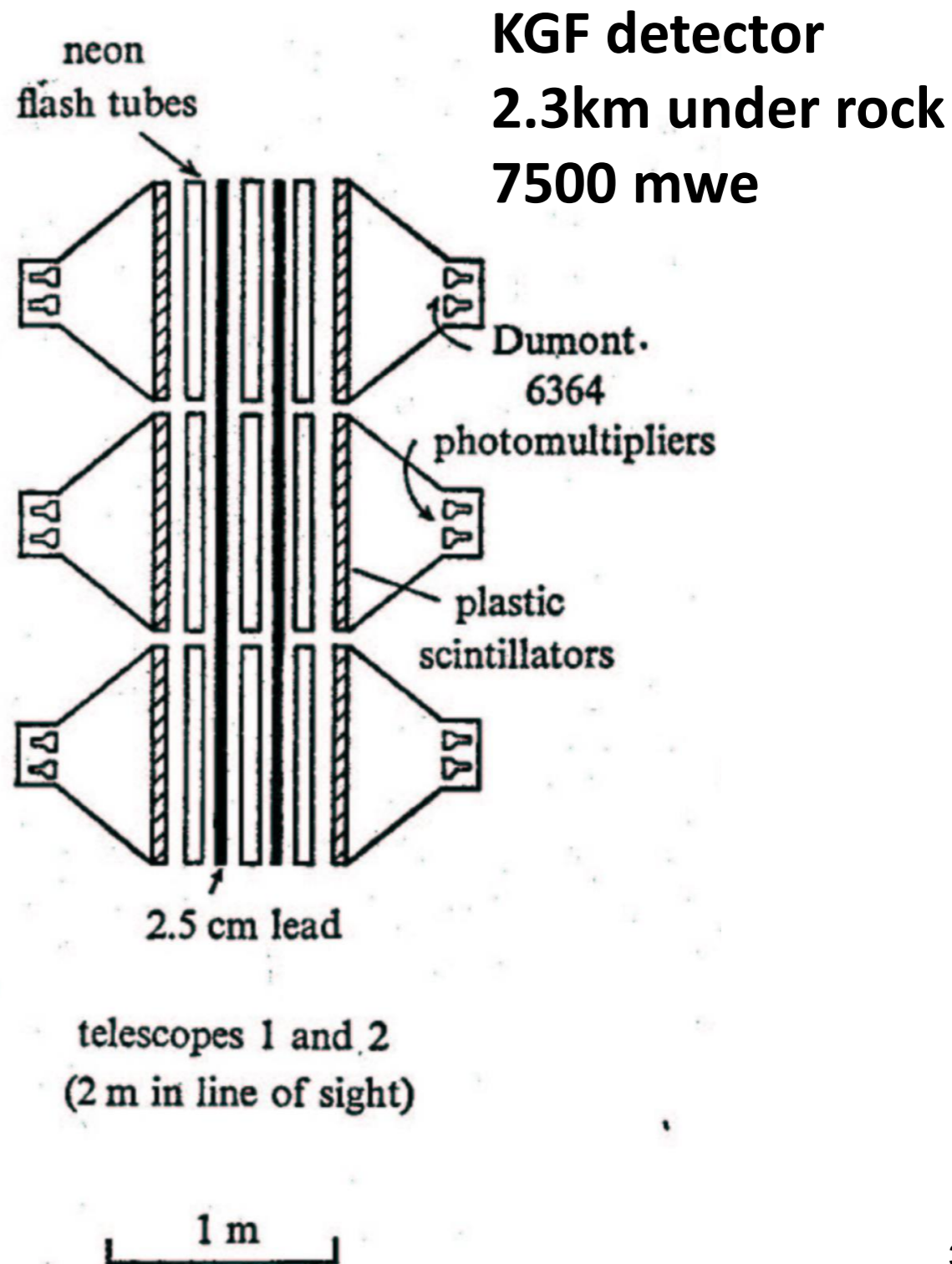
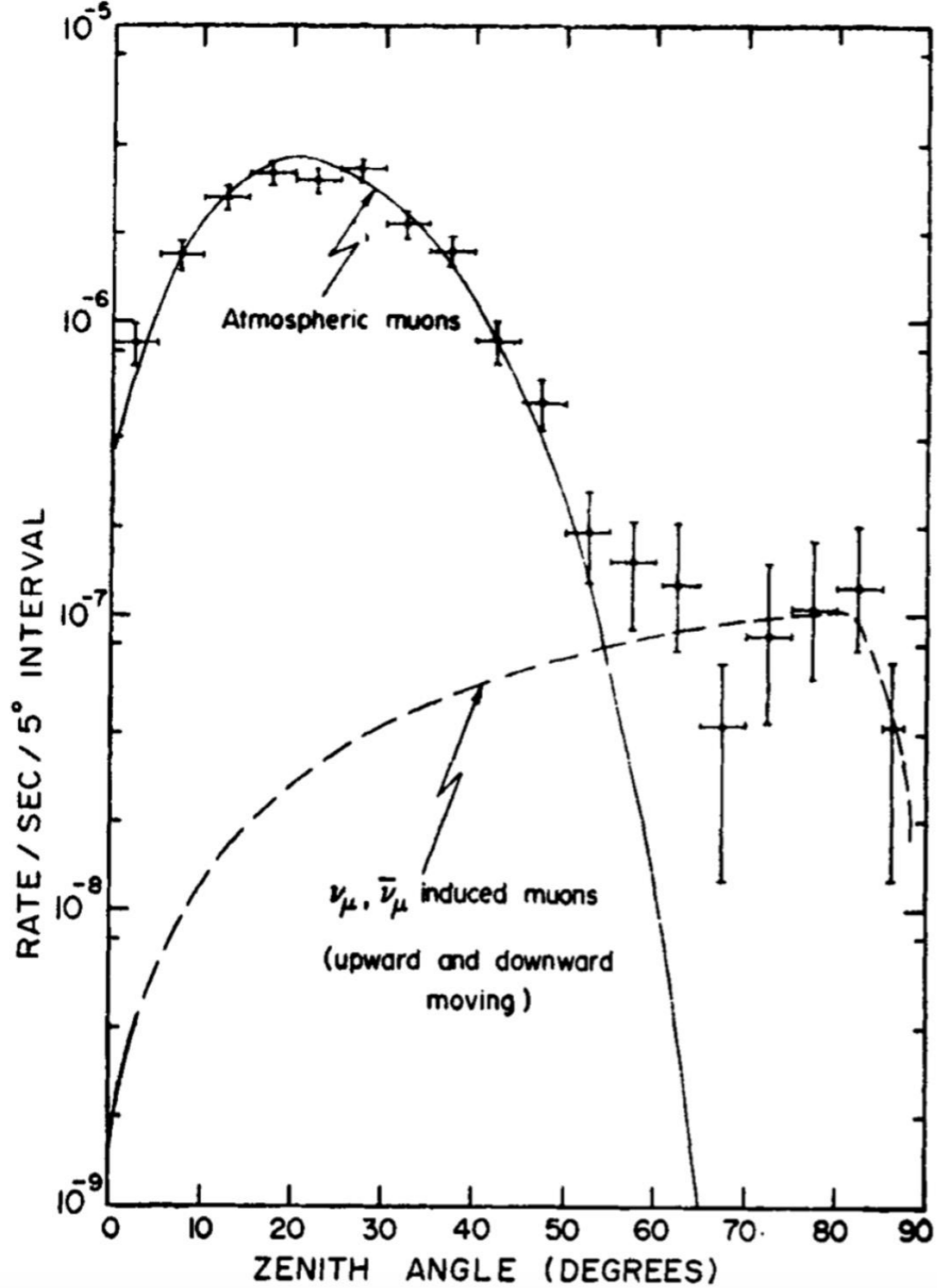


KGF detector
2.3km under rock
7500 mwe

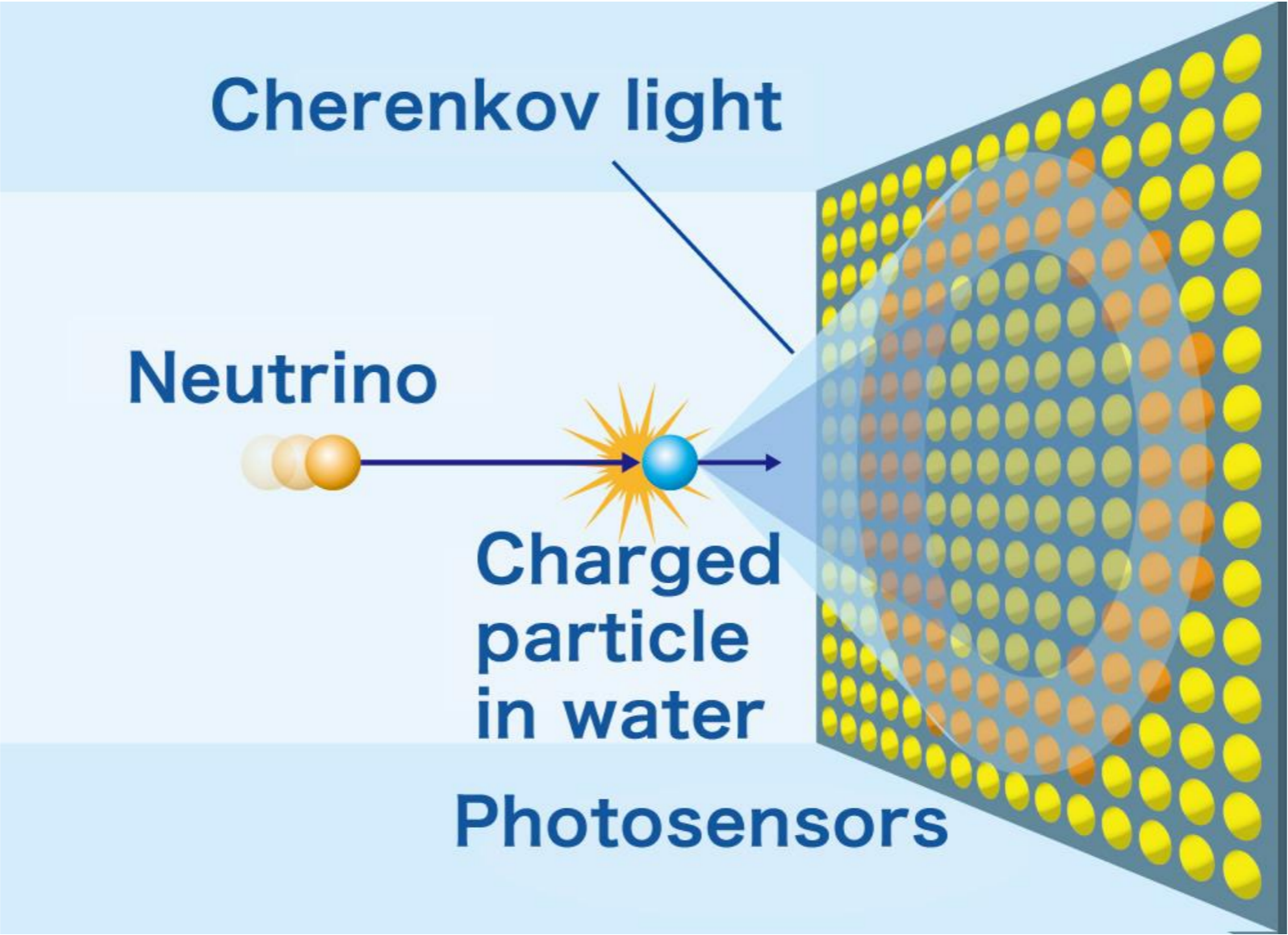


telescopes 1 and 2
(2 m in line of sight)





Cherenkov detectors



tracking calorimeters

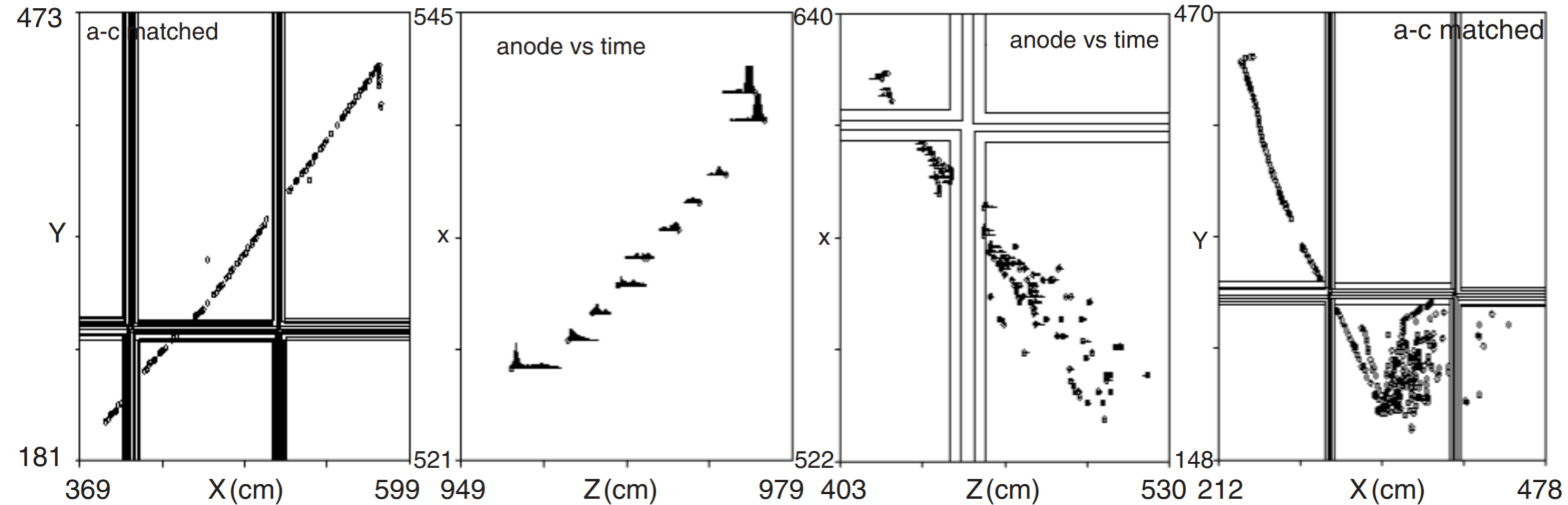
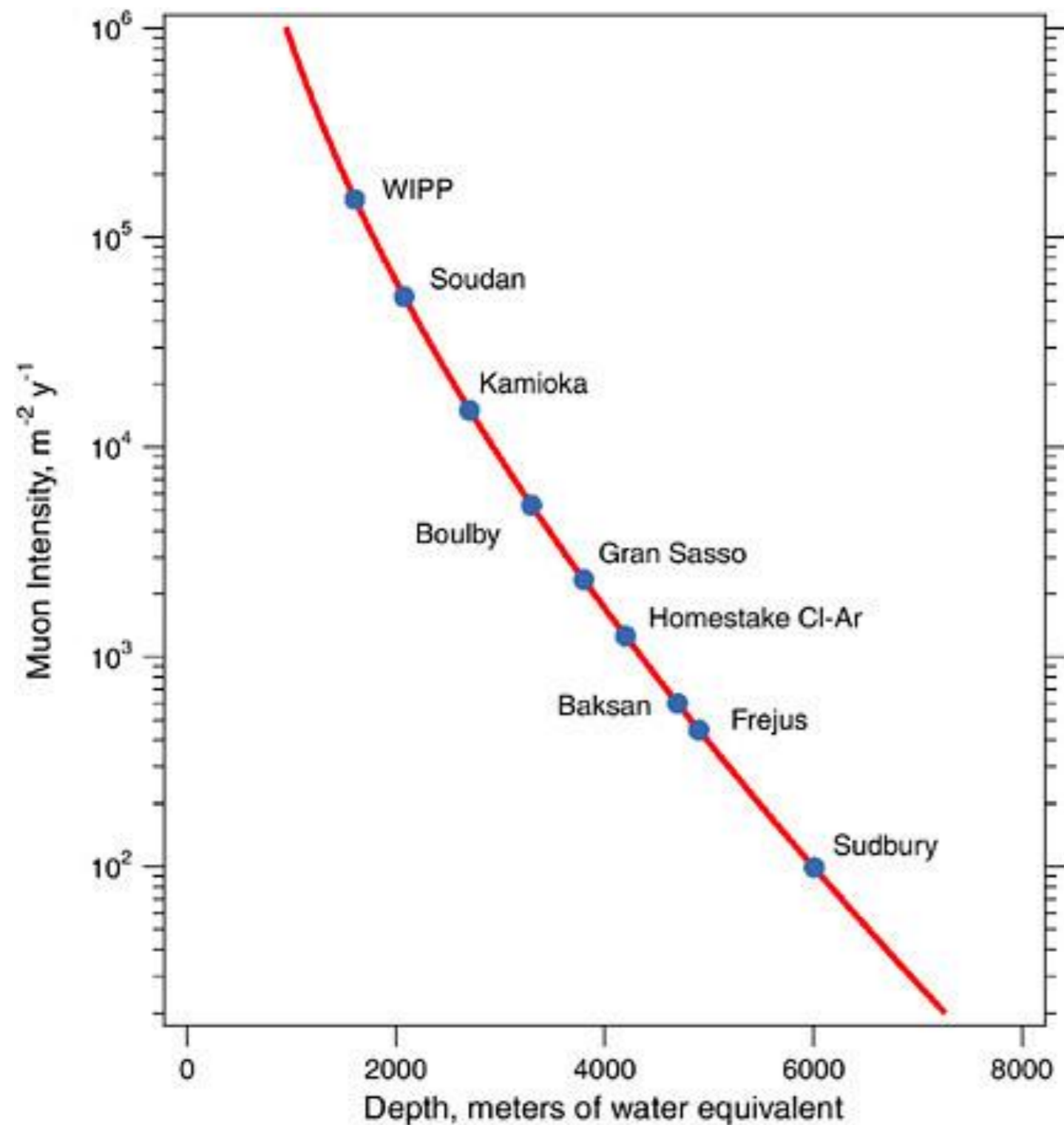
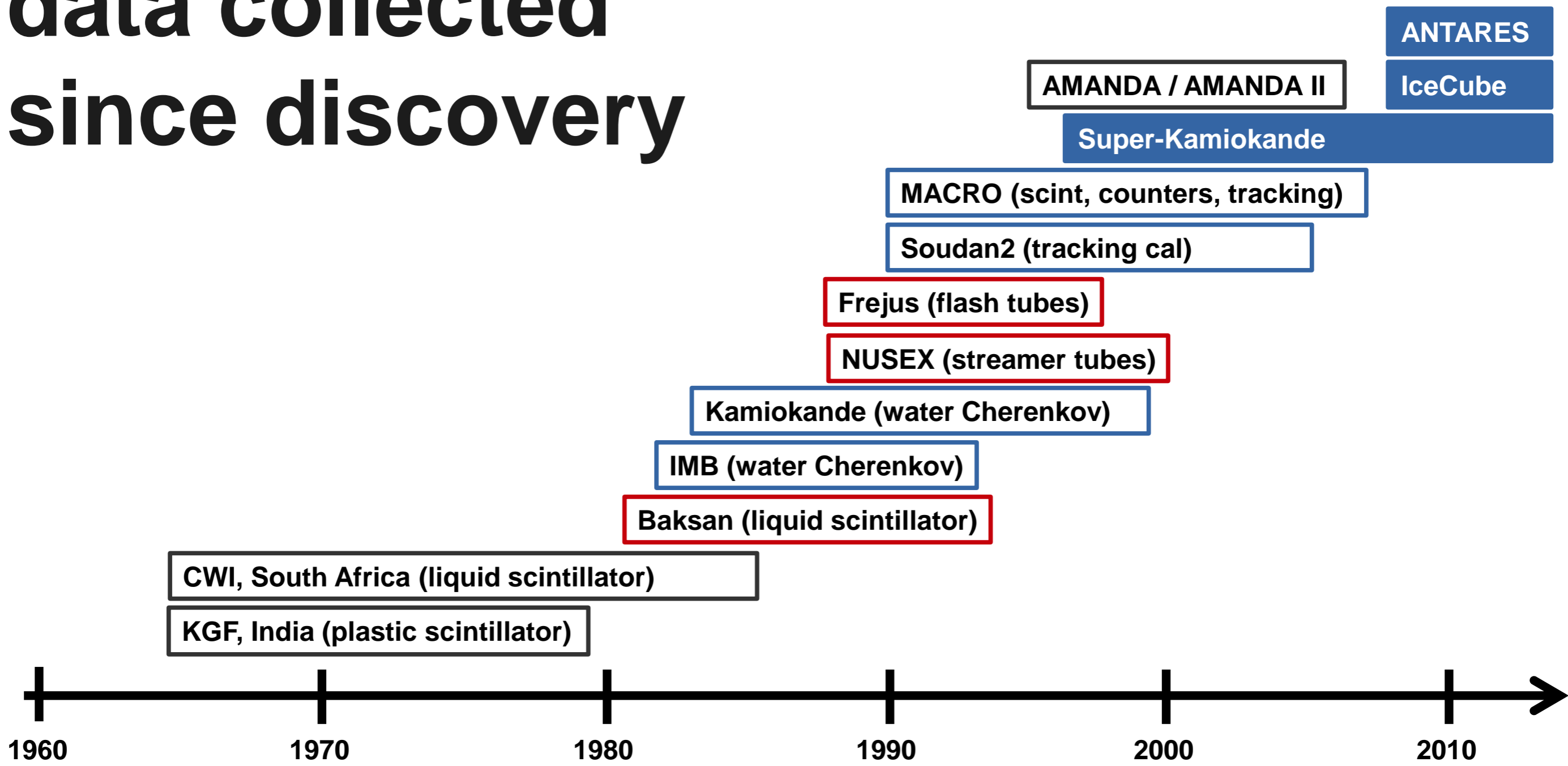


Figure 9. Example event displays from the Soudan-2 detector, showing the long track from a muon and a shorter, more heavily ionizing track from a recoil proton.



again:
go deep underground

data collected since discovery



*take dates with caution – list is incomplete

on the early experiments

-motivated by the search for **proton decay**

-atmospheric neutrinos were **not the goal**

-but now we know a **little more**

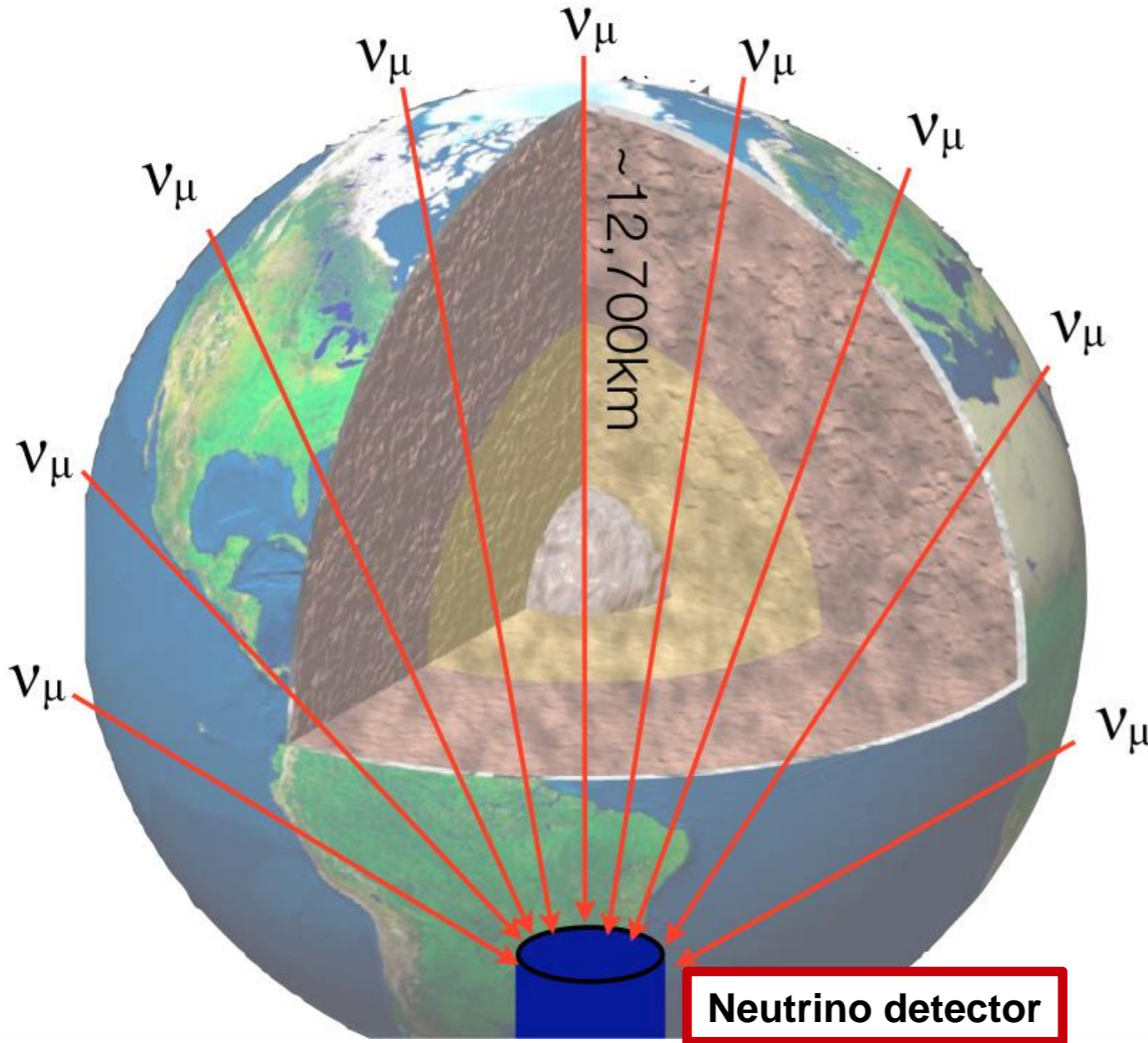
physics motivation

why atmospherics?

direction → **baseline**

~10km - ~12,700km

different **e^- density**
along paths

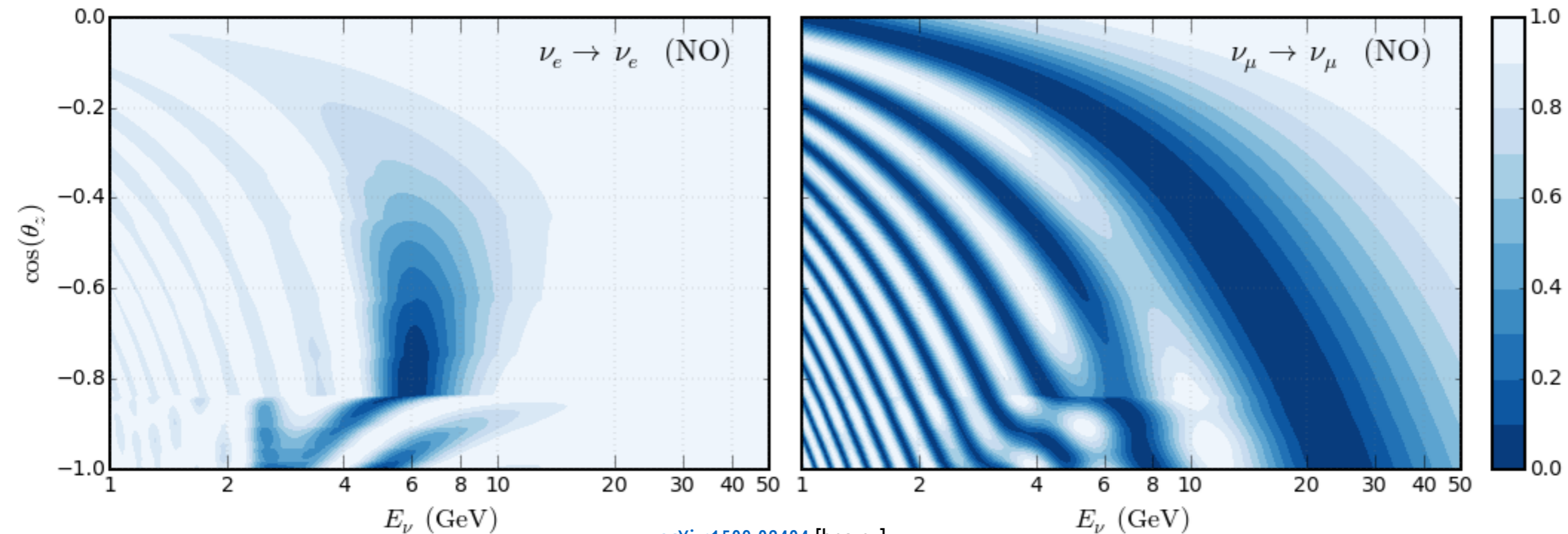


Borrowed from T. DeYoung

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{2\nu}(L, E) = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2}{4E} L\right)$$

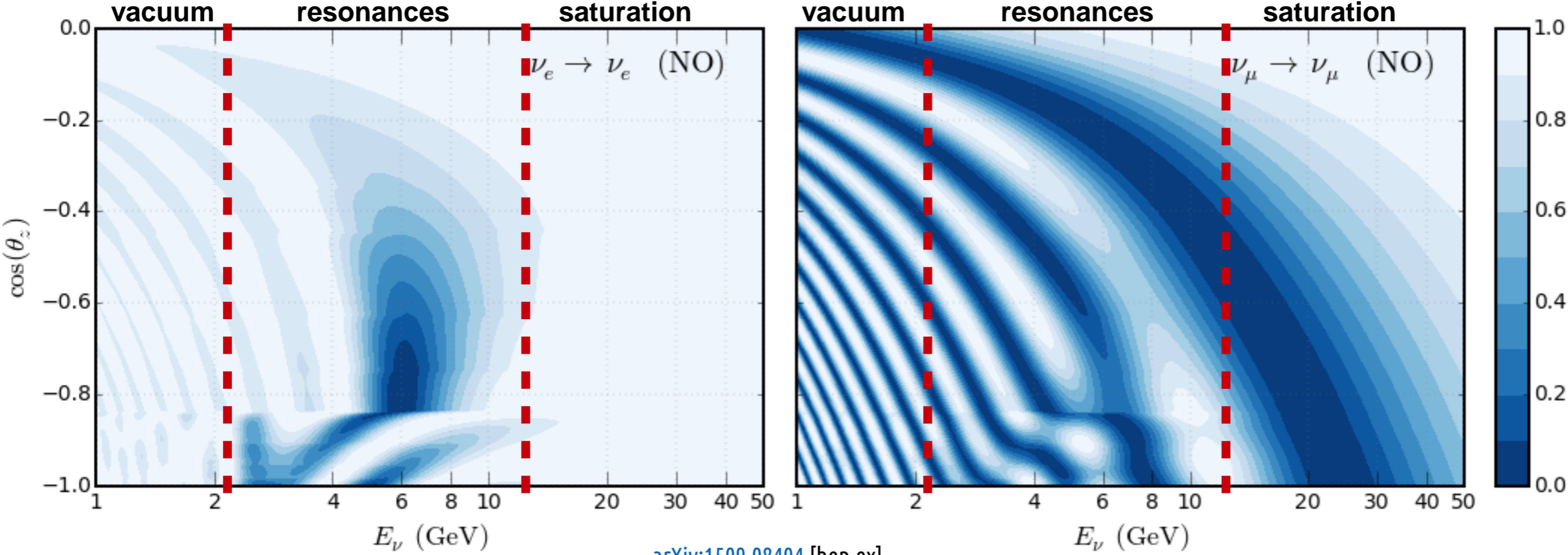
Relevant mass-splitting (pointing to Δm^2)
effective mixing angle (pointing to 2θ)

survival probabilities



[arXiv:1509.08404](https://arxiv.org/abs/1509.08404) [hep-ex]

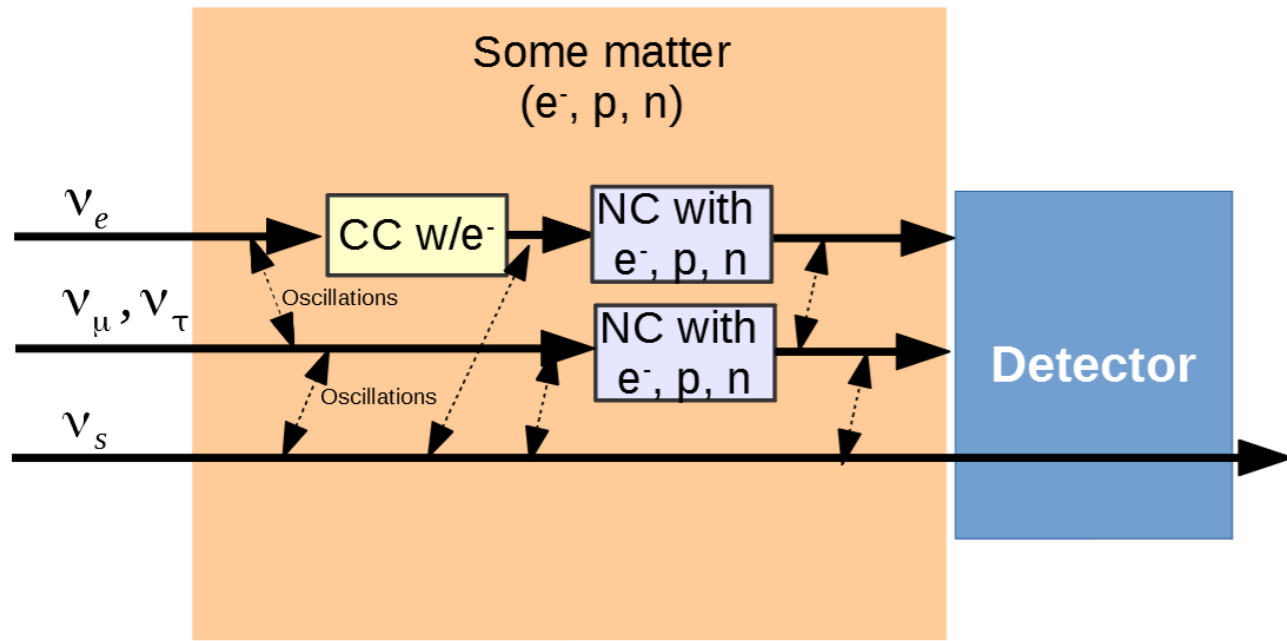
survival probabilities



[arXiv:1509.08404](https://arxiv.org/abs/1509.08404) [hep-ex]

vacuum: $|\Delta m_{32}^2| \theta_{23} \theta_{13}$ resonance: Δm_{32}^2 saturation: $|\Delta m_{32}^2| \theta_{23}$
 ν_τ appearance

exotic possibilities

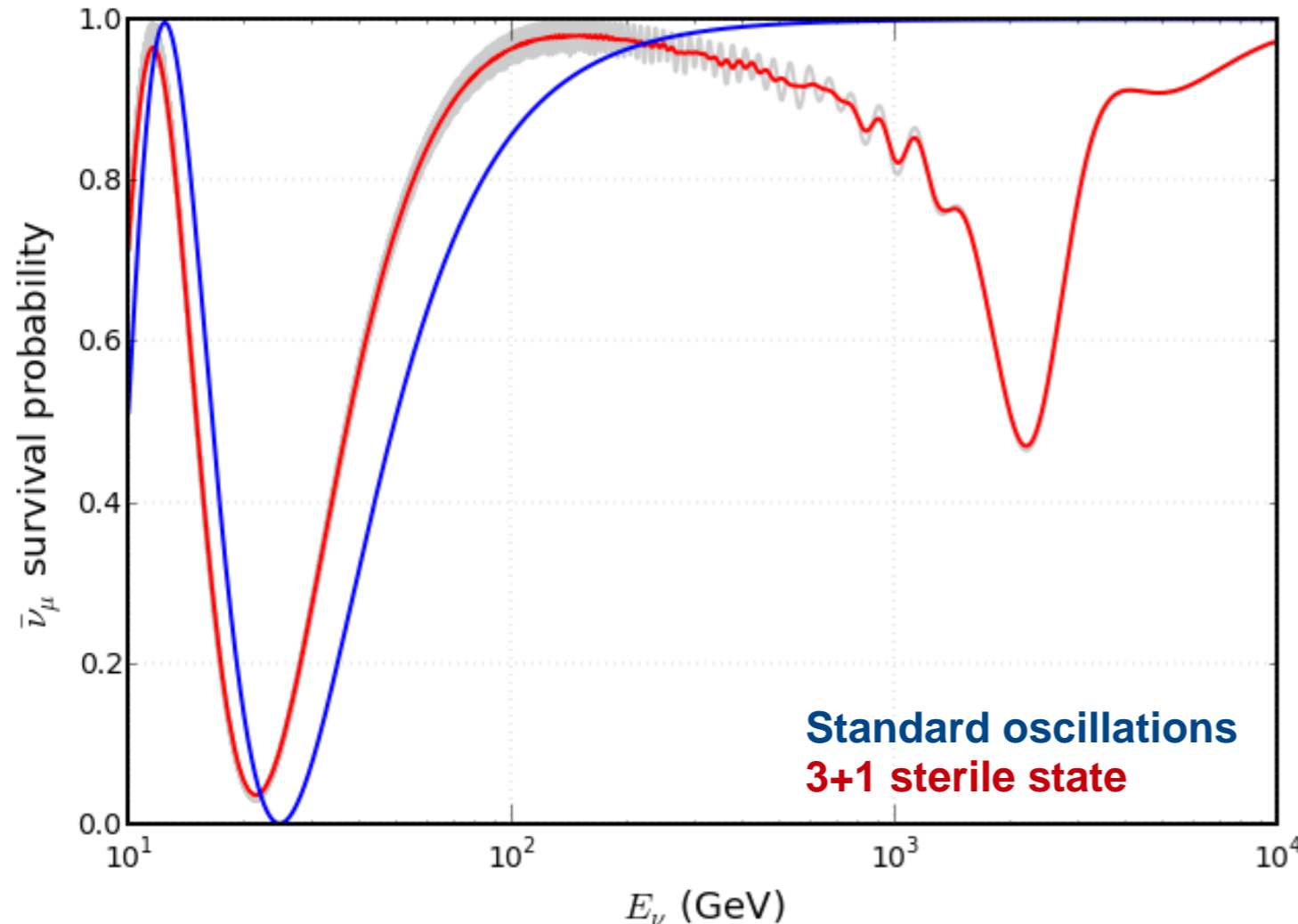


sterile neutrinos

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{bmatrix}$$

$$\tan 2\theta_M = \frac{\tan 2\theta}{1 - \frac{Acc}{\Delta m^2 \cos 2\theta}}$$

exotic possibilities



sterile neutrinos

-modify std. osc. effect

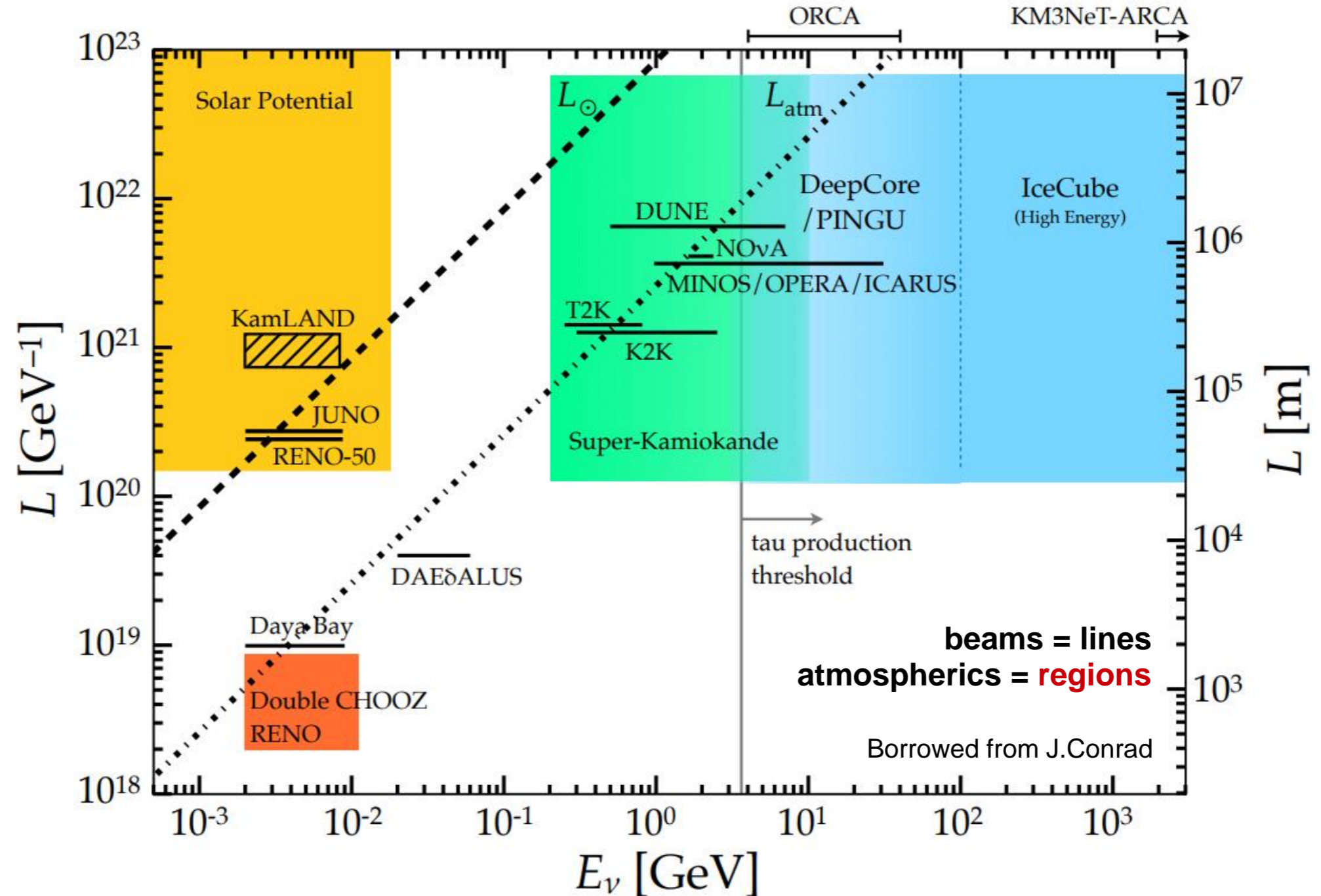
-add osc. at $E \sim \text{TeV}$

$$\sin^2 \left(\frac{\Delta m^2}{4E} L \right)$$

- modify $P(\nu_\mu \rightarrow \nu_\mu)$

for $\cos\theta = -1$ (crossing all of the Earth)

wide baseline, energy range



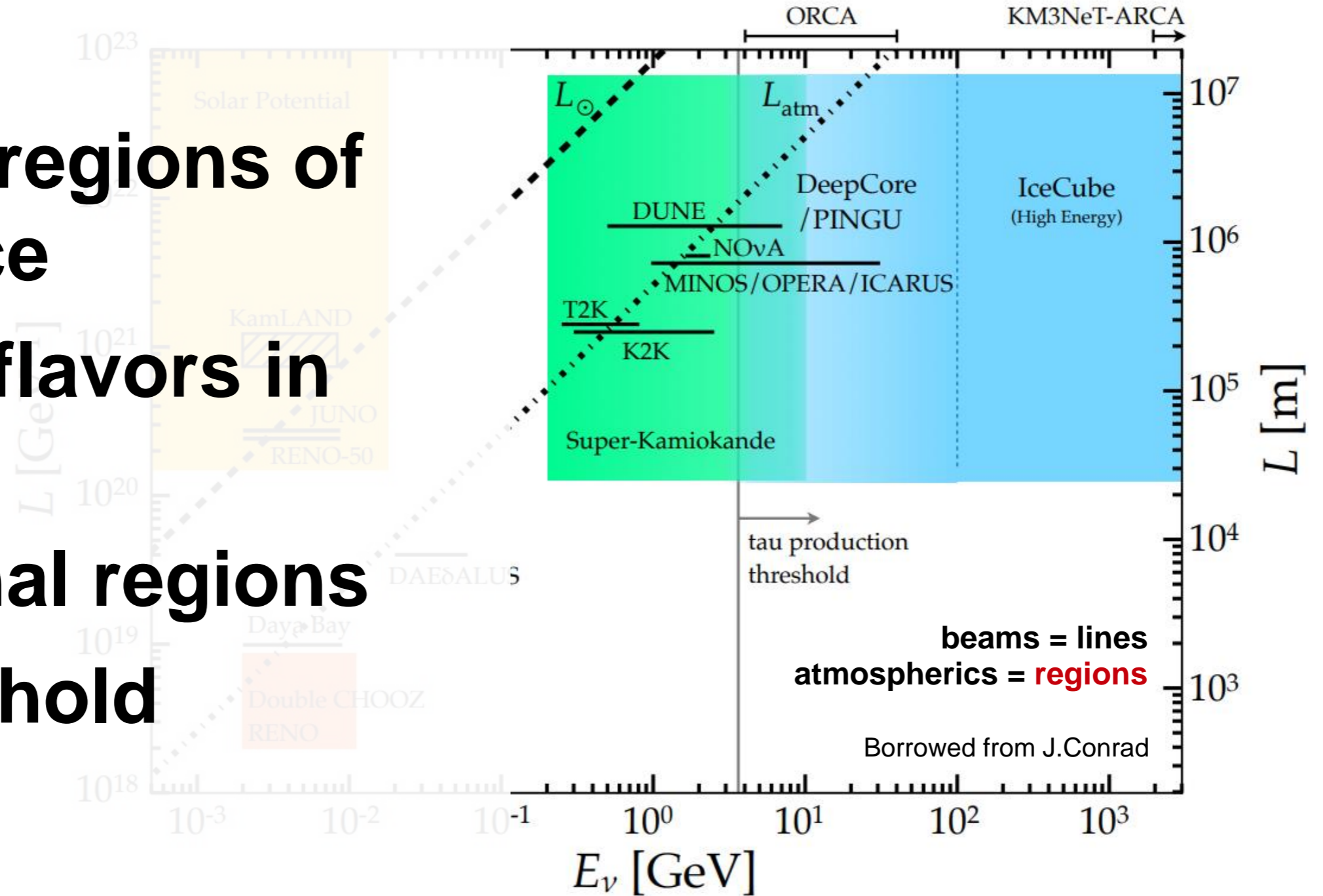
wide baseline, energy range

-large **L&E** regions of phase space

-2 **ν , anti- ν** flavors in “beam”

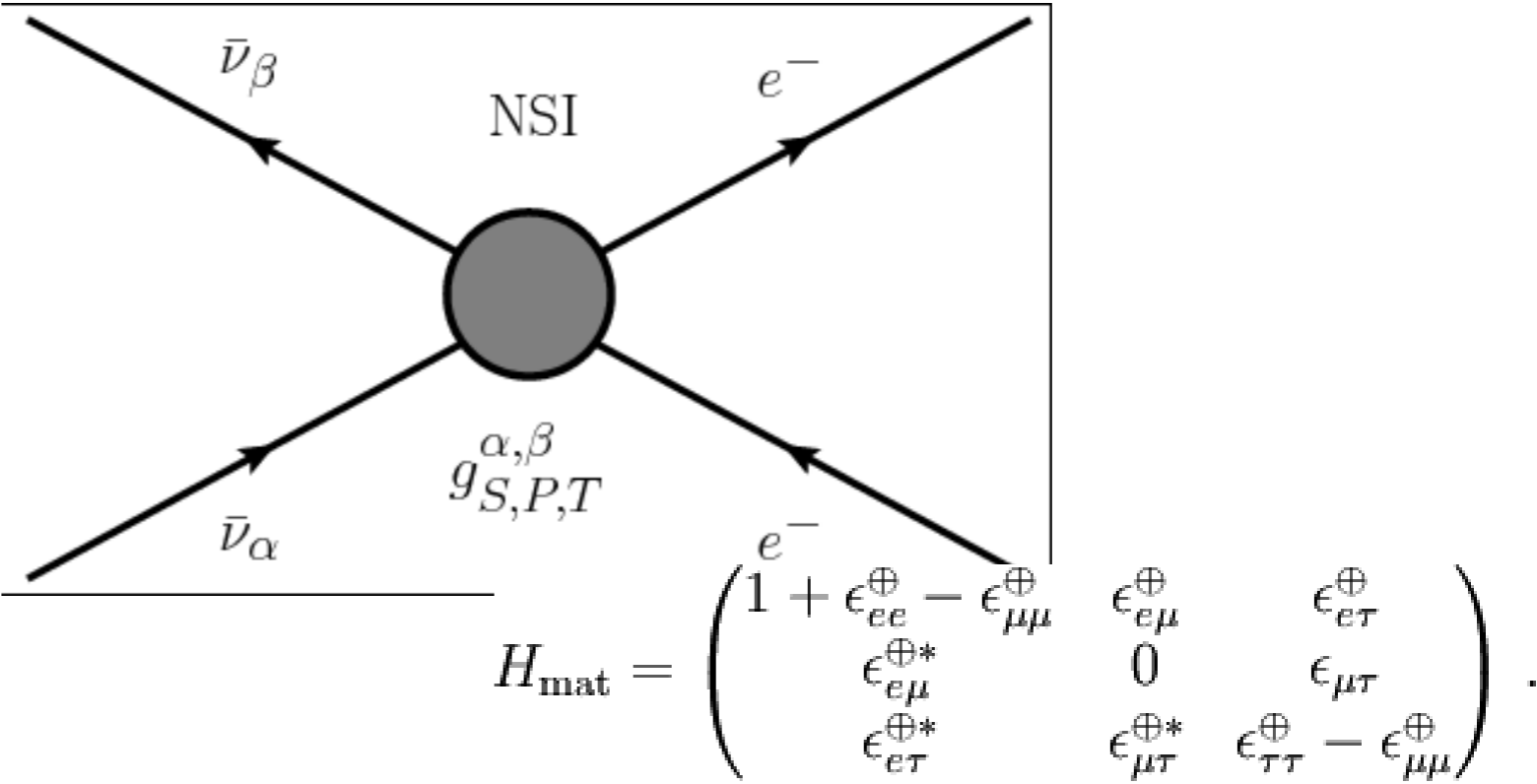
-on/off signal regions

- **$E > \tau$** threshold



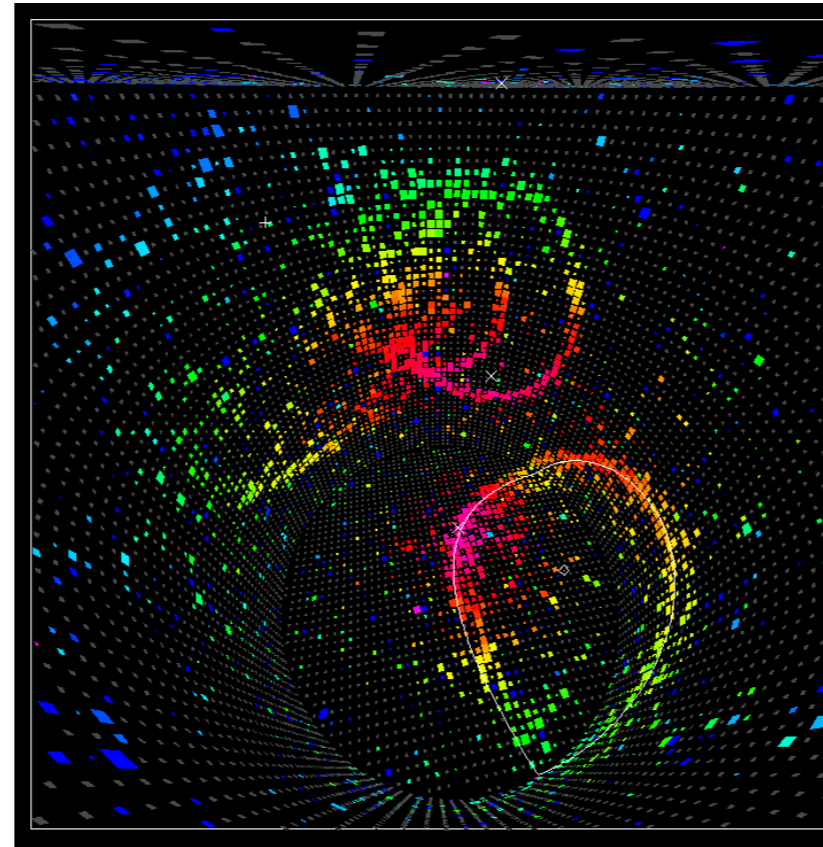
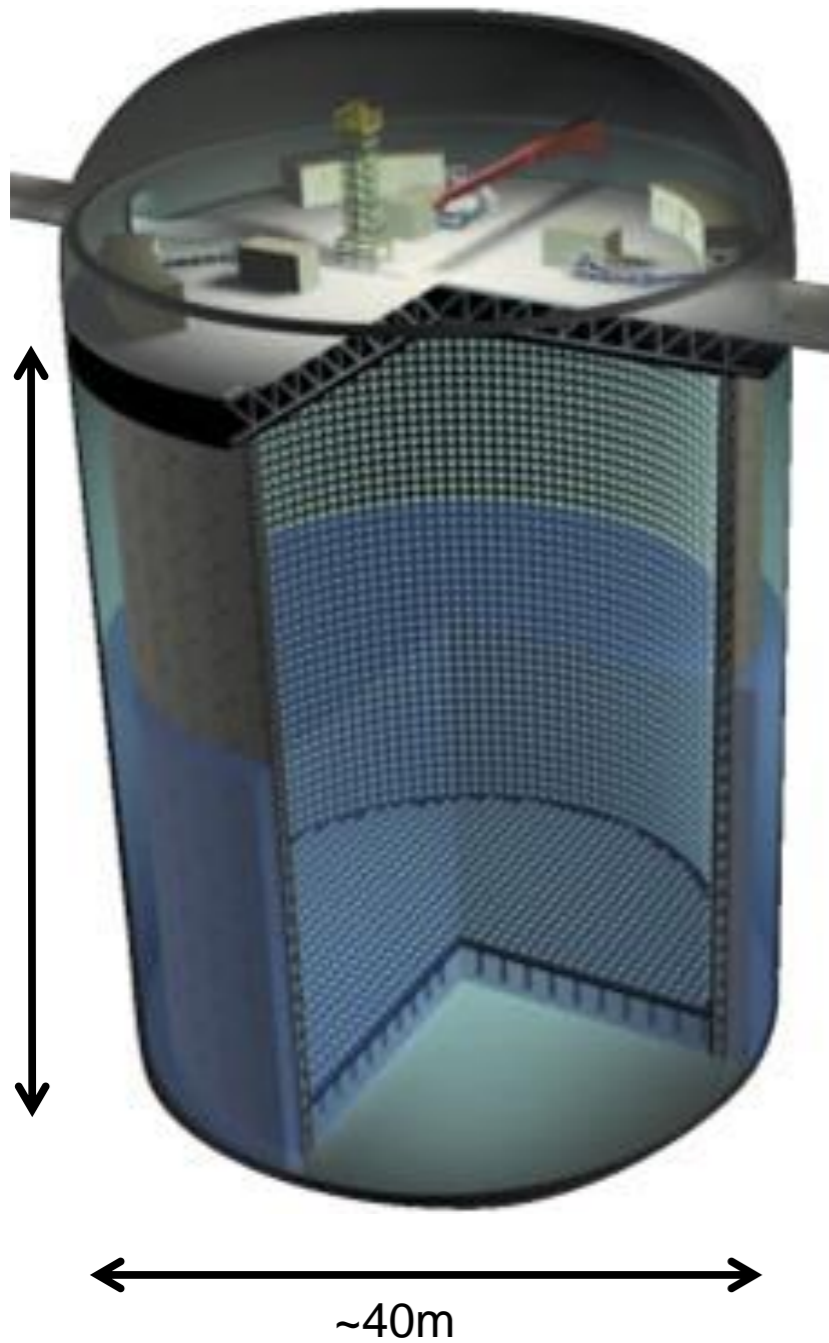
and the off-signal regions?

- used to probe **exotic** possibilities
- all show as **distortions** in the spectrum

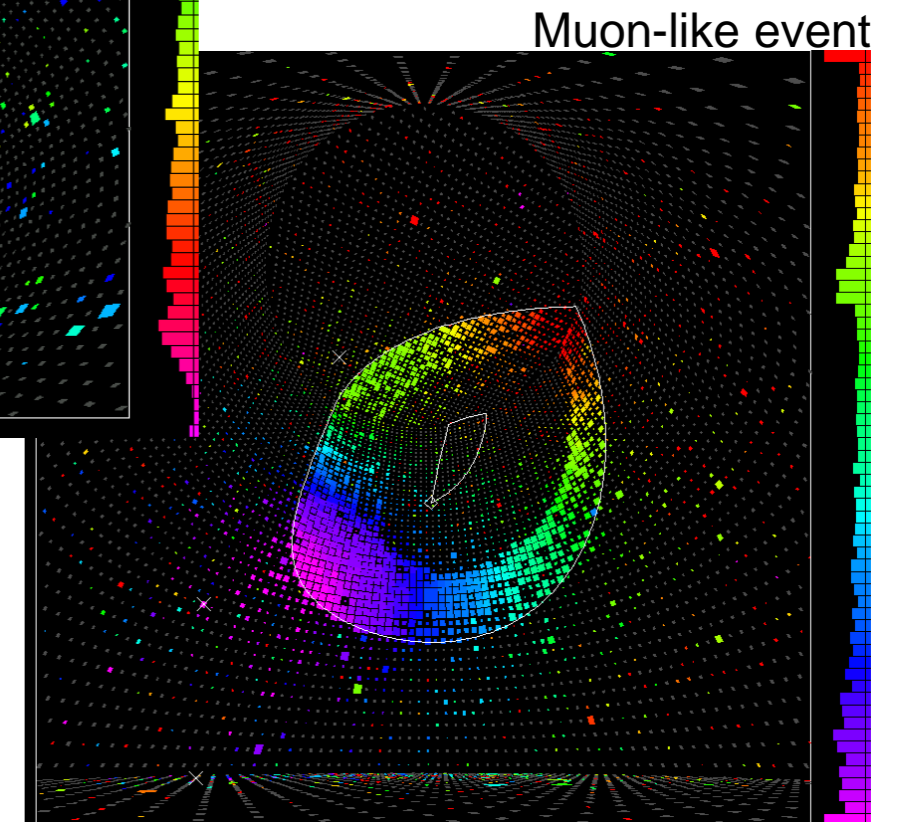


recent atmospheric neutrino measurements

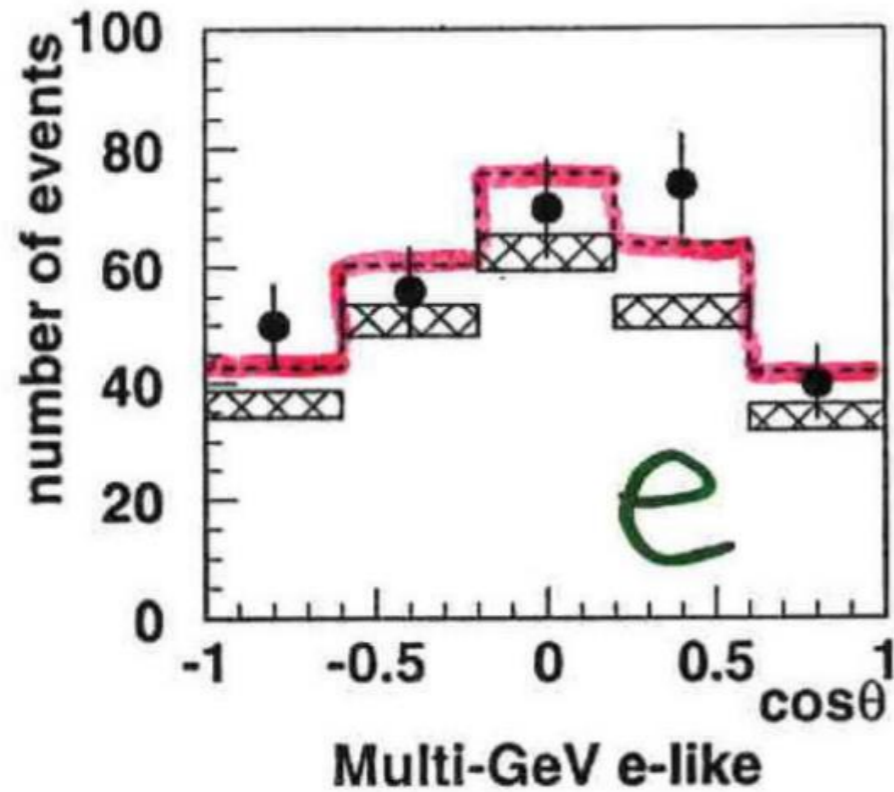
Super-Kamiokande



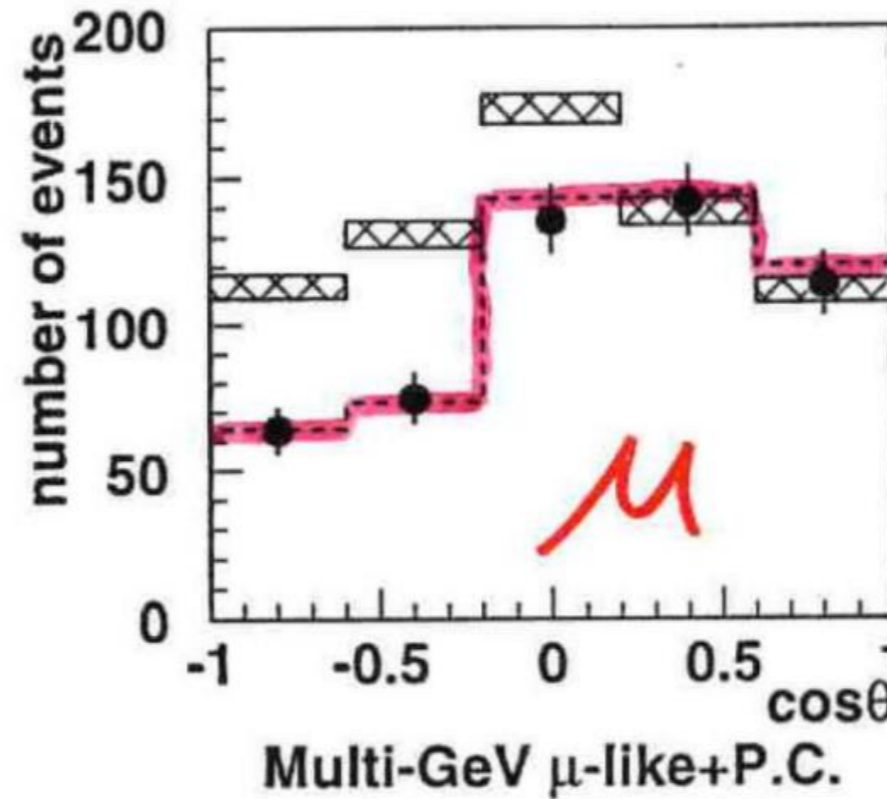
Two-gamma-like event



Super-Kamiokande



from Neutrino'98 presentation

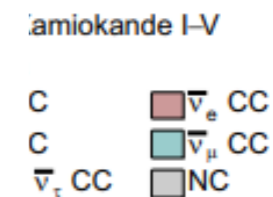
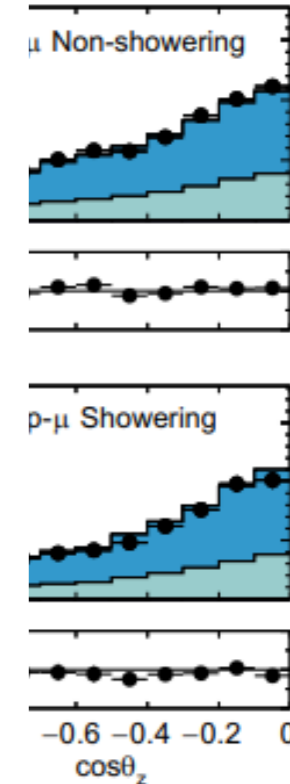
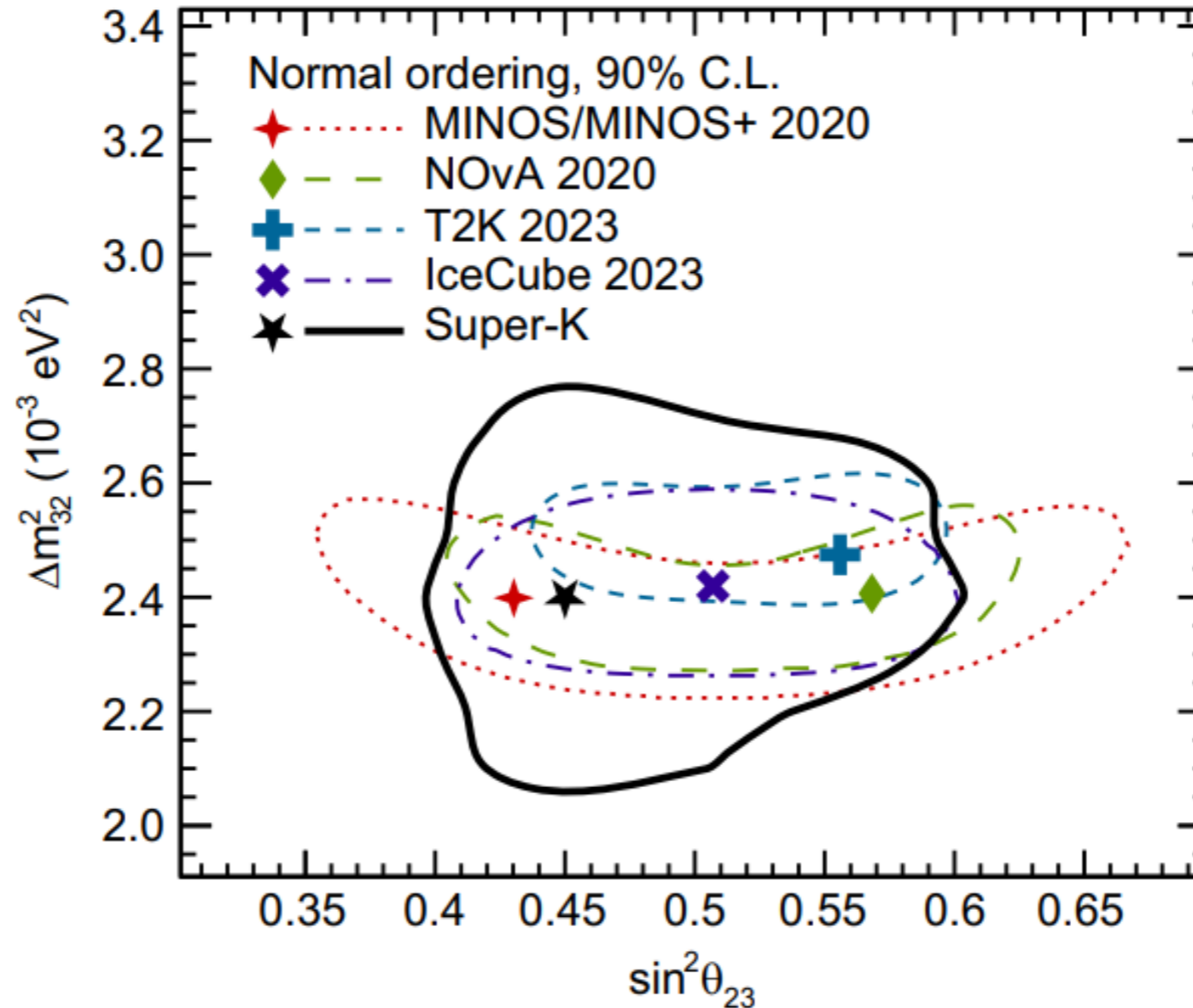
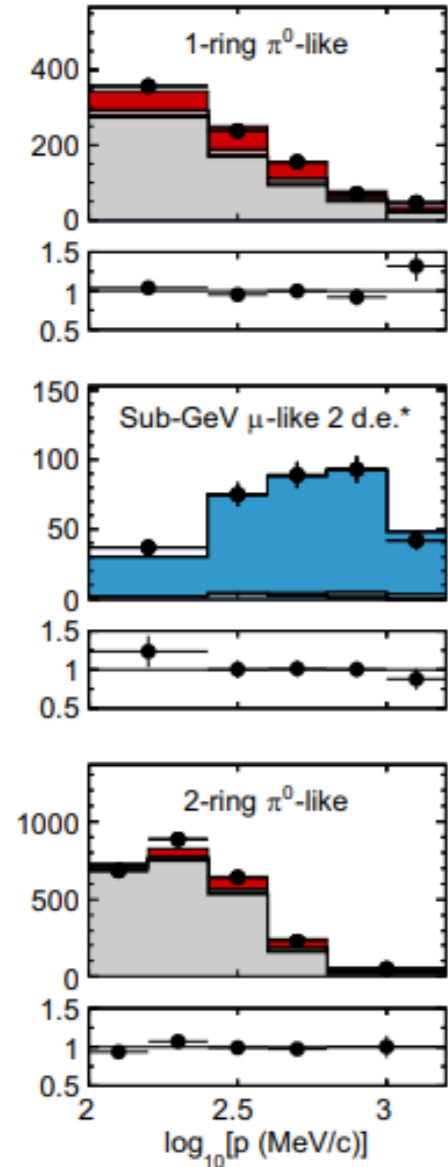


Multi-GeV

Super-Kamiokande

Standard oscillations

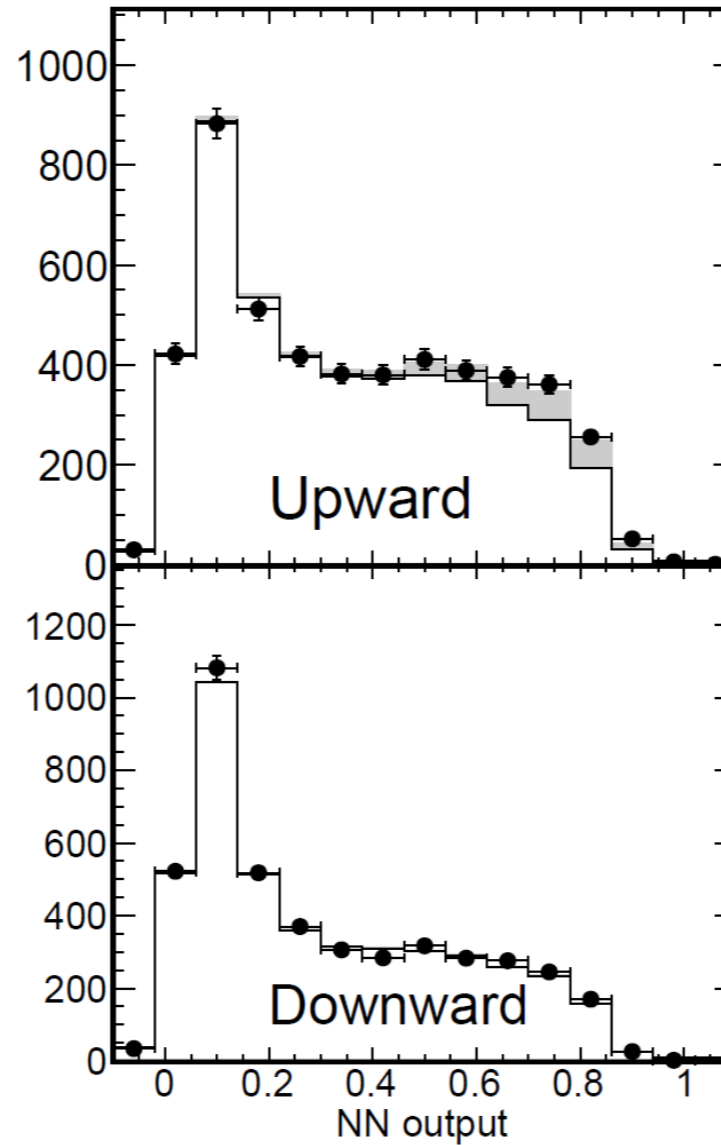
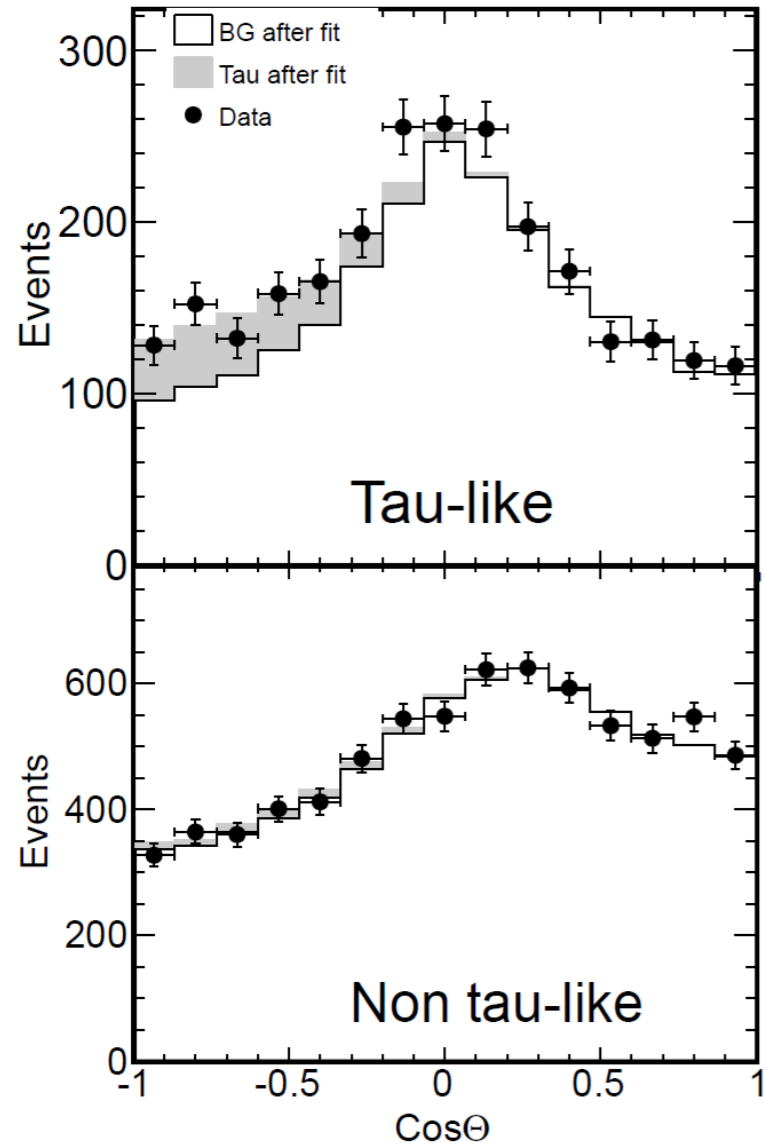
Phys. Rev. D 109 07214 (2024)



Super-Kamiokande

NuTau appearance

Phys. Rev. D 98, 052006 (2018)



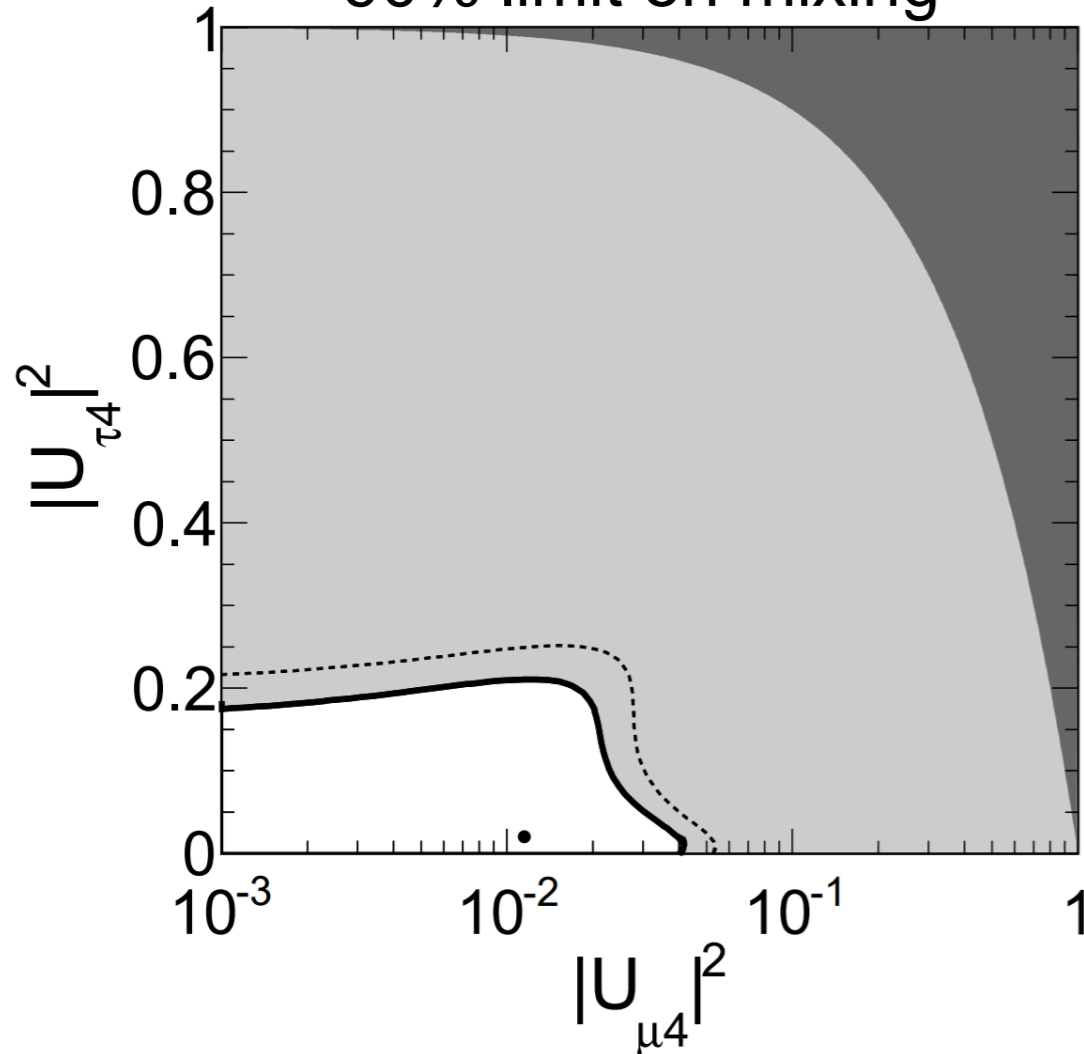
**4.6 σ evidence
for NuTau
appearance**

Super-Kamiokande

Sterile neutrinos

Phys. Rev. D 91, 052019 (2015)

90% limit on mixing

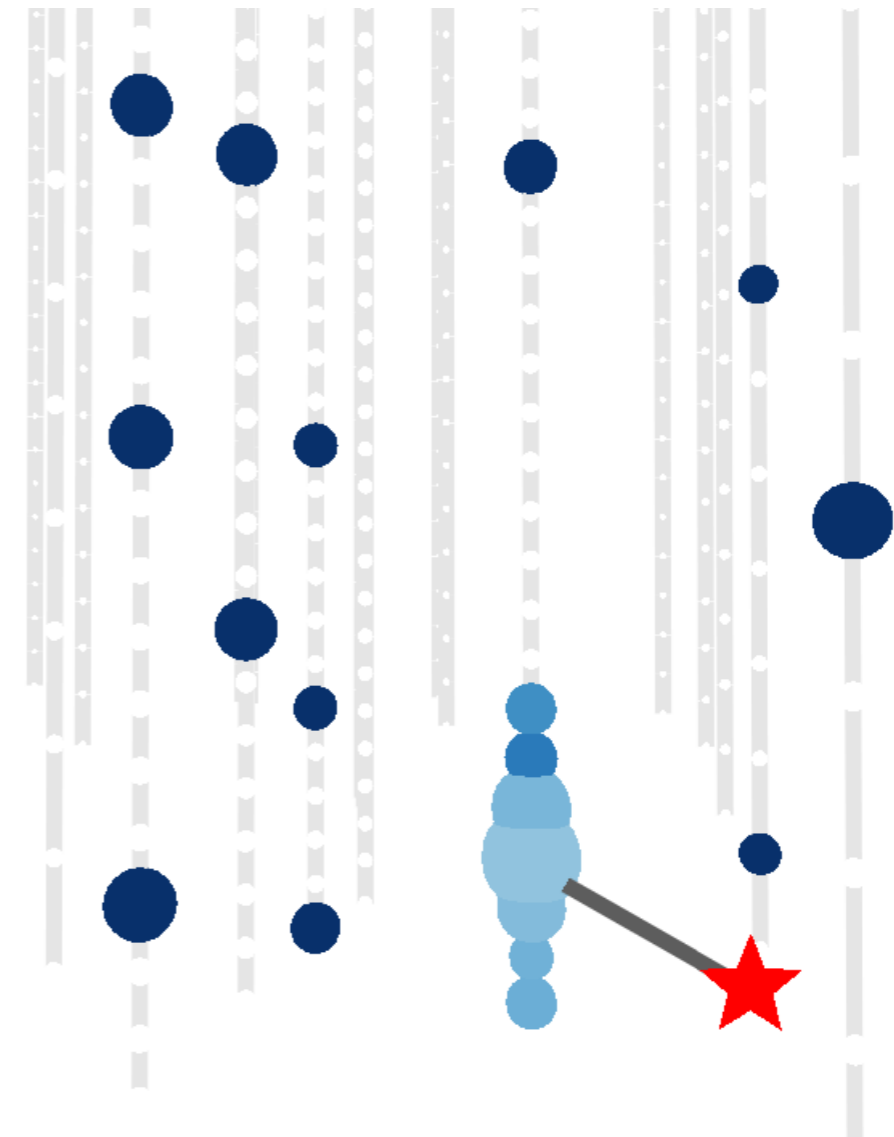
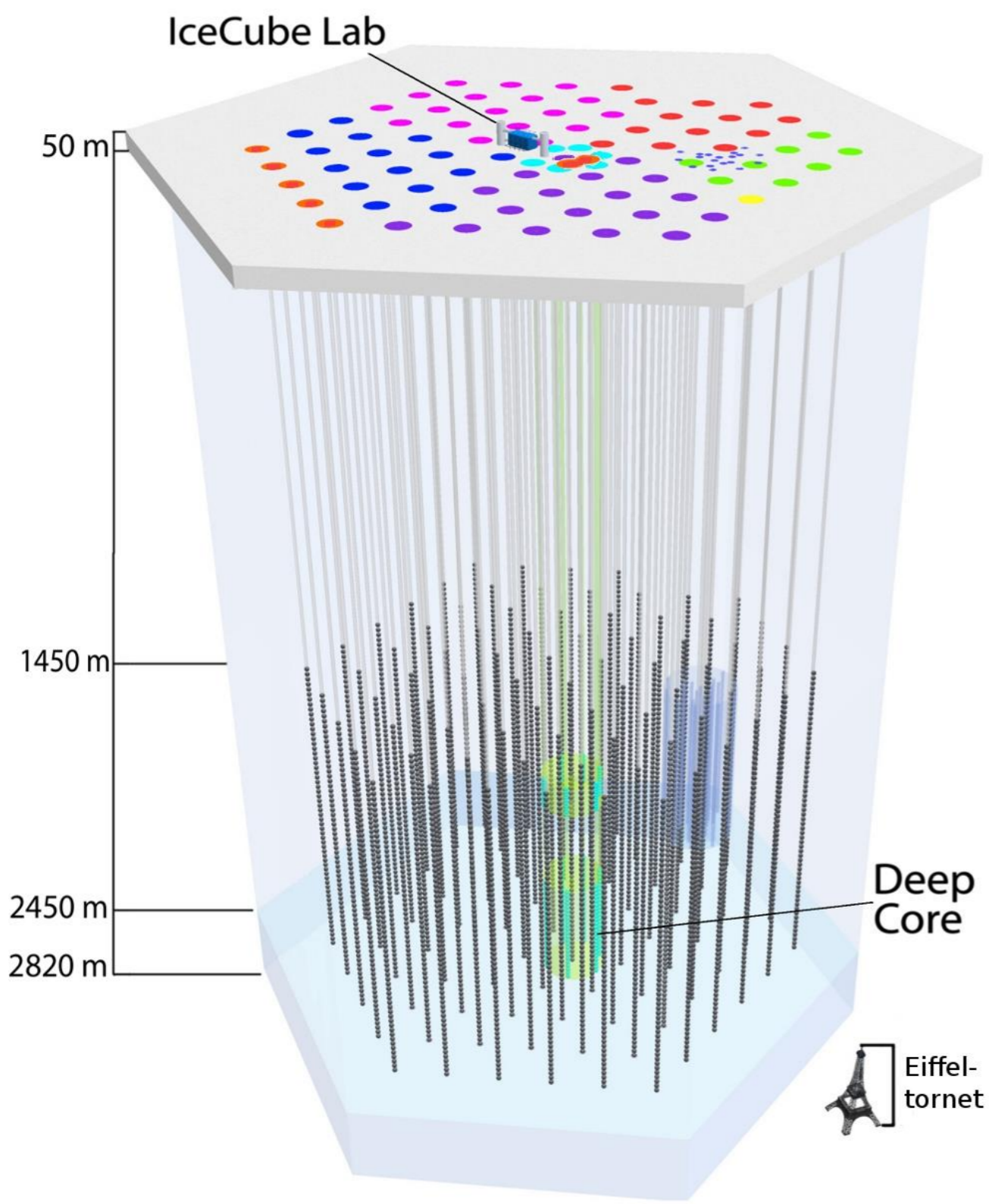


$$\mathbf{U} \equiv \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

- search for spectral **distortions** due to steriles

- sensitive to $\mathbf{v}_{\mu} \leftrightarrow \mathbf{v}_{\tau}$ mix

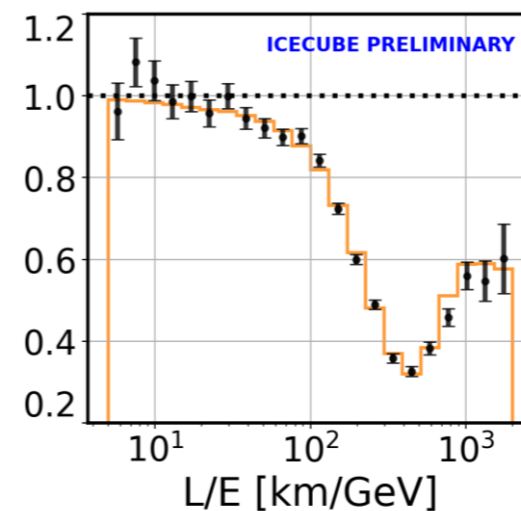
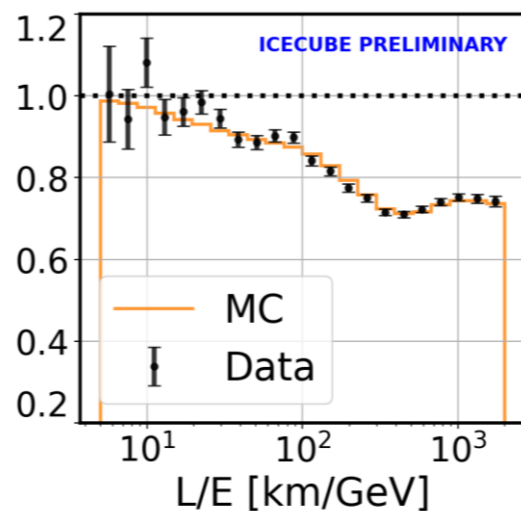
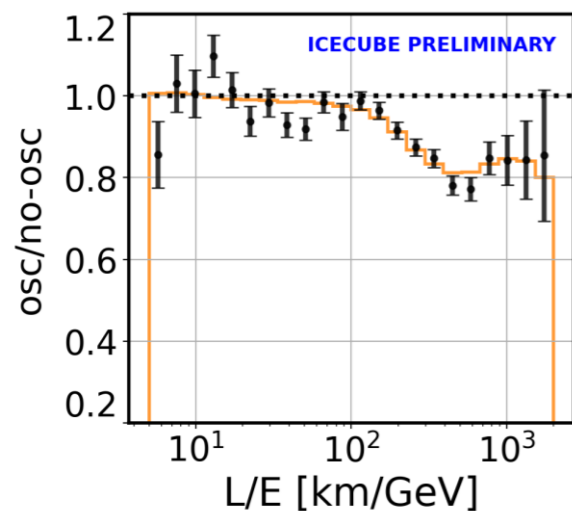
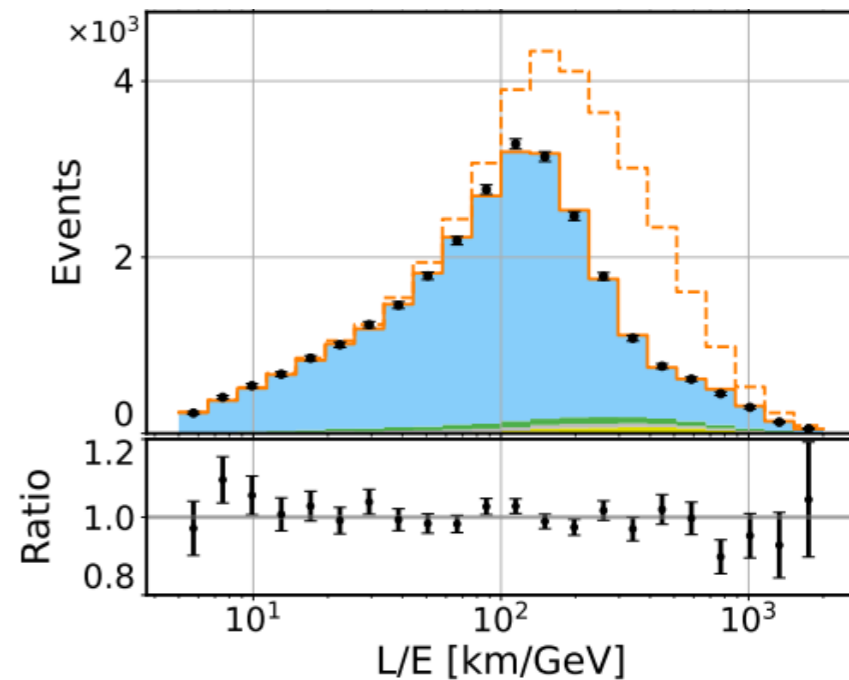
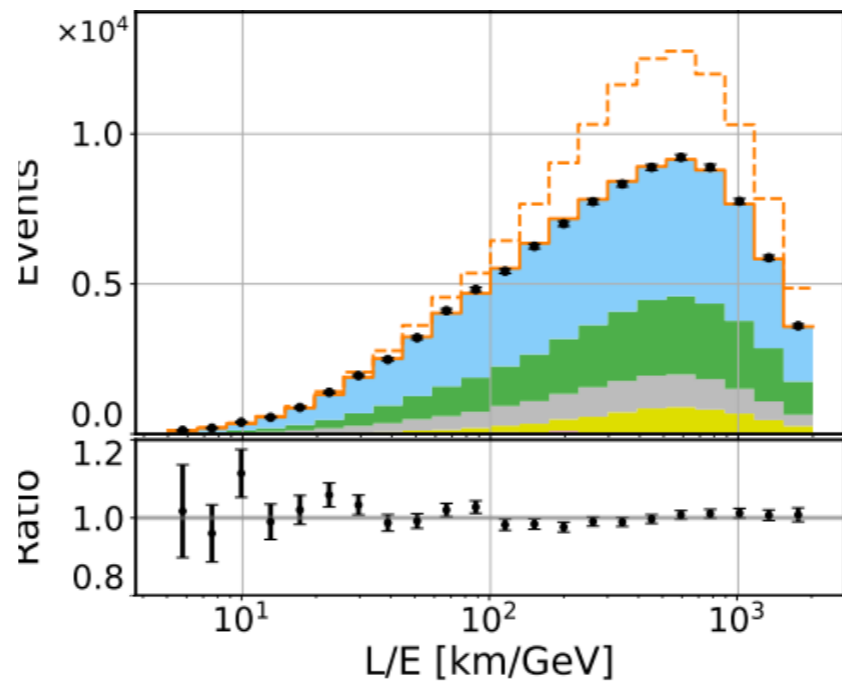
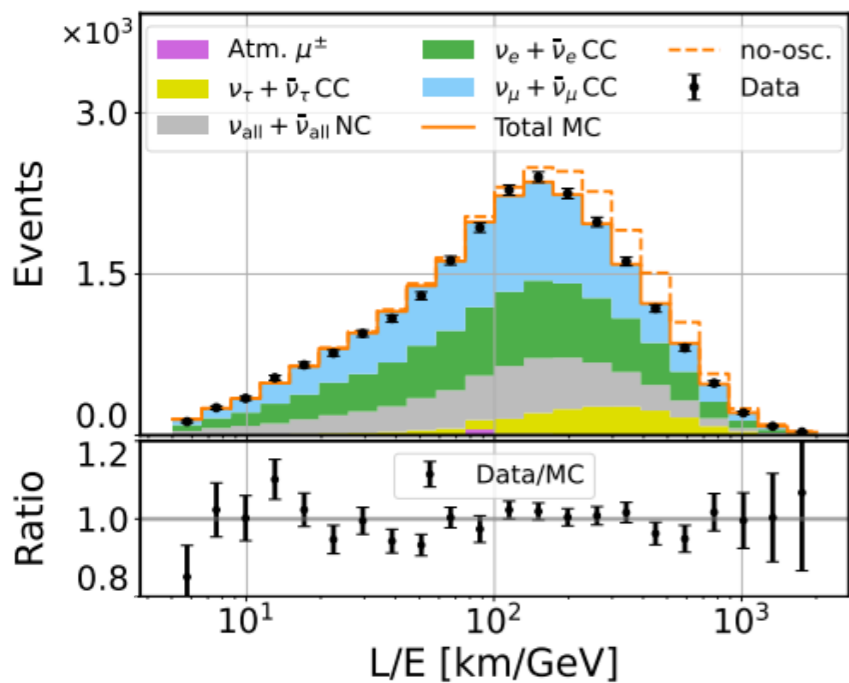
IceCube DeepCore



12 GeV ν_μ interaction
8 GeV track ($R \sim 40\text{m}$) + 4 GeV cascade

IceCube DeepCore

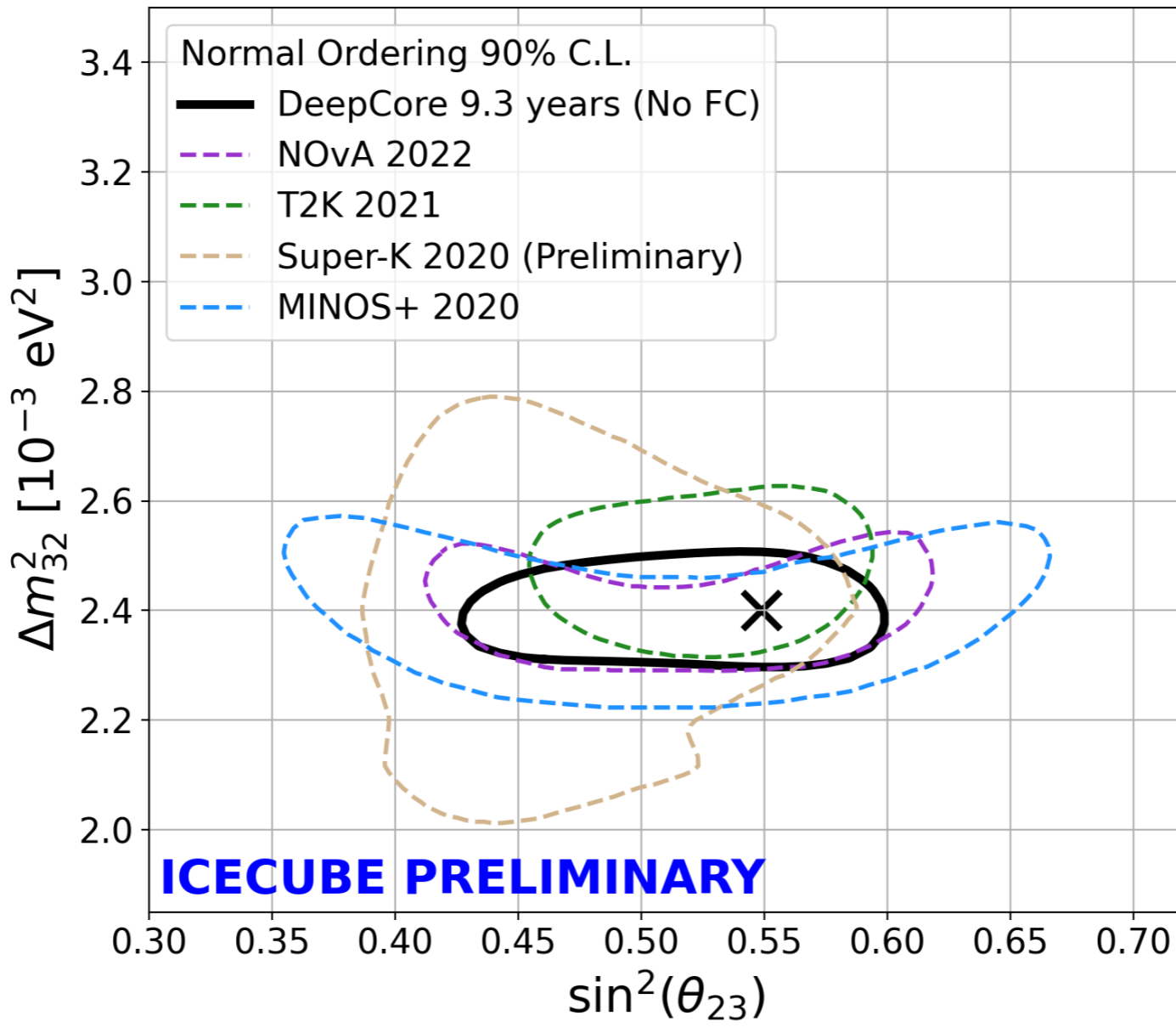
Standard oscillations (2024)



IceCube DeepCore

Standard oscillations (2024)

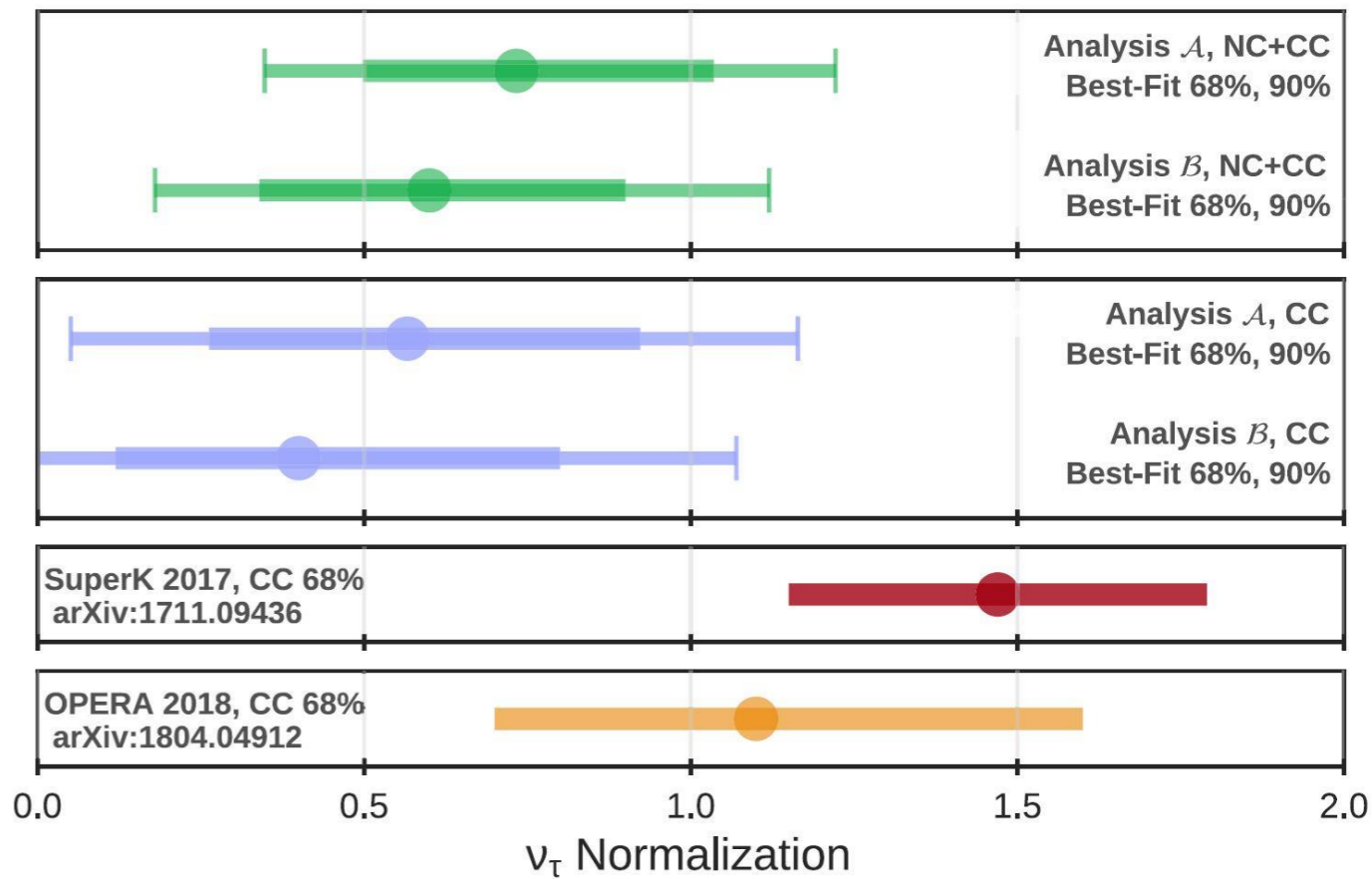
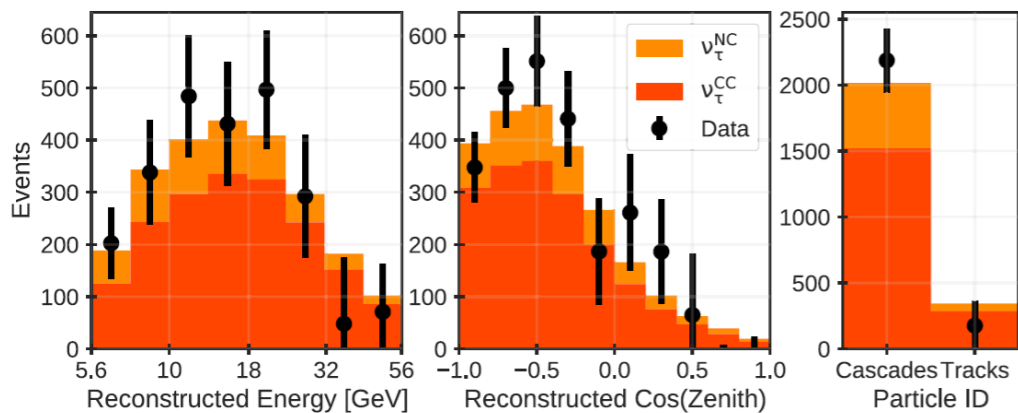
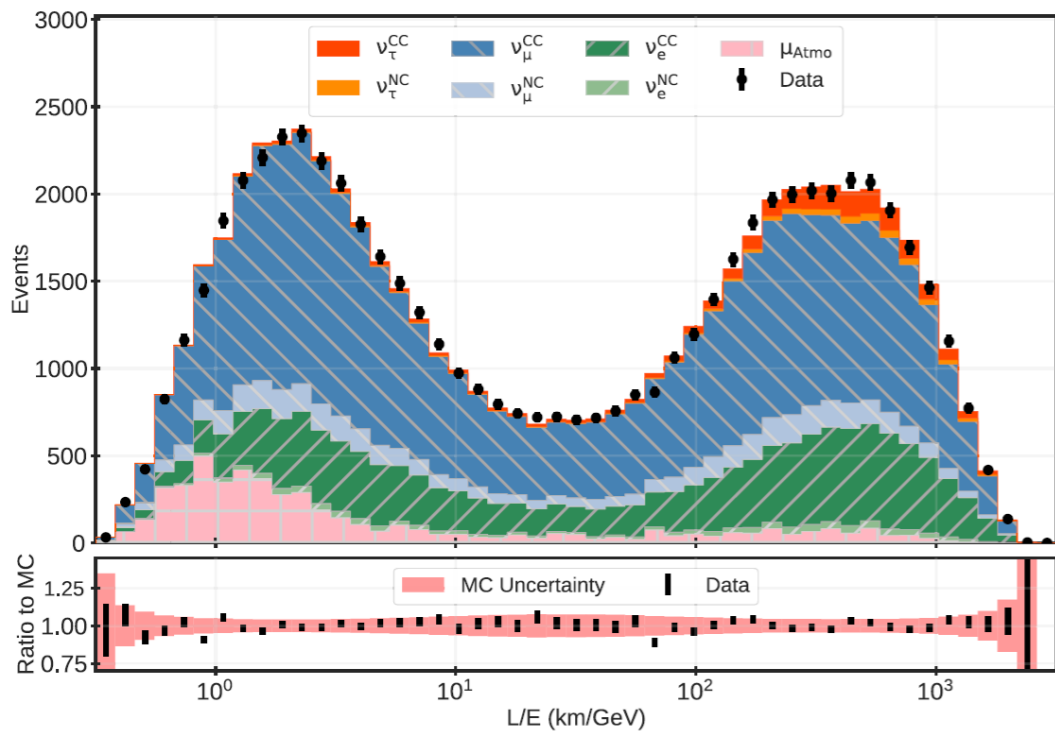
arXiv:2405.02163 [hep-ex]



IceCube DeepCore

NuTau appearance

Phys. Rev. D 99, 032007 (2019)



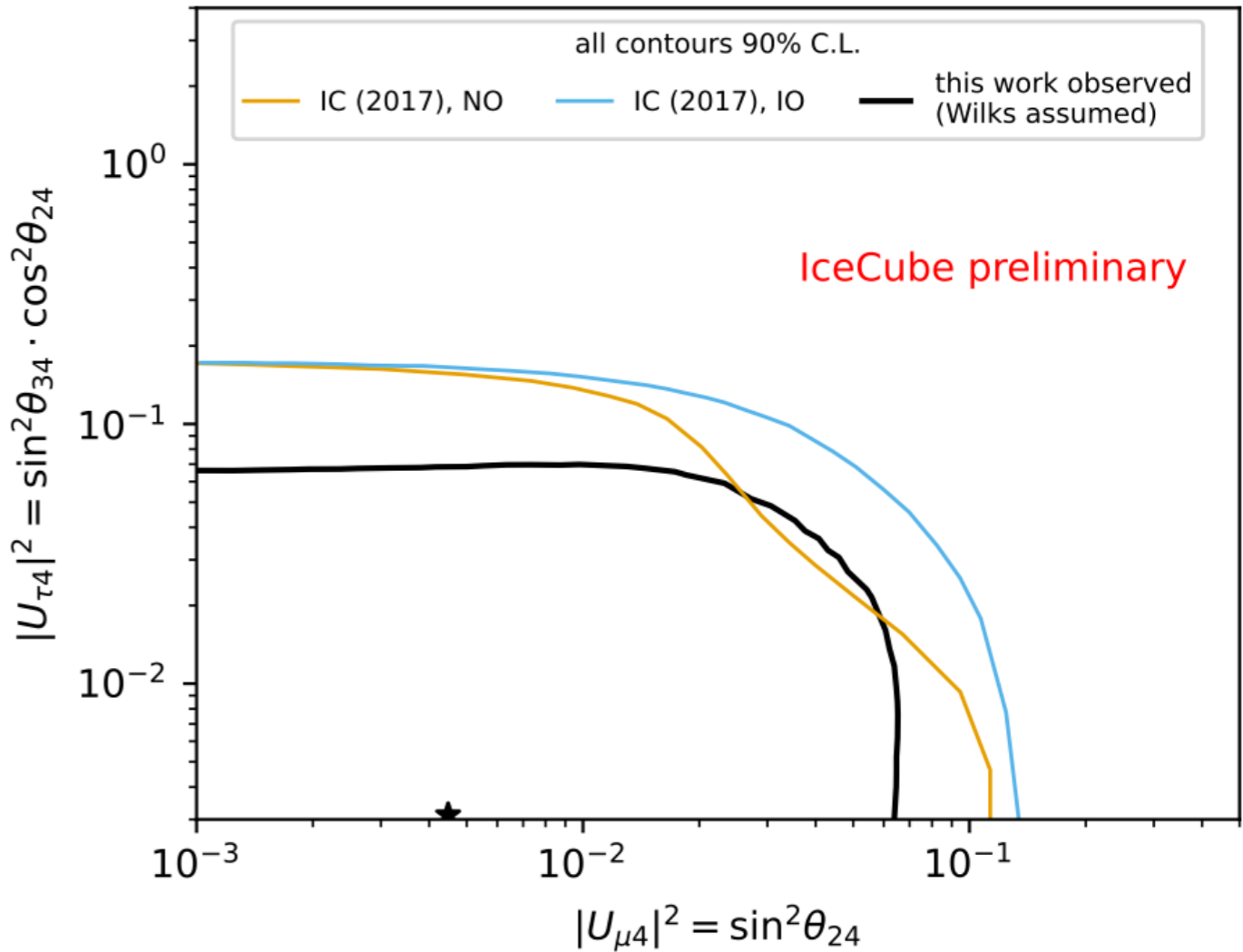
IceCube DeepCore

Sterile neutrinos

arXiv:2407.01314 (2024)

$$\mathbf{U} \equiv \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

-there is **no preference** for a sterile neutrino state mixing at “low” E



IceCube DeepCore

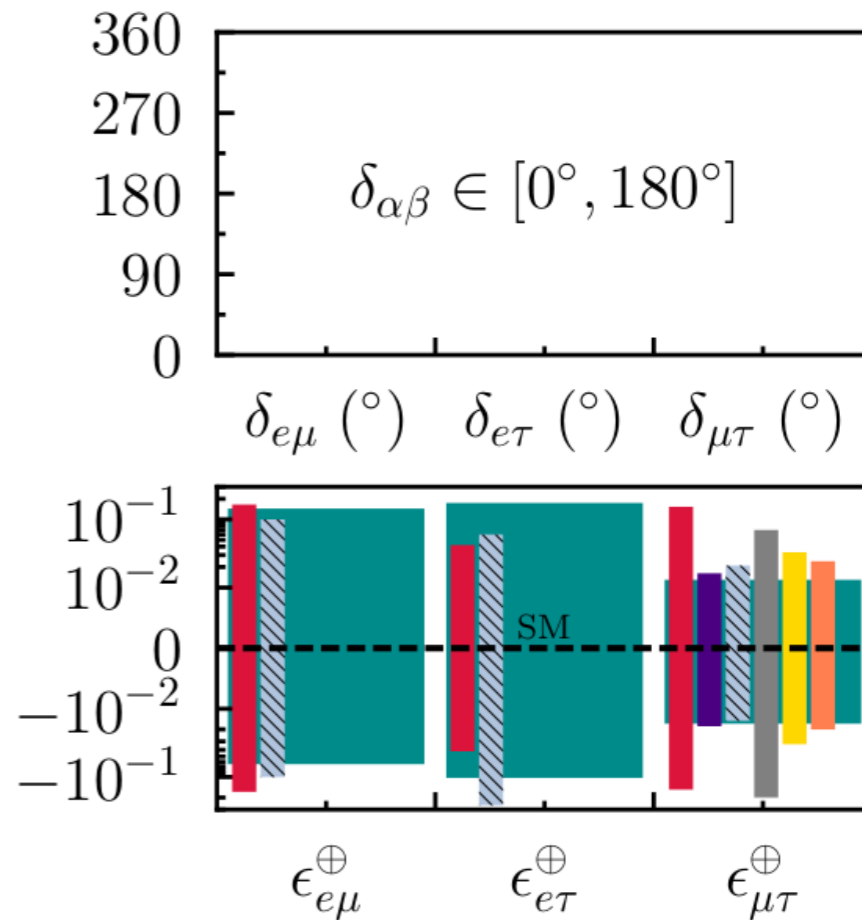
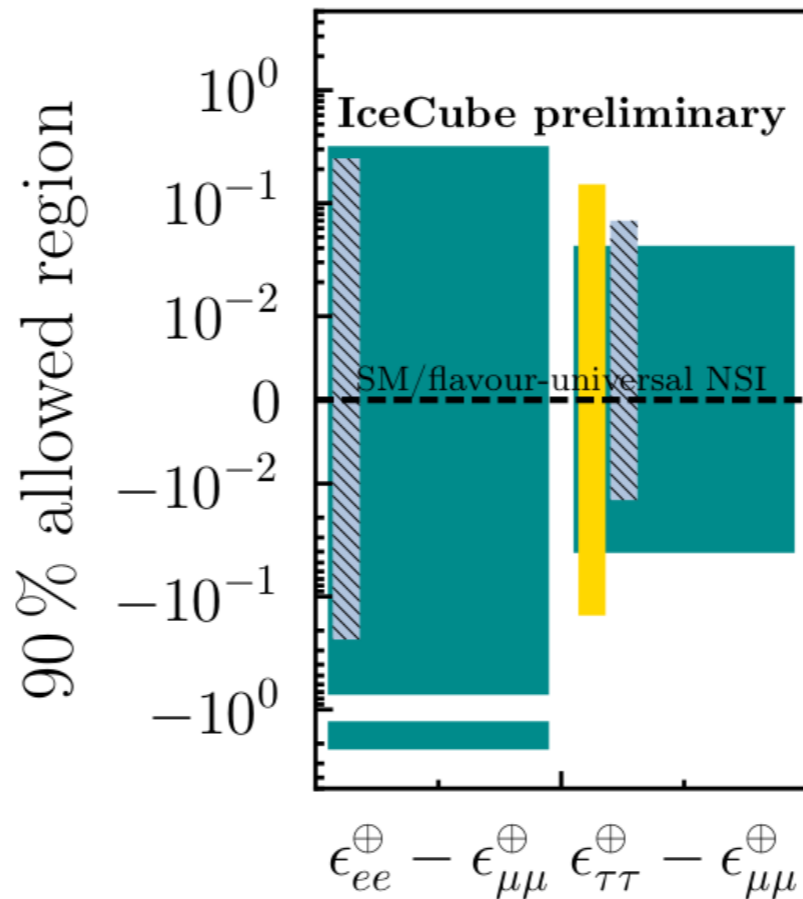
Non-standard interactions

Phys. Rev. D104 (2021) 072006

$$H_{\text{mat}} = \begin{pmatrix} 1 + \epsilon_{ee}^{\oplus} - \epsilon_{\mu\mu}^{\oplus} & \epsilon_{e\mu}^{\oplus} & \epsilon_{e\tau}^{\oplus} \\ \epsilon_{e\mu}^{\oplus*} & 0 & \epsilon_{\mu\tau}^{\oplus} \\ \epsilon_{e\tau}^{\oplus*} & \epsilon_{\mu\tau}^{\oplus*} & \epsilon_{\tau\tau}^{\oplus} - \epsilon_{\mu\mu}^{\oplus} \end{pmatrix}.$$

-there is no preference for additional NSIs

- Super-K 2011 (2d)
- MINOS 2013
- IC high-E 2017
- COHERENT 2018 ($\epsilon_u = \epsilon_d$)
- global 2018 (w/ correl.)
- IC 2018
- IC 2019



IceCube (high energy)

Sterile neutrinos

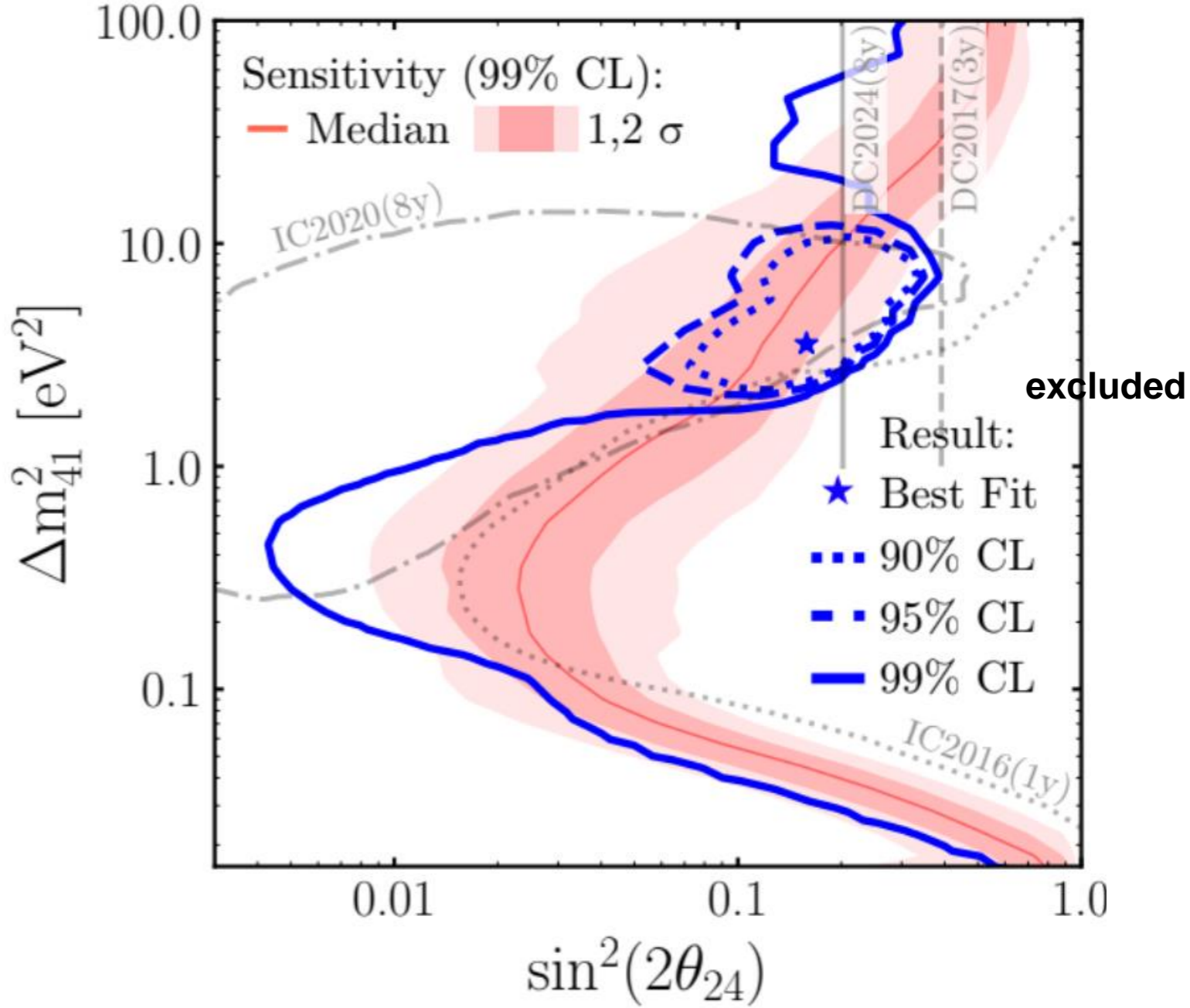
arXiv:2405.08070 (2024)

$E_{\nu} \sim \text{TeV}$ s

$$U \equiv \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

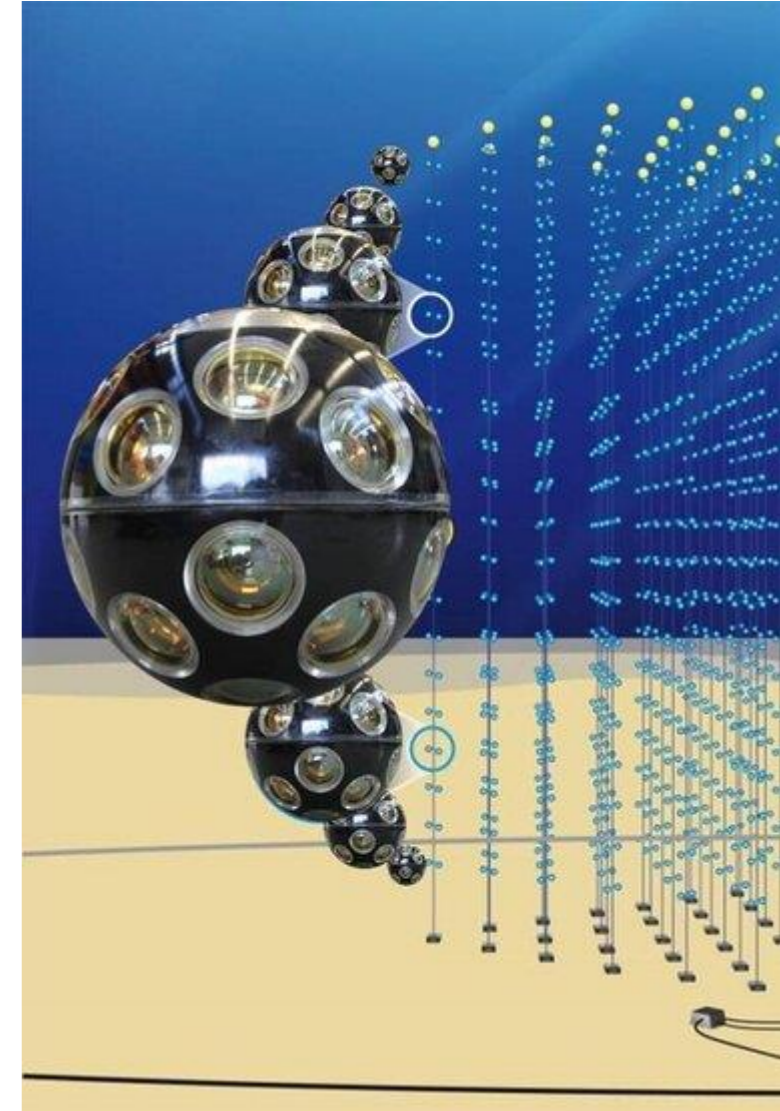
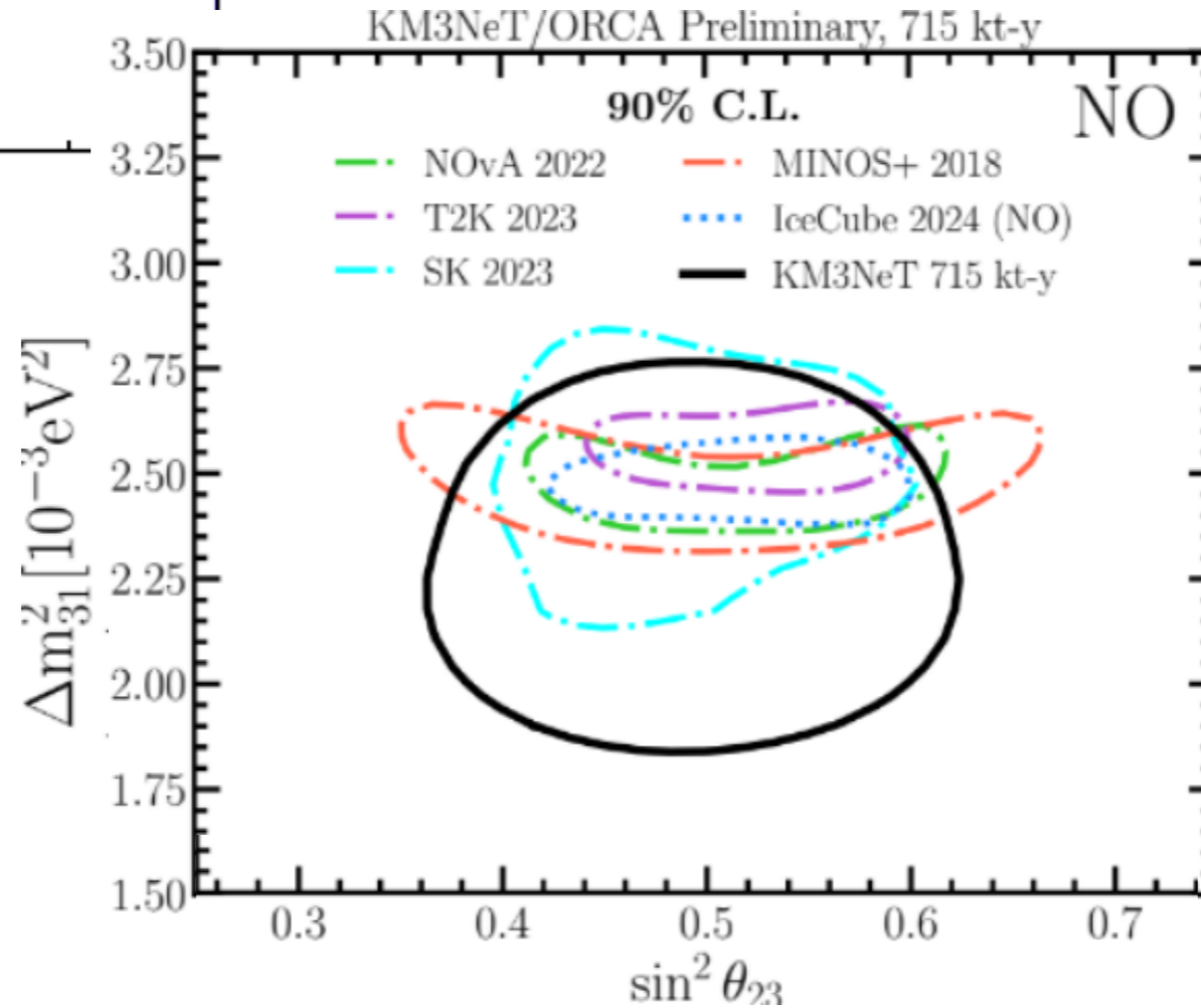
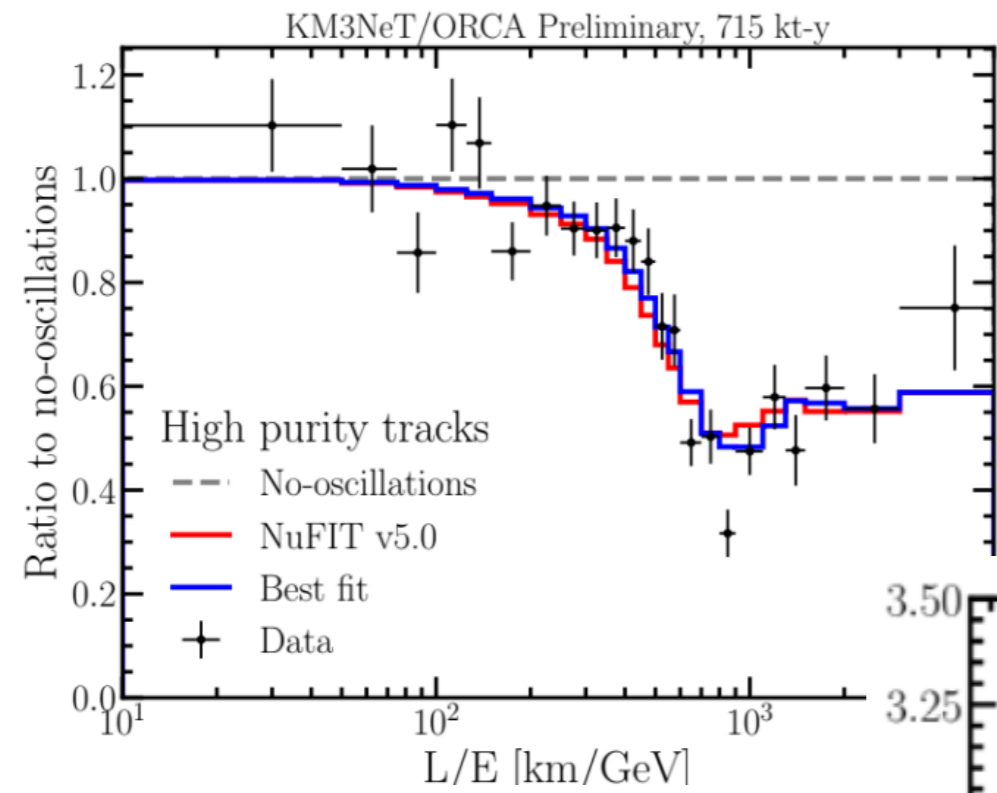
$$|U_{\mu4}|^2 = \sin^2 \theta_{24},$$

$$|U_{\tau4}|^2 = \cos^2 \theta_{24} \cdot \sin^2 \theta_{34}.$$



KM3NeT-ORCA

water Cherenkov

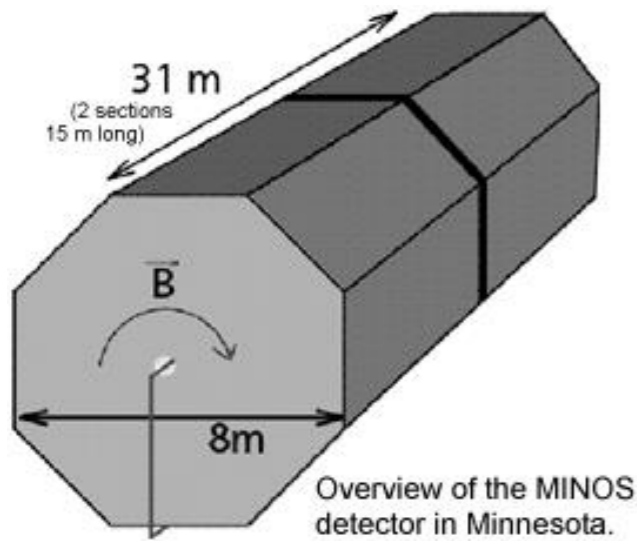
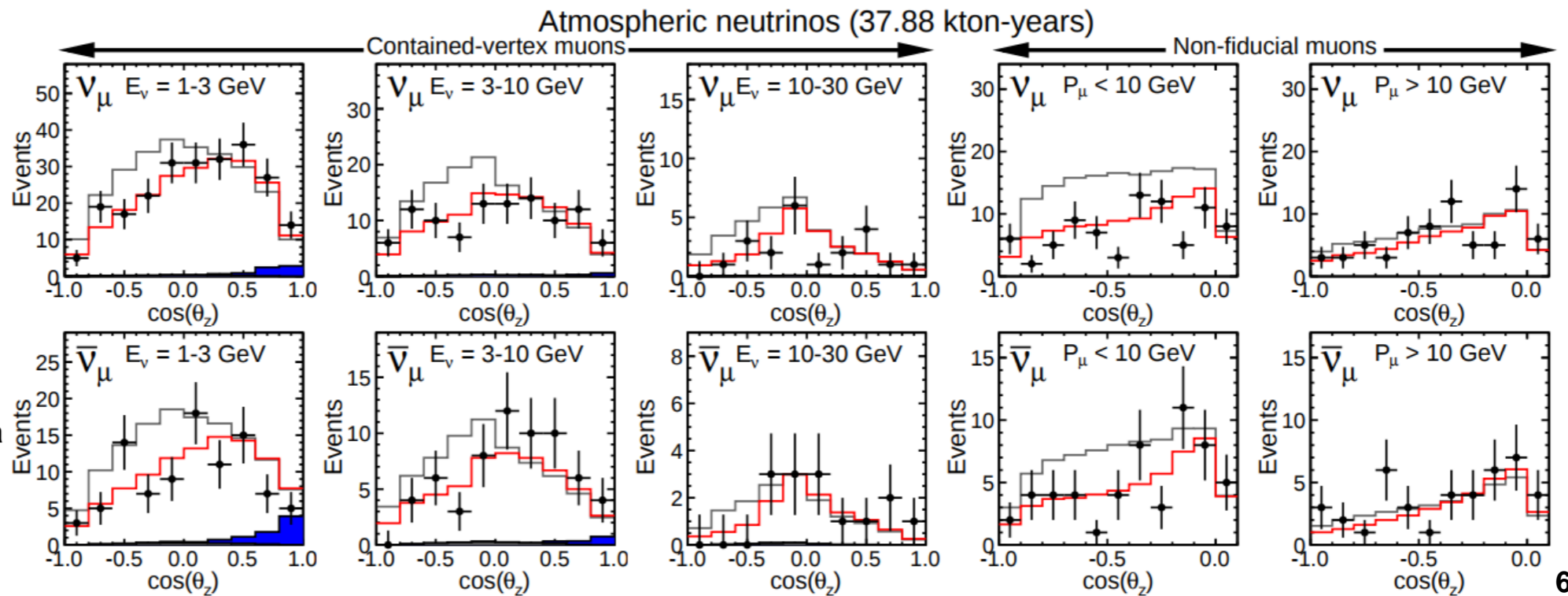
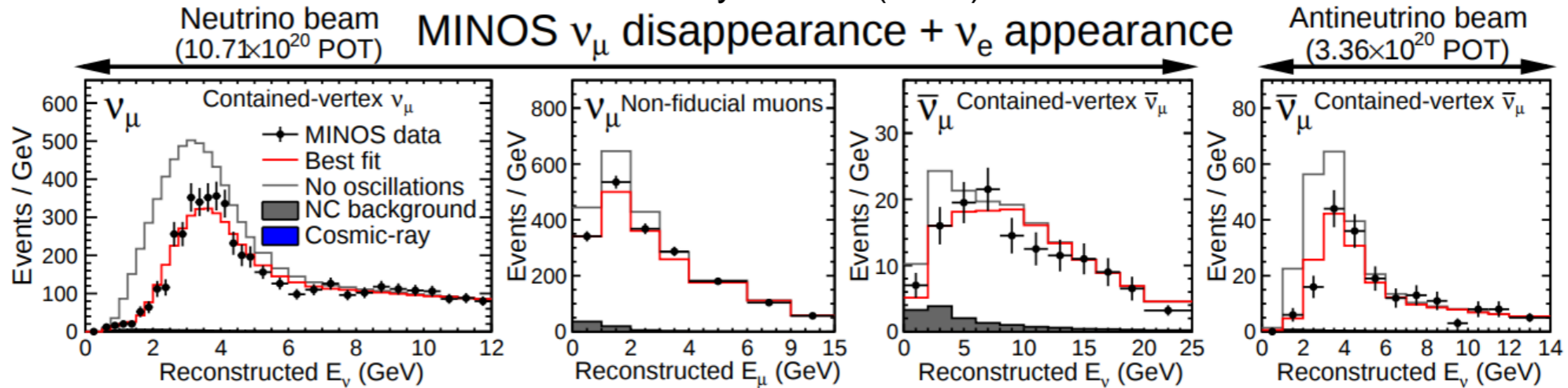


Standard oscillations
ORCA with 6 lines
Presented at Neutrino 2024

MINOS

magnetized steel & scintillator calorimeter

Nucl.Phys. B908 (2016) 130-150



*measurement is dominated by beam data

towards the future

main interests

- **precision** measurements
- neutrino mass **ordering**
- Earth **tomography**
- **CP-violation** in leptons*

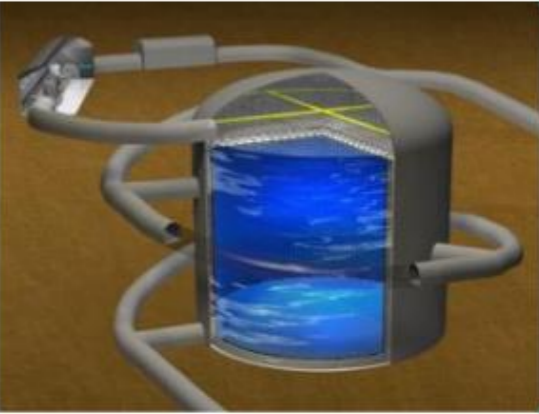
... bigger, better, denser experiments

Hyper-Kamiokande

-8x Super-Kamiokande's FV / tank

-260kt mass / tank

-atmospheric+beam nus

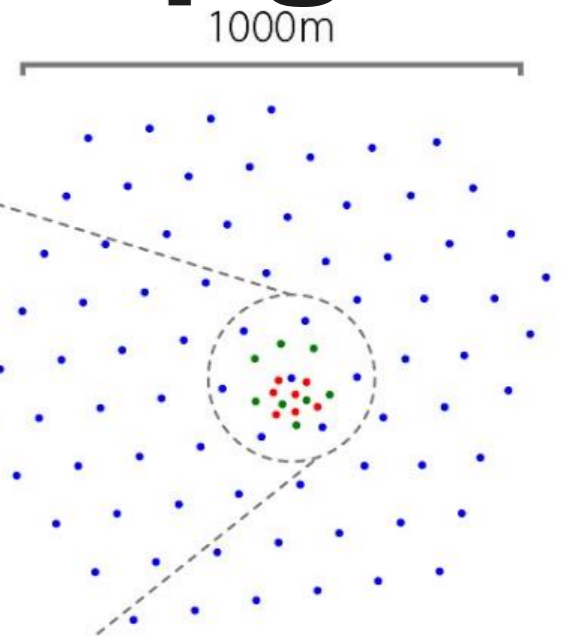
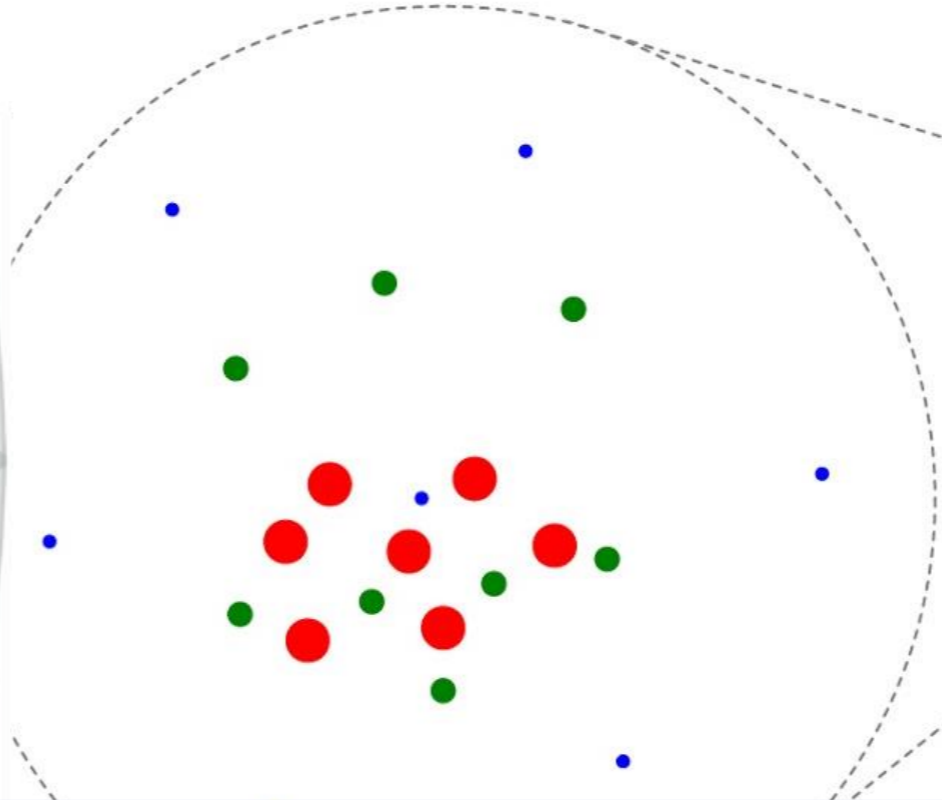


Hyper-K

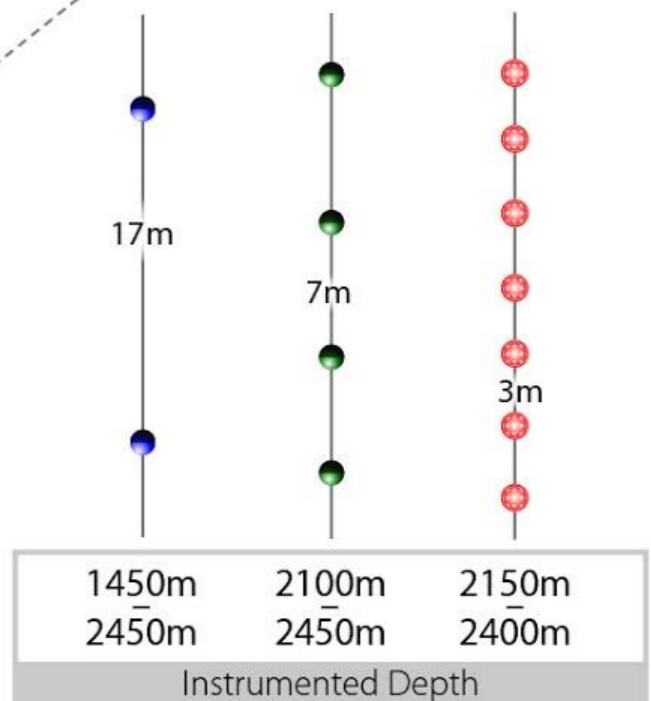


J-PARC

the IceCube upgrade



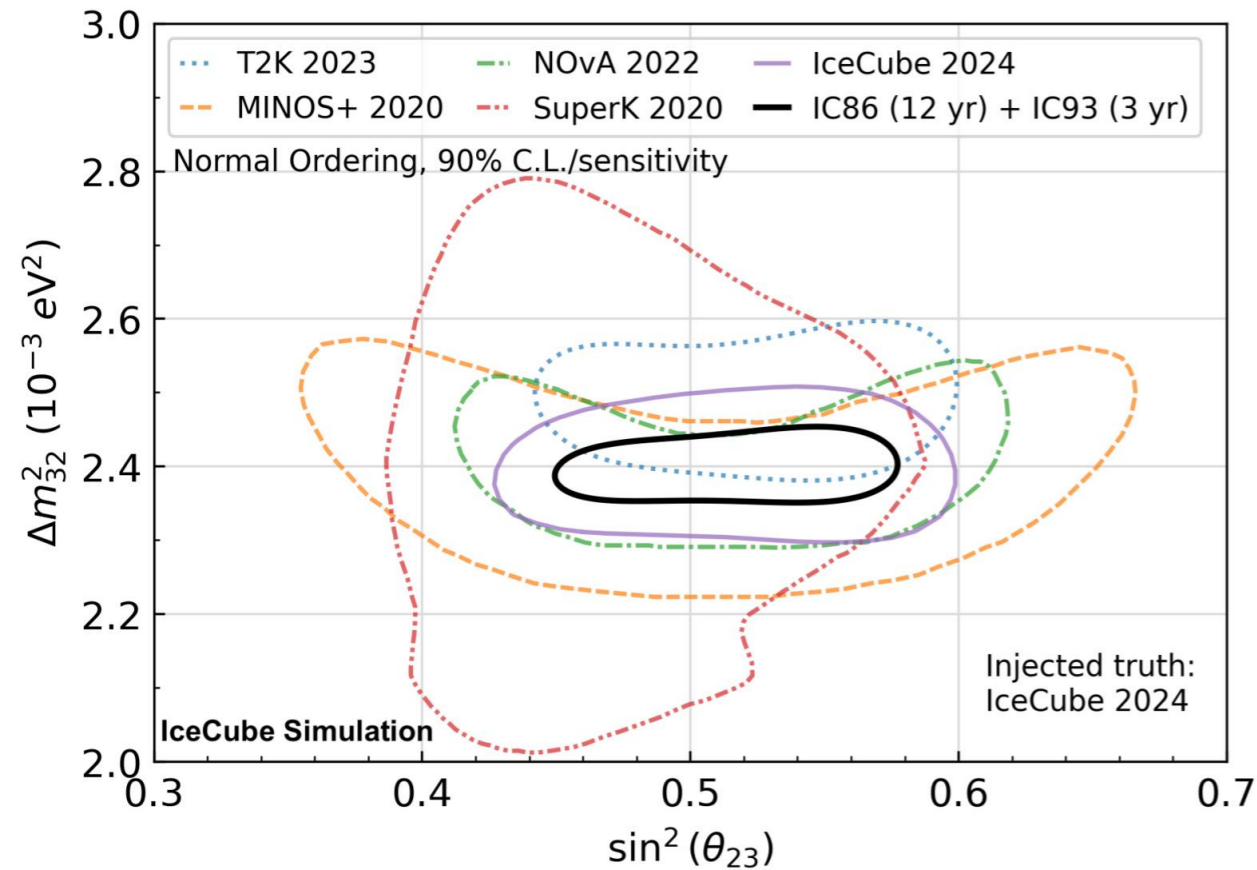
**Fully funded (NSF+partners)
Deployment to occur 2025-2026**



 IceCube  DeepCore  Upgrade

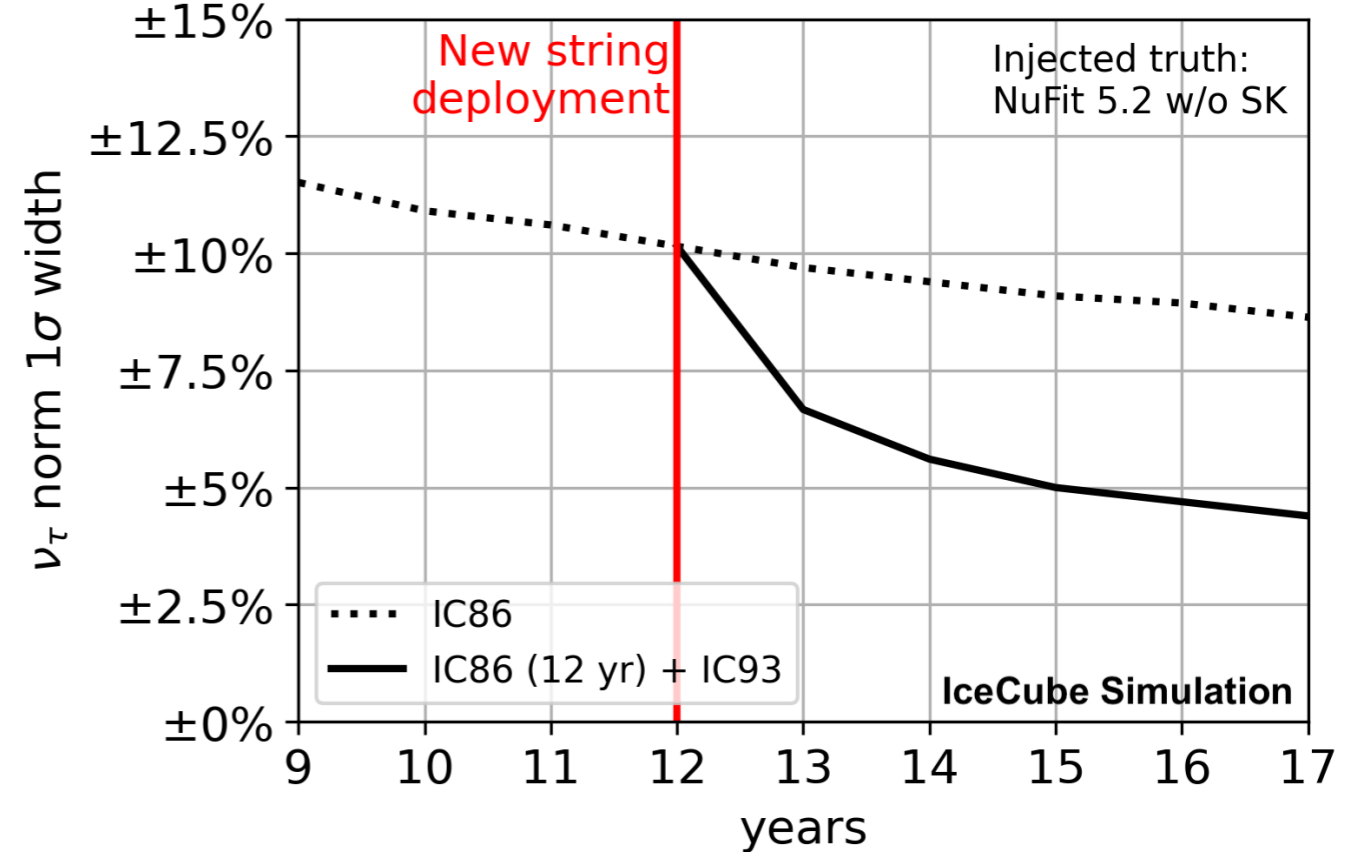
1450m 2450m	2100m 2450m	2150m 2400m
Instrumented Depth		

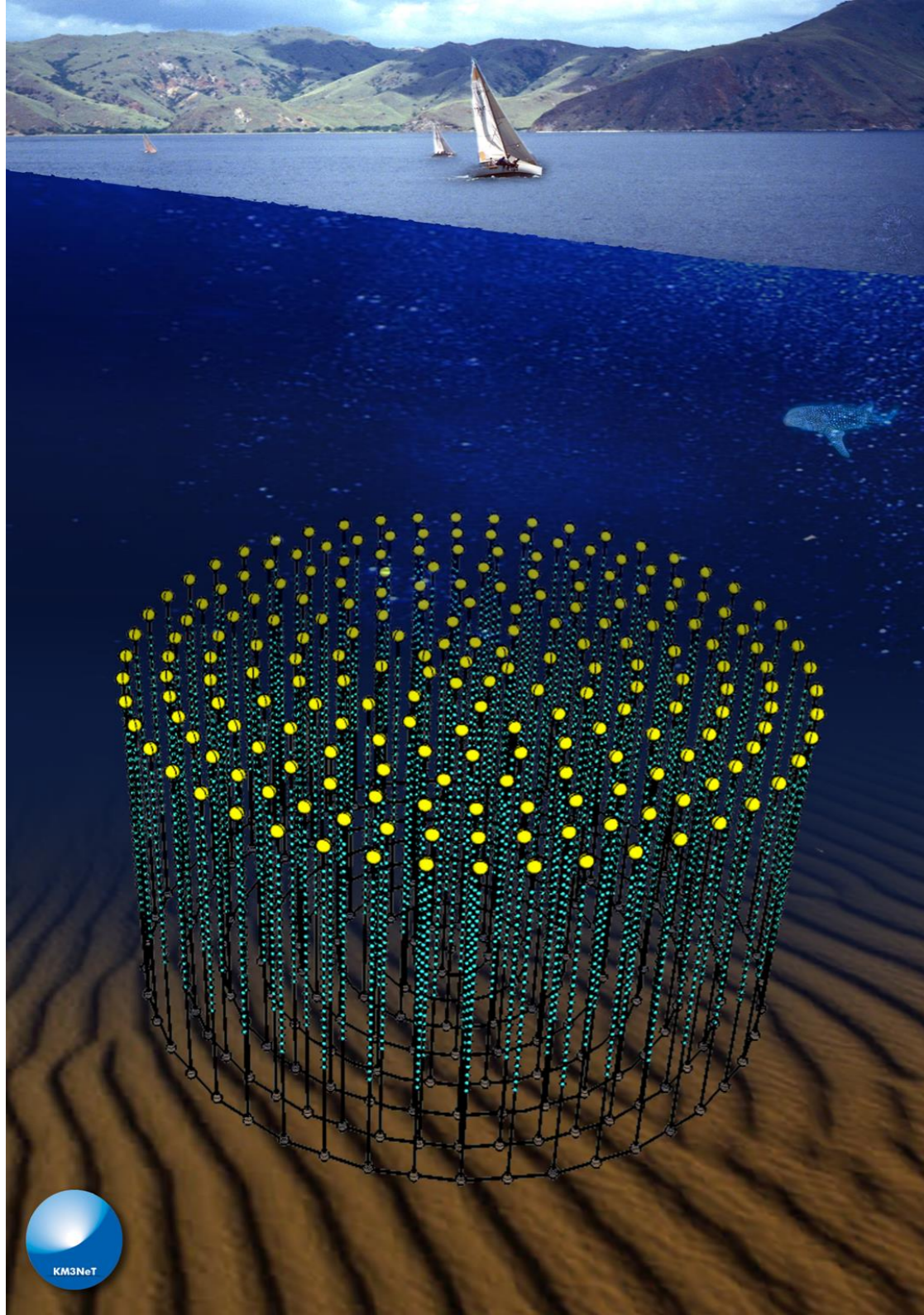
the IceCube upgrade



osc. parameters

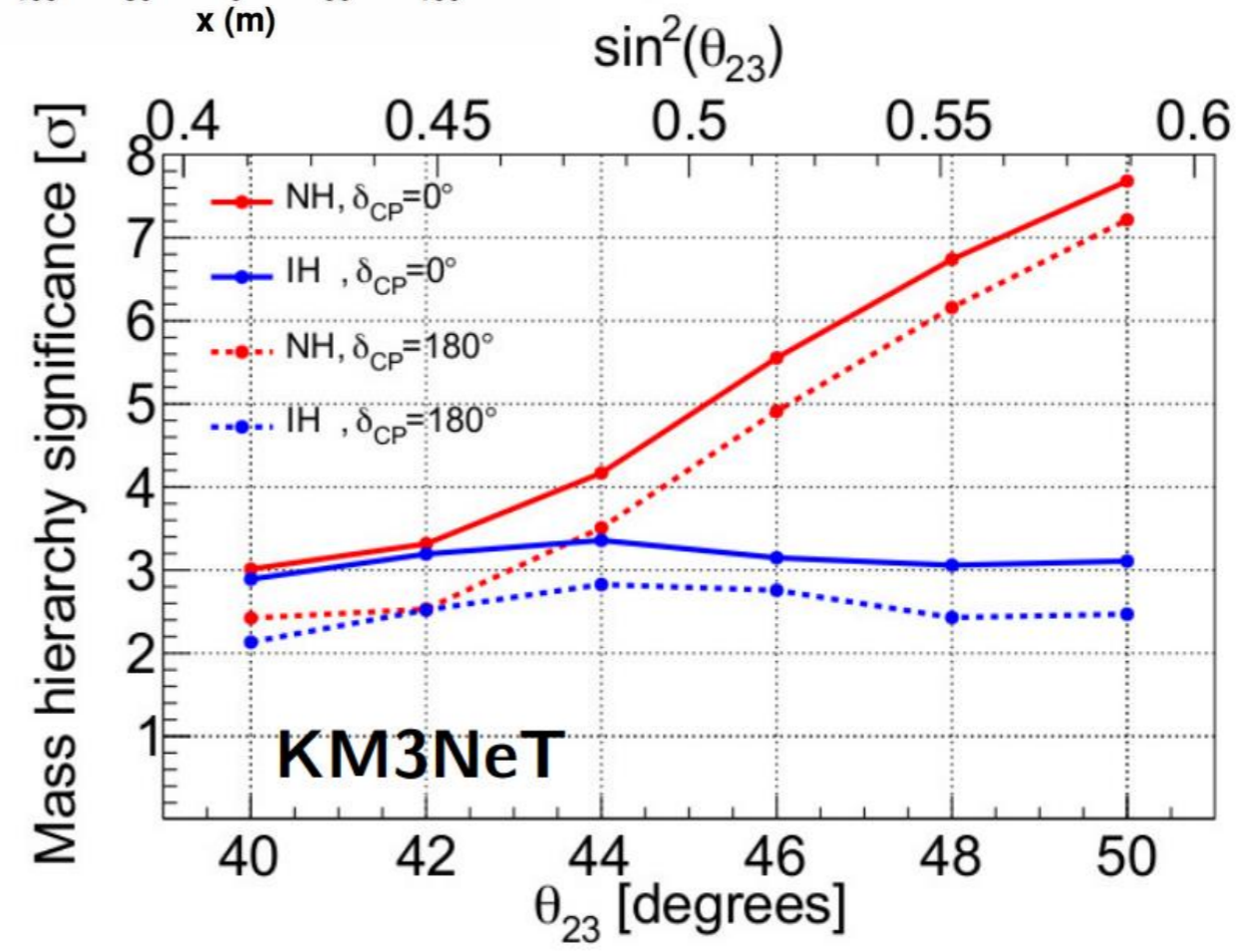
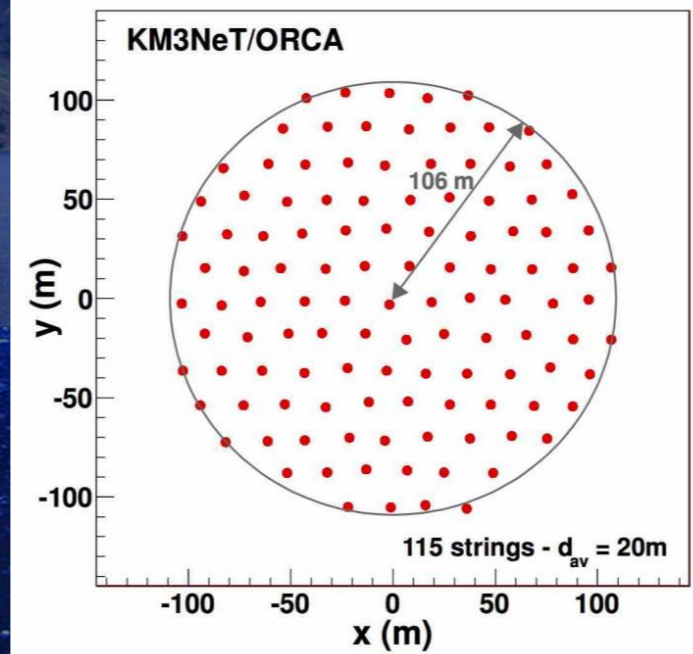
nutau appearance





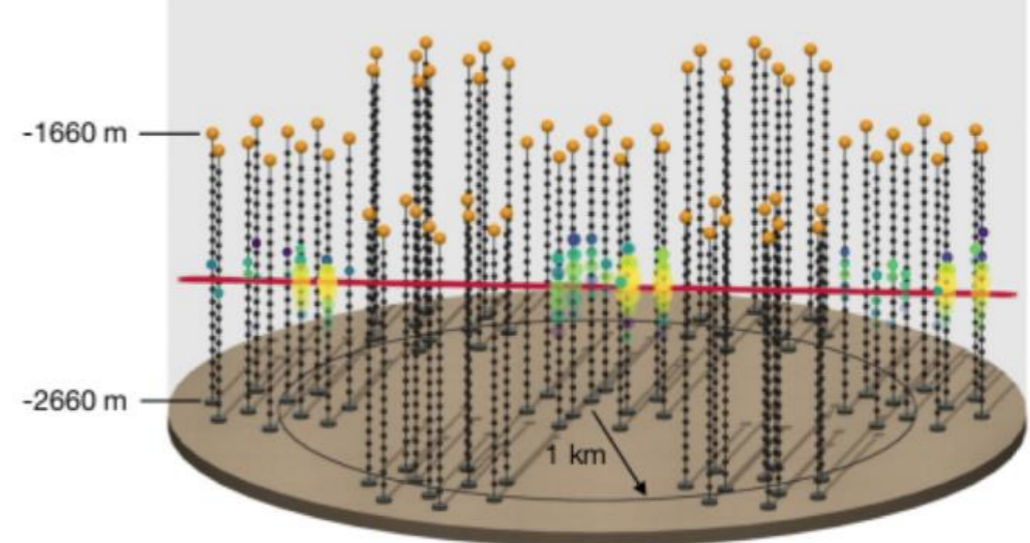
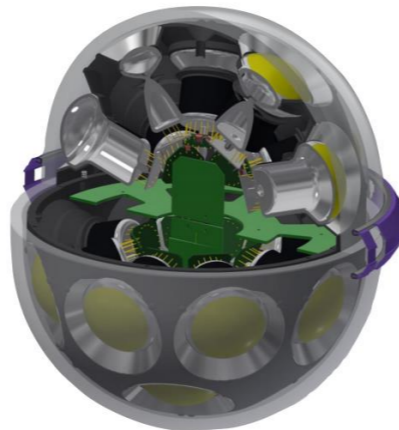
ORCA mass ordering (3y)

J.Phys. G43 (2016) no.8, 084001



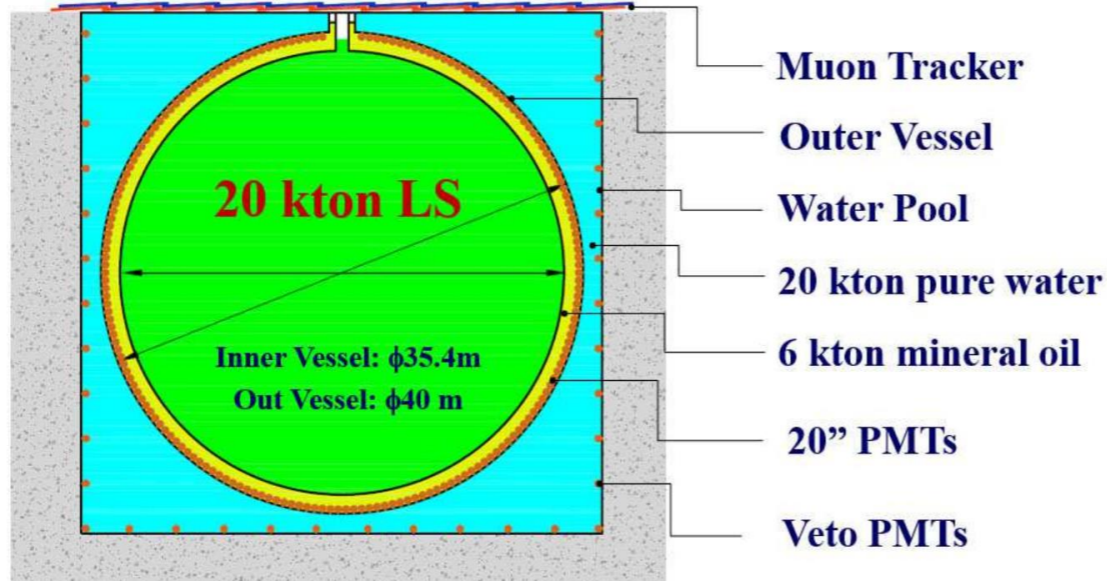
P-ONE: the Pacific Ocean Neutrino Explorer

- IceCube-like array
- Off the coast of **Vancouver** island
- Funding for first *demonstrator* secured



other experiments

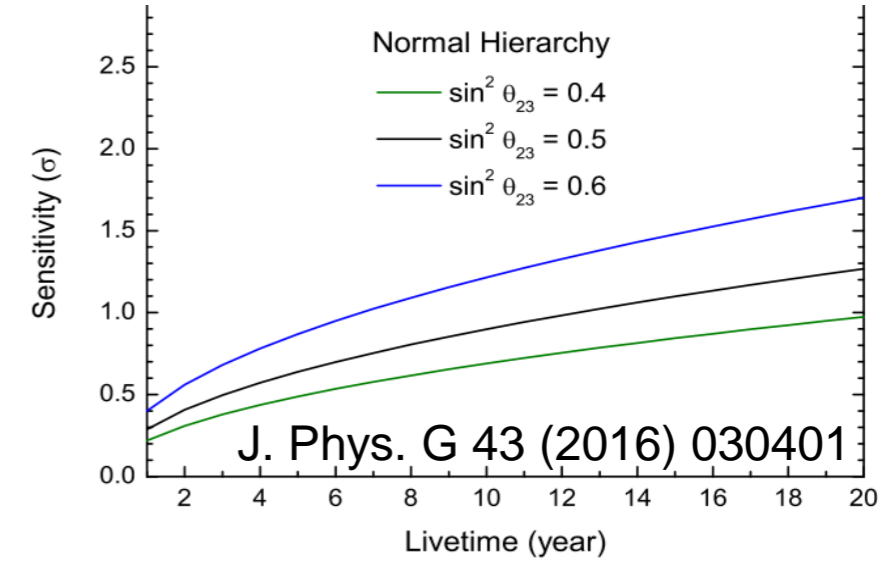
atmospheric ν are a secondary measurement



JUNO

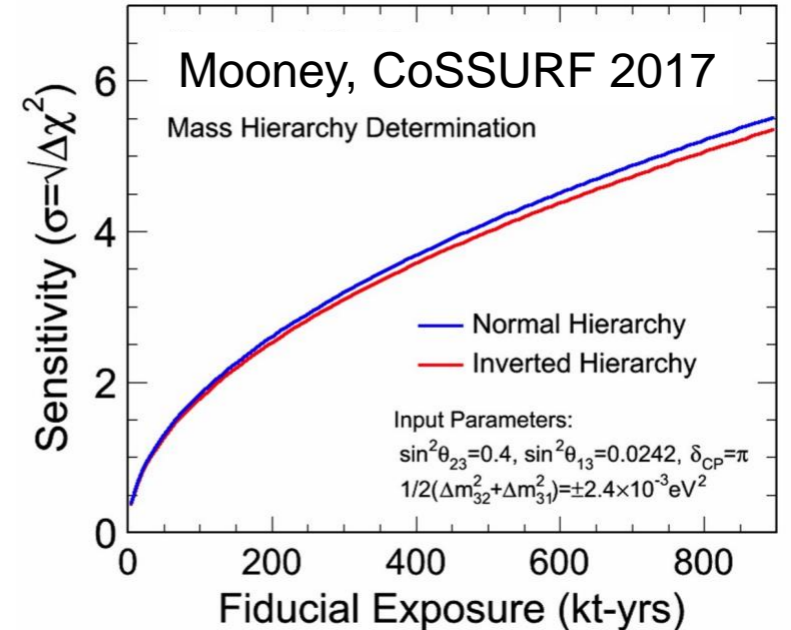
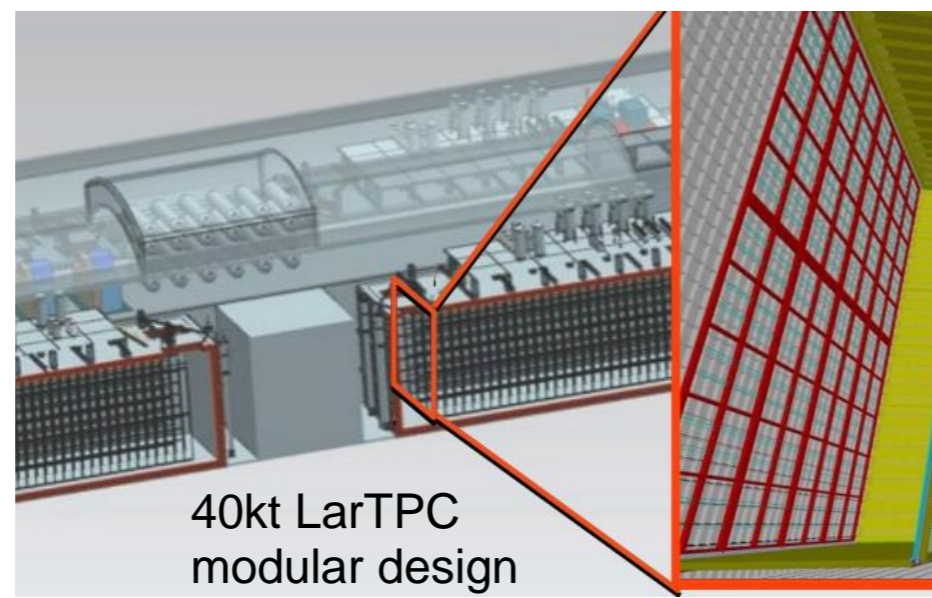
mass ordering from reactor neutrinos

mass ordering sensitivity from atm. ν only



DUNE

CP violation from beam neutrinos



final words

summary & outlook

- atm. nus are an **invaluable tool** for neutrino physics
- very large & unique phase space in **L/E, flavor**
- experiments producing **well understood, reliable** results
- next generation measurements tough, but possible
- renewed efforts to **model & understand** atm nus ongoing
- more data, new software, workshops in last years