



# Setup of a batch test facility for the characterization of photomultipliers for the SSD-Upgrade of the Pierre Auger Observatory

Astroparticle School - Obertrubach-Bärnfels

---

Simon Strotmann  
University of Wuppertal  
October 10, 2018

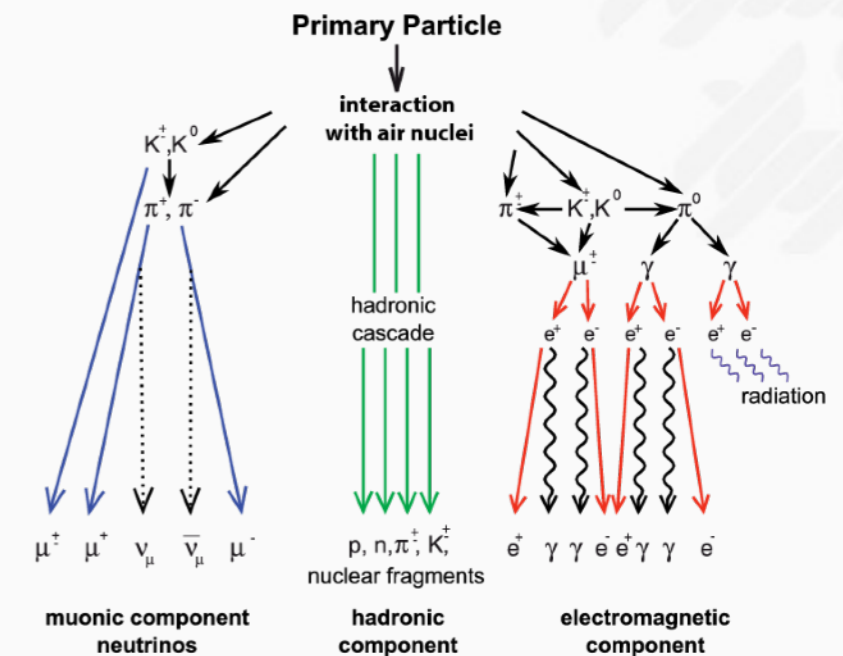
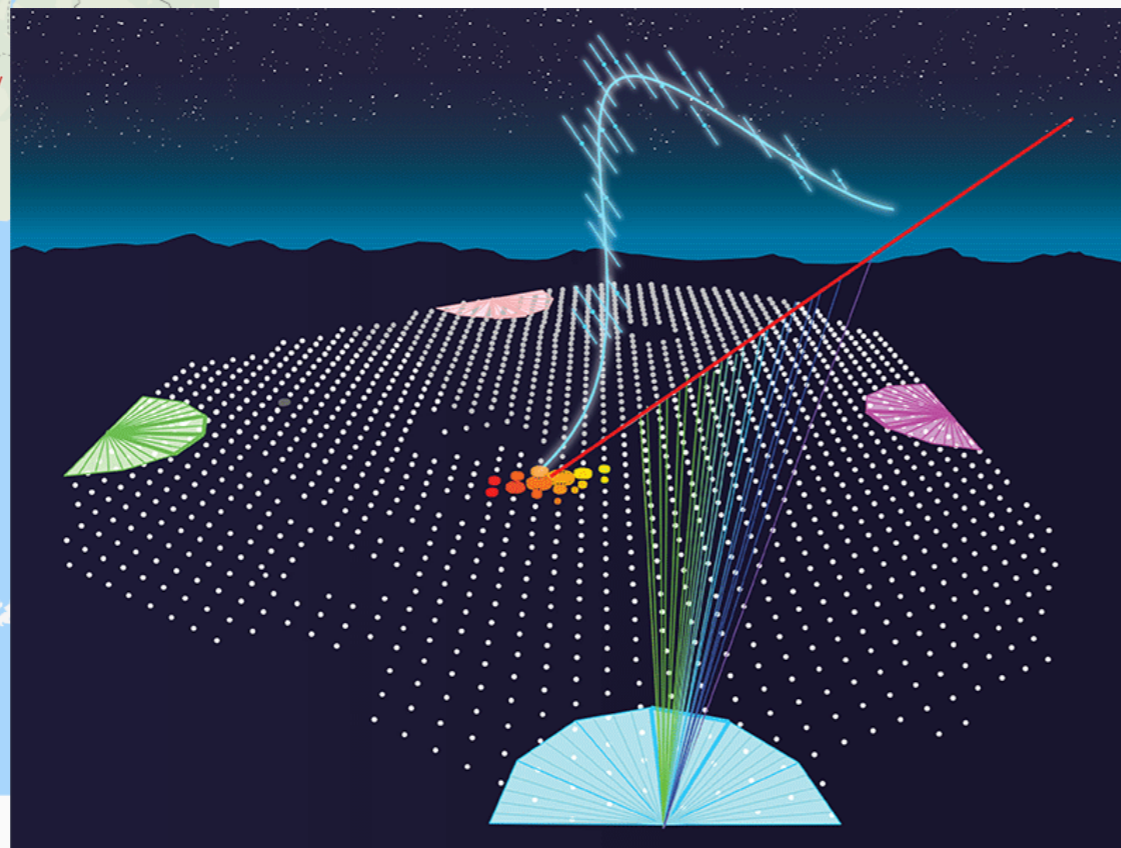
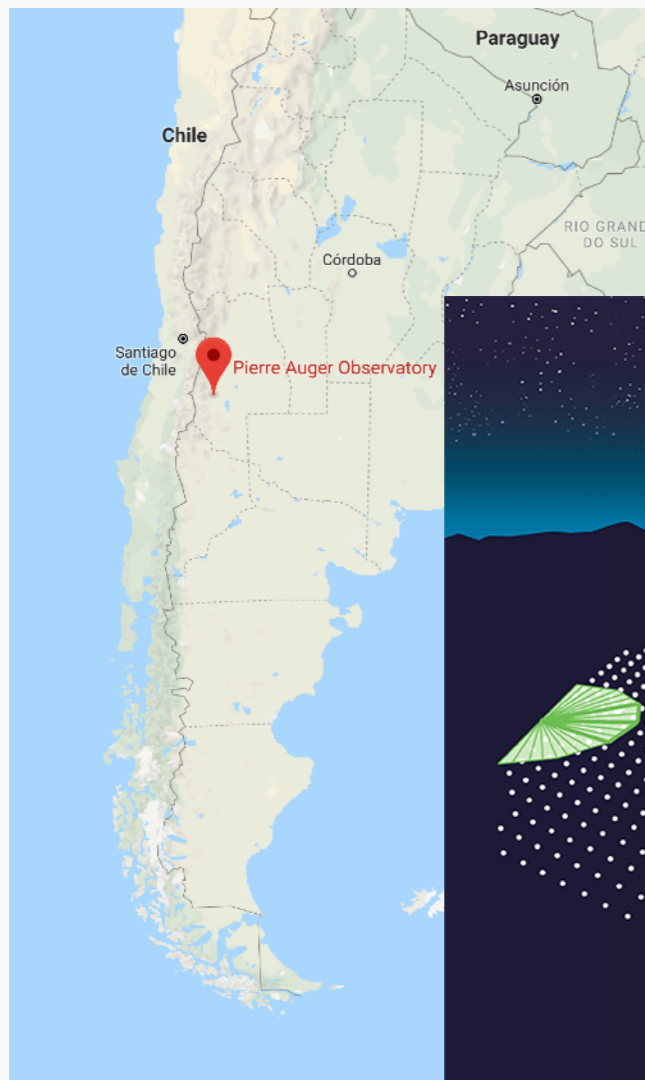


Bundesministerium  
für Bildung  
und Forschung

## The Pierre Auger Observatory

### Hybrid detection of cosmic rays:

- measure longitudinal profile (FD) of  $dE/dx$
- measure footprint (SD) of  $\mu$ /em-component about 1660 water-Cherenkov detectors on



## AugerPrime: Upgrade of all 1660 stations

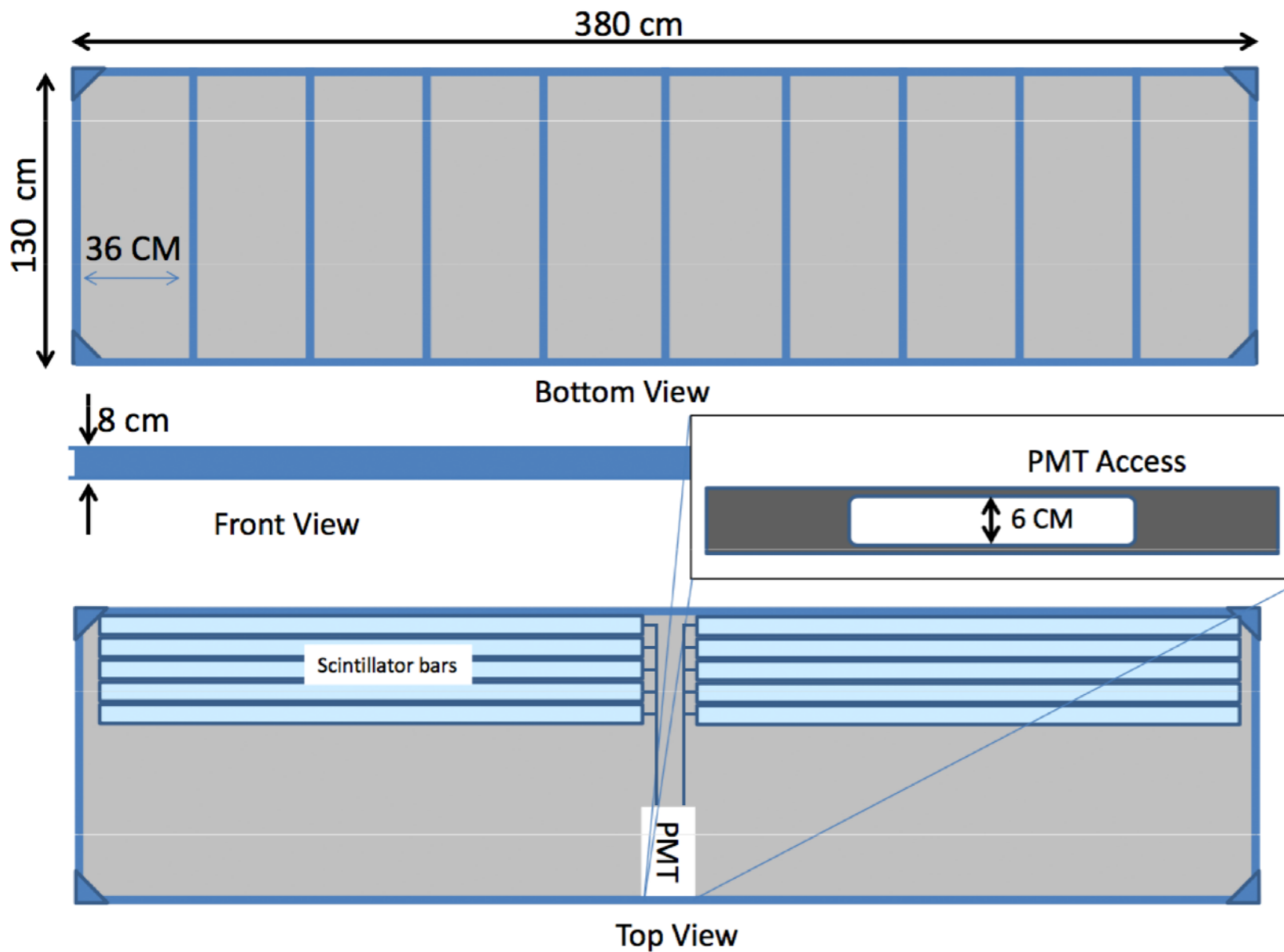
4m<sup>2</sup> plastic scintillator plane on top of every water-Cherenkov detector



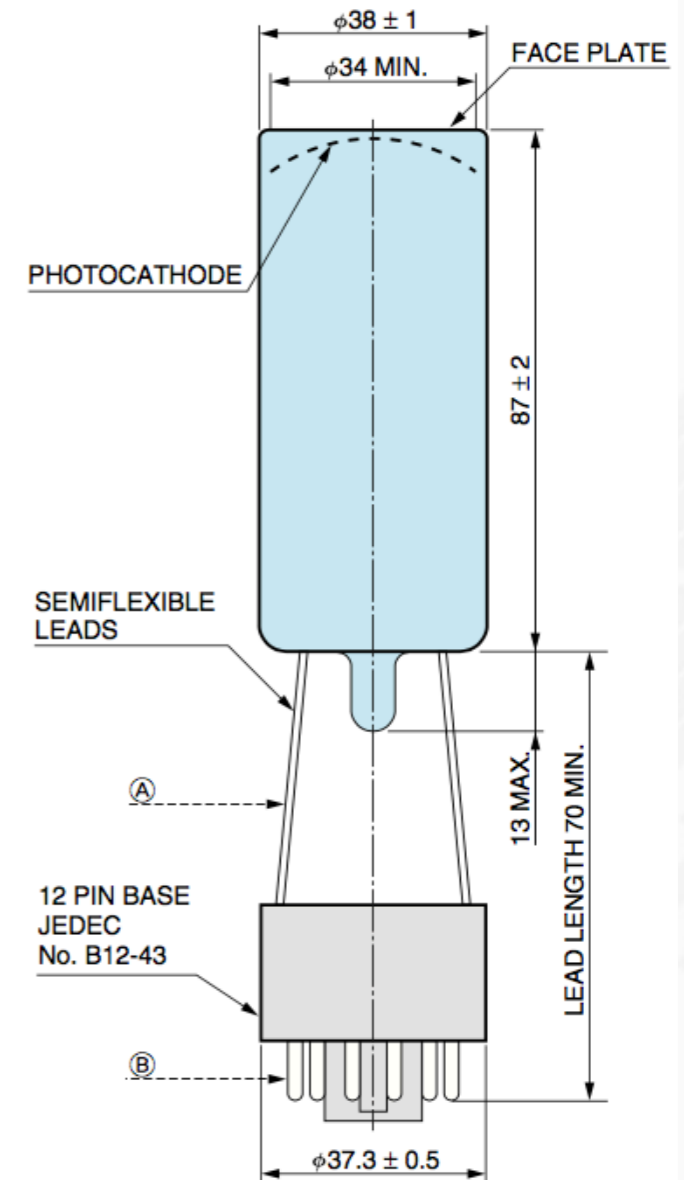
Detector station with SSD Upgrade [1]

### Benefits:

- better separation of  $\mu$ /em-component
- increase mass composition sensitivity
- doubling event statistics until 2024
- reducing systematic uncertainty
- possibility of comparison with TA due to scintillator plates



Schematic view of external aluminum box [2] - modied

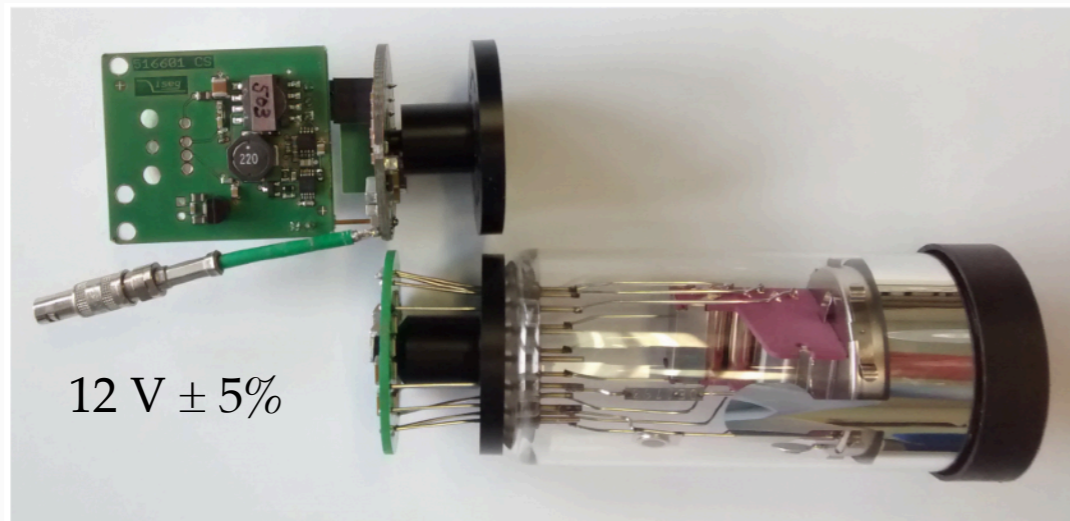


Schematic view of R9420 PMT [3]

**PMT Face plate:  $\text{Ø}38$  mm,  
operation of 8 Dynode-Stages**

integrated HV shows clear benefits in comparison with external HV:

- no external HV cabling and supply
- cost reduction
- reduced power dissipation
- high temperature stability



Hamamatsu R9420 PMT with soldered and separated ISEG base [2]



Hamamatsu R9420 PMT fully mounted and soldered

## some Key Specifications for SSD PMTs - from Tender

**Dark Current:** < 10nA at 20°C temperature after 30 min in darkness

**Quantum Efficiency** > 18%

➔ **Homogeneity** of photo cathode: Response should not vary by more than  $\pm 25\%$  over active area

**Spectral Response** 300 nm - 650 nm

**Gain:** 8 amplification stages, standard operation at  $5 \times 10^4$   
(at 850 V  $\pm$  100 V)

**Linearity:** linear response within better than 5% over dynamic range of at least  $2 \times 10^4$  up to maximum anode current of 150 mA

### TECHNICAL INFORMATION

TENTATIVE  
Feb. 2018

D0420 SEI  
For Pierre Auger Observatory, Fast time response,  
38 mm (1.5 inch) Diameter, Bialkali Photocathode, 8-stage, Head-On Type

#### GENERAL

Parameter	Description / Value	Unit
Spectral Response	300 to 650	nm
Peak Wavelength of Cathode Radiant Sensitivity	420	nm
Window	Material	Borosilicate glass
	Shape	Plano concave
Minimum Anode to Cathode Distance	Material	Bialkali
	Minimum Anode to Cathode Distance	$\phi 34$
Dynode Structure / Number of Stages	Linear Focused / 8	-
Operating Ambient Temperature (with Socket)	-30 to +50	°C
Storage Temperature (w/o Socket)	-80 to +50	°C
Suitable Socket	E678-12A	-
Recommended Supply Voltage between Anode and Cathode	1300	V

#### MAXIMUM RATINGS (Absolute Maximum Values)

Parameter	Value	Unit
Supply Voltage	Between Anode and Cathode	1500
	Between Anode and Last Dynode	350
Average Anode Current	0.1	mA

#### CHARACTERISTICS (at 25 °C)

Parameter	Min.	Typ.	Max.	Unit
Cathode Sensitivity Luminous (2856K)	120	-	-	$\mu\text{A/lm}$
Cathode Blue Sensitivity Index (Cs 5-58)	9.0	11.0	-	-
Cathode Radiant Sensitivity (at 400 nm)	-	88	-	mA/W
Quantum Efficiency (at 500 nm) (Guaranteed)	18	-	-	%
Anode Sensitivity Luminous (2856K)	-	50	-	A/lm
Ebbv (Gain $5 \times 10^4$ )	750	-	950	V
Ebbv (Gain $10^5$ )	3.0	-	10	V
Ebbi (Gain $5 \times 10^4$ )	-	6.0	-	nA
(Dark Current at Ebbv)* (Gain $7 \times 10^5$ )	-	6.0	-	nA
Anode Output Rise Time at Ebbv (for Gain $5 \times 10^4$ ) (Guaranteed)	-	-	5.0	ns
Pulse Linearity at Ebbv (for Gain $7 \times 10^5$ ) ( $\pm 5\%$ deviation) ** (Guaranteed)	150	-	-	mA
Cathode Uniformity in effective area (at 500 nm) (Guaranteed)	-25	-	25	%

## some Key Specifications for SSD PMTs - from Tender

**Dark Current:** < 10nA at 20°C temperature after 30 min in darkness

**Quantum Efficiency** > 18%

➔ **Homogeneity** of photo cathode: Response should not vary by more than  $\pm 25\%$  over active area

**Spectral Response** 300 nm - 650 nm

### TECHNICAL INFORMATION

TENTATIVE  
Feb. 2018

D9420SEI  
For Pierre Auger Observatory, Fast time response,  
38 mm (1.5 inch) Diameter, Bialkali Photocathode, 8-stage, Head-On Type

#### GENERAL

Parameter	Description / Value	Unit
Spectral Response	300 to 650	nm
Peak Wavelength of Cathode Radiant Sensitivity	420	nm
Window	Material	Borosilicate glass
	Shape	Plano concave
Minimum Anode to Cathode Distance	Material	Bialkali
	Minimum Anode to Cathode Distance	$\phi 34$
Dynode Structure / Number of Stages	Linear Focused / 8	-
Operating Ambient Temperature (with Socket)	-30 to +50	°C
Storage Temperature (w/o Socket)	-80 to +50	°C
Suitable Socket	E678-12A	-
Recommended Supply Voltage between Anode and Cathode	1300	V

#### MAXIMUM RATINGS (Absolute Maximum Values)

Parameter	Value	Unit
Supply Voltage	Between Anode and Cathode	1500
	Between Anode and Last Dynode	350
Average Anode Current	0.1	mA

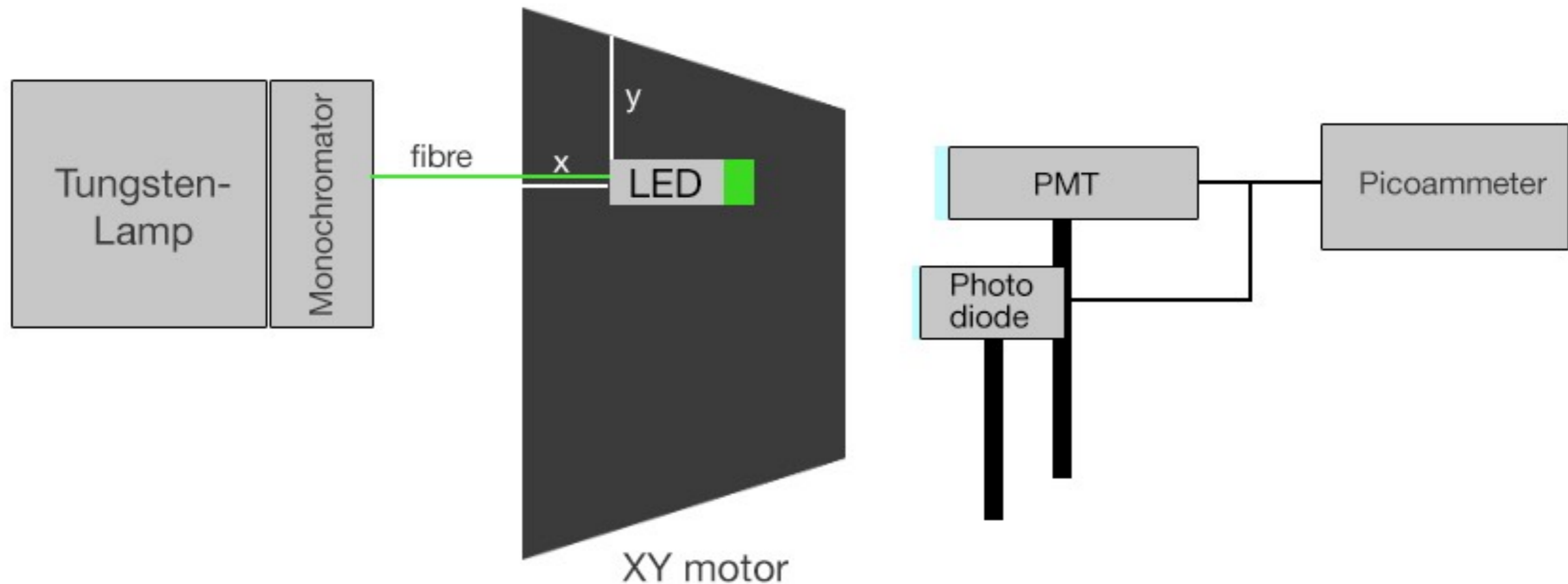
#### CHARACTERISTICS (at 25 °C)

Parameter	Min.	Typ.	Max.	Unit
Operation at $5 \times 10^4$	-	-	-	$\mu\text{A/lm}$
Cathode Blue Sensitivity Index (Cs 5-58)	9.0	11.0	-	-
Cathode Radiant Sensitivity (at 400 nm)	-	88	-	mA/W
Quantum Efficiency	18	-	-	%
Anode Sensitivity (Luminous (2850K))	-	50	-	A/lm
Ebbv	750	-	950	V
Gain $5 \times 10^4$	150	-	-	V
(Dark Current at Ebbv)*	3.0	10	-	nA
Gain $7 \times 10^5$	6.0	-	-	nA
(Guaranteed)	-	-	5.0	ns
Pulse Linearity at Ebbv(for Gain $7 \times 10^5$ ) ( $\pm 5\%$ deviation)** (Guaranteed)	150	-	-	mA
Cathode Uniformity in effective area (at 500 nm) (Guaranteed)	-25	-	25	%

results when  
test bench ready



## Brief overview of QE Setup (already built up)



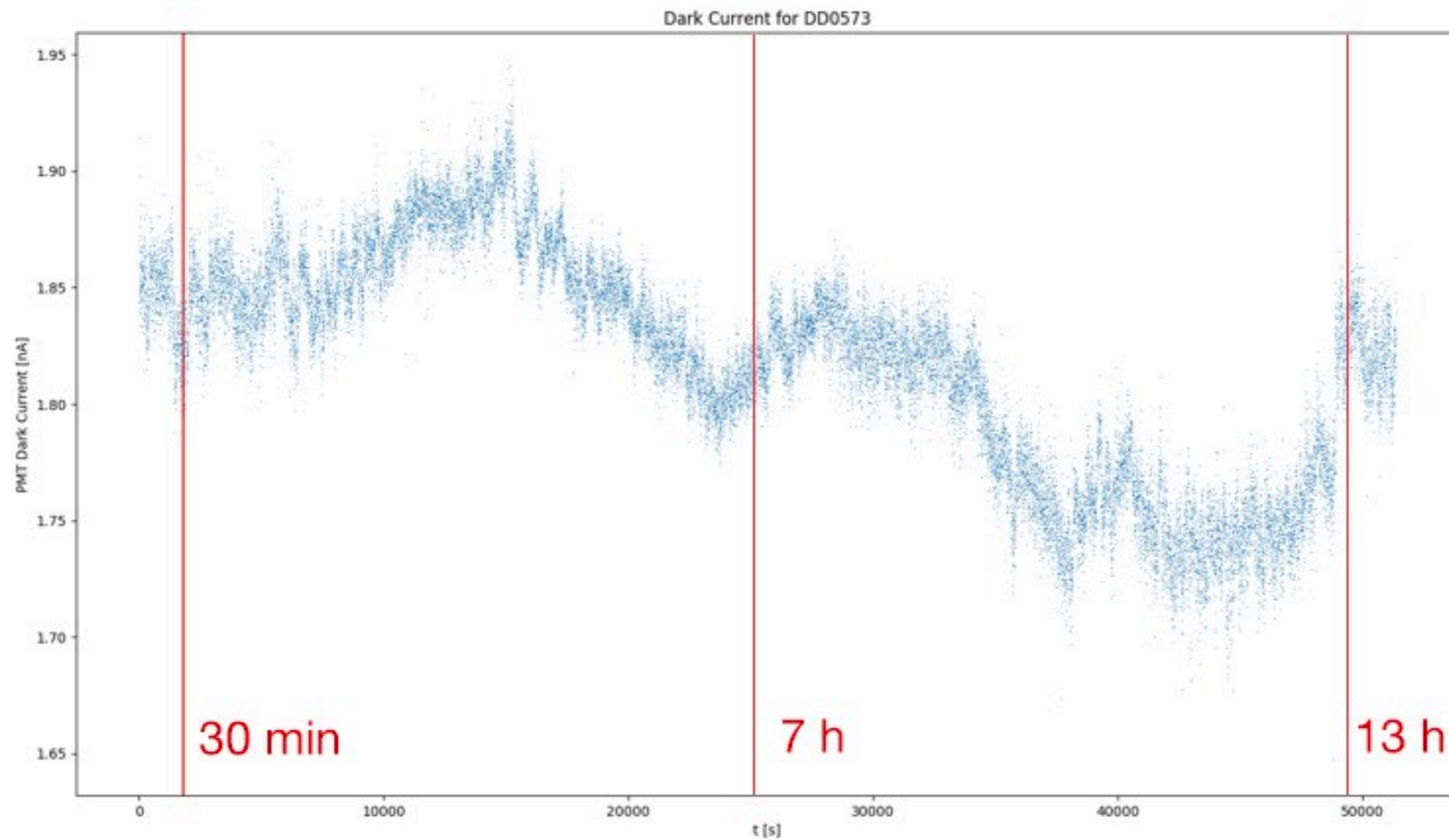
**Calculation of QE:**

$$Q_{PMT} = \frac{I_{PMT} - I_{DC,PMT}}{I_{Diode} - I_{DC,Diode}} \cdot Q_{Diode}$$

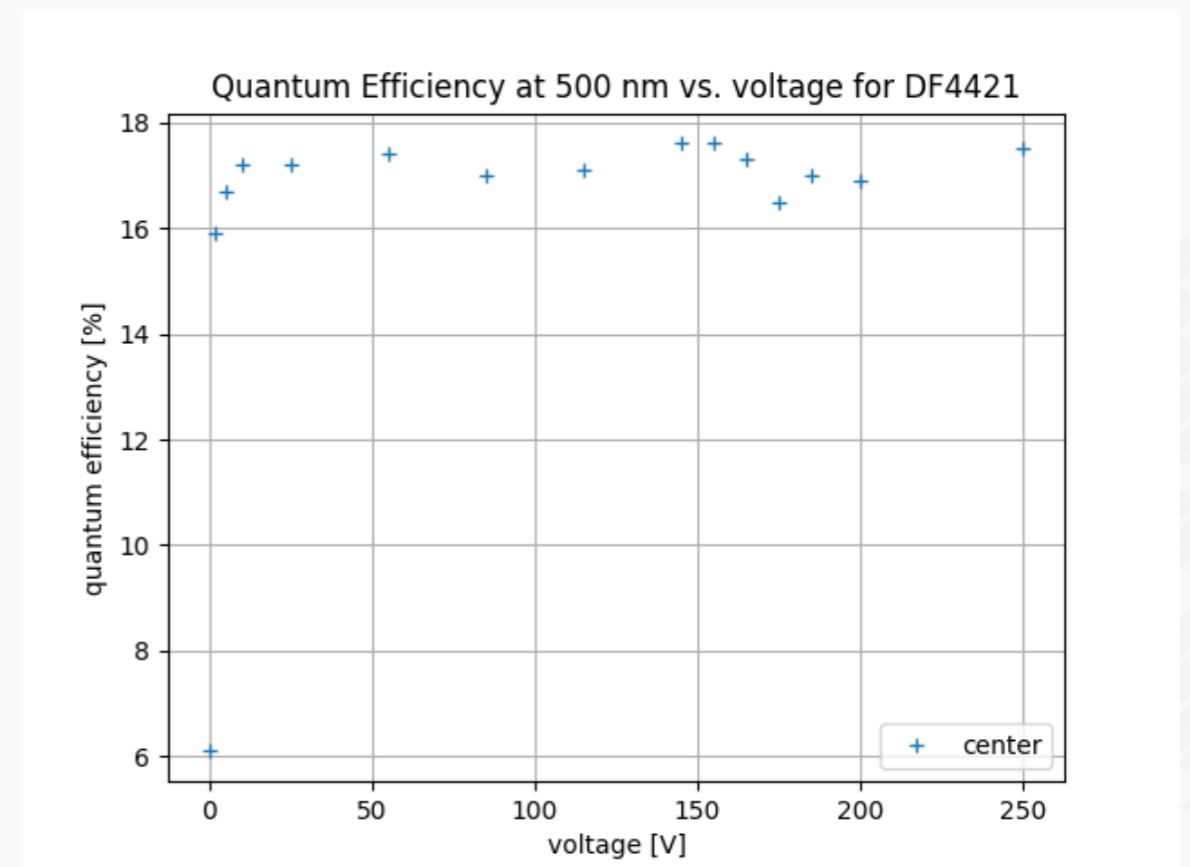
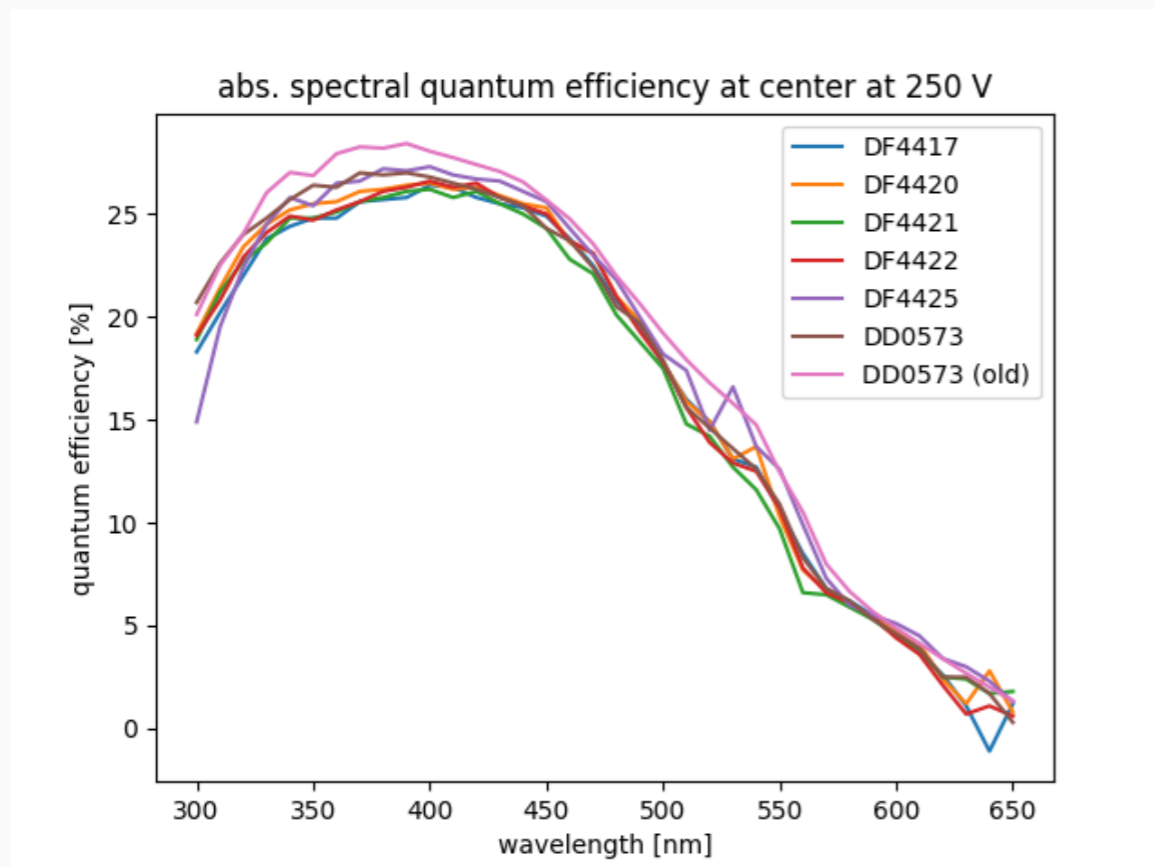


**(Photocathode) Dark Current** at all times  $< 10\text{nA}$

**(Measurement in dark box in a dark room)**



## Quantum Efficiency

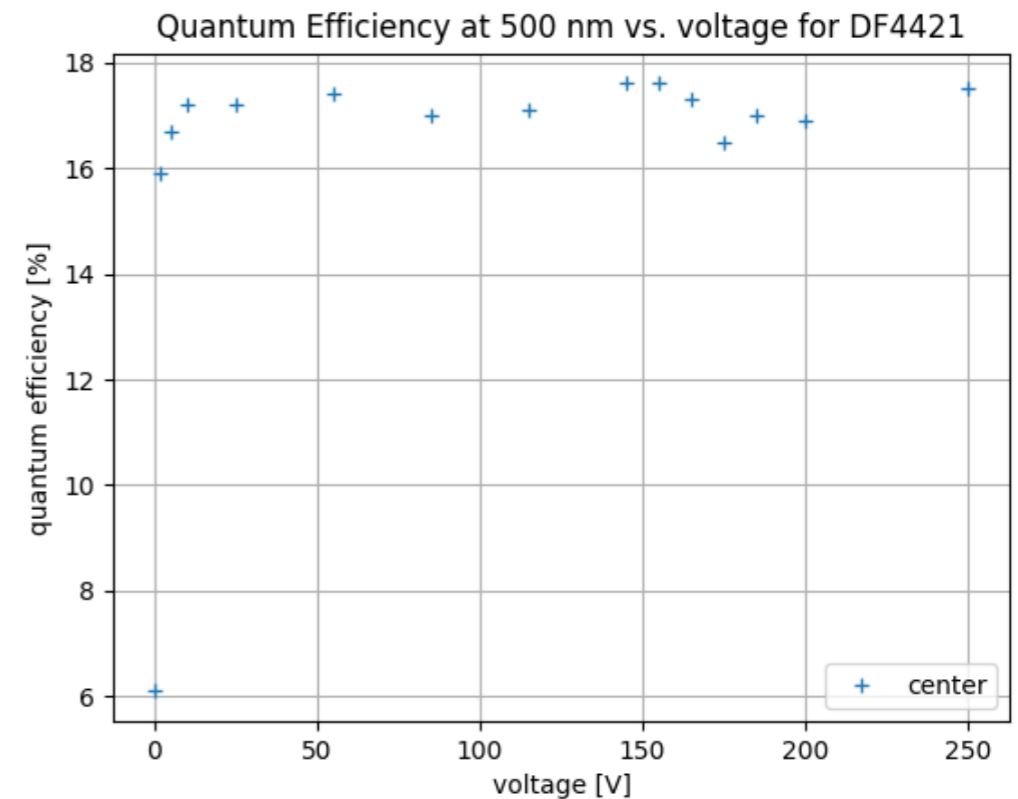
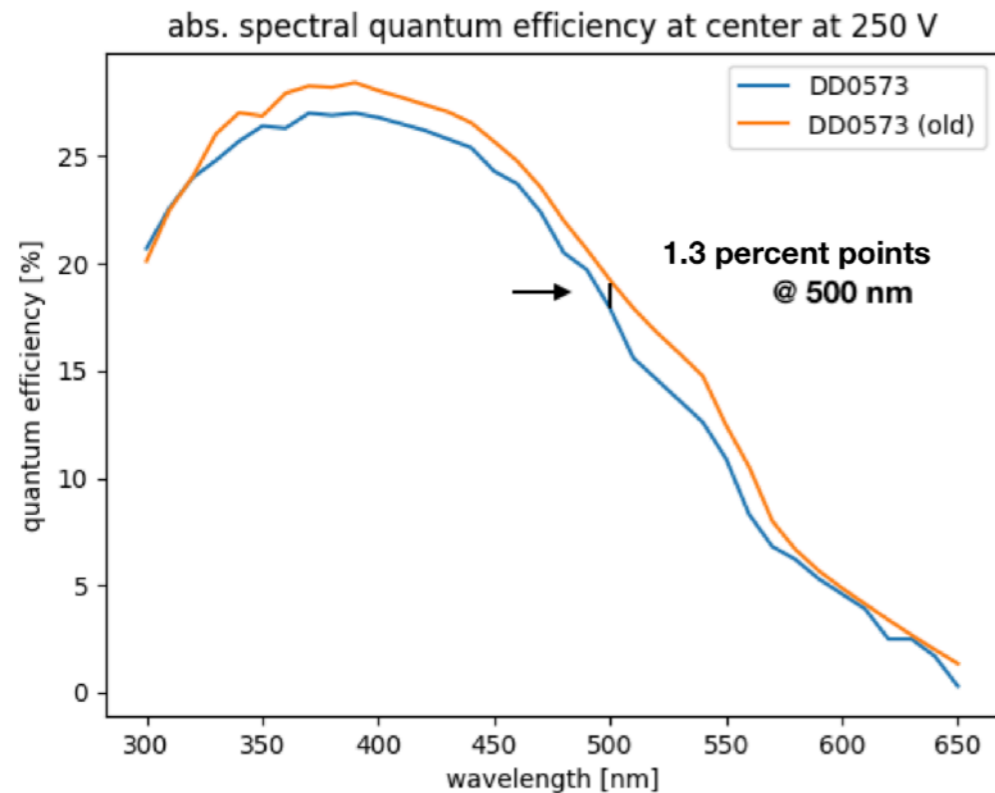


### slightly low QE - possible reasons:

- warmup of Tungsten lamp
- incorrect applied HV
- HV effect stabilization
- instable dark current
- unprecise positions

collection efficiency stabilizes for low HV

## Quantum Efficiency



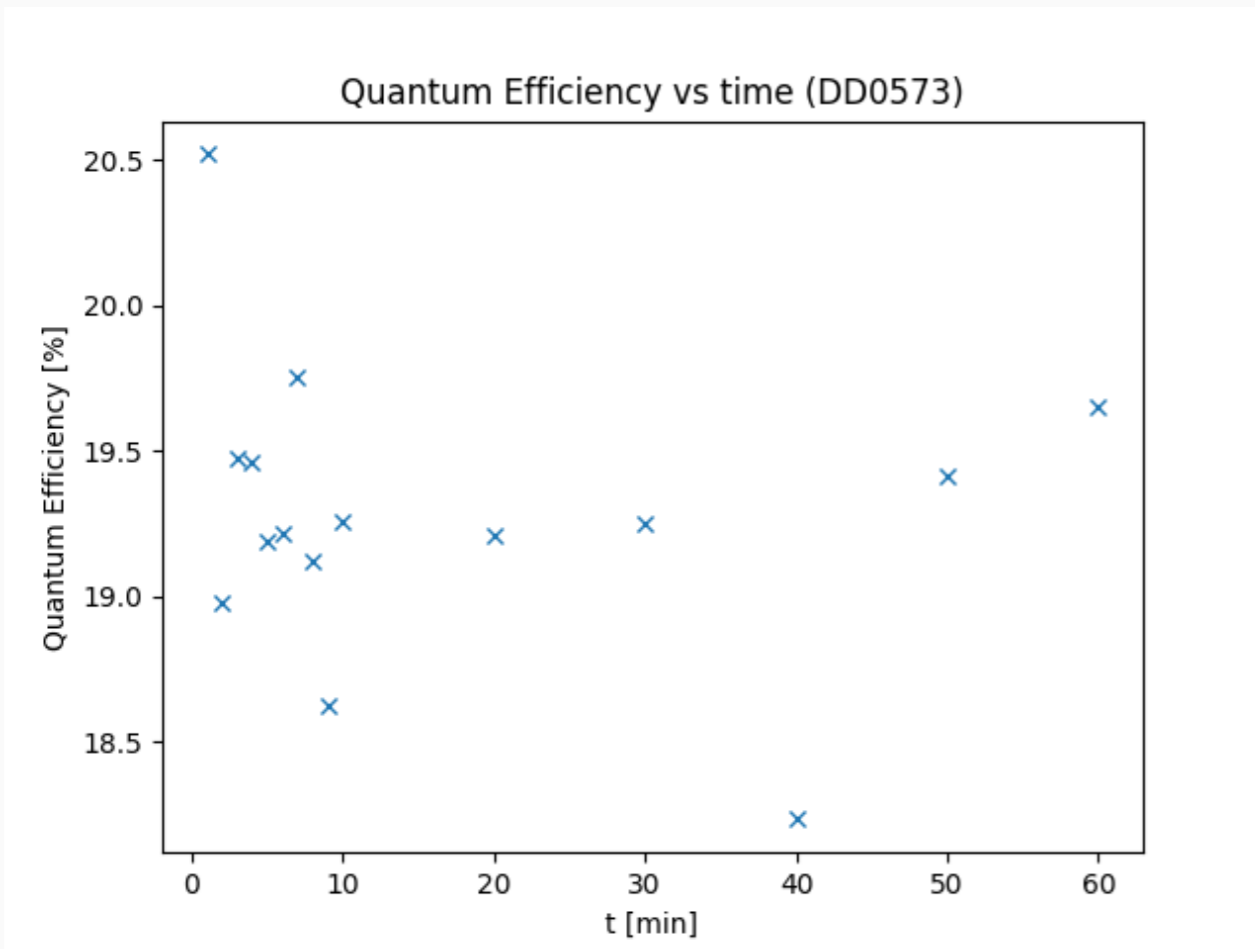
**slightly low QE - possible reasons:**

- warmup of Tungsten lamp
- incorrect applied HV
- HV effect stabilization
- instable dark current
- unprecise positions

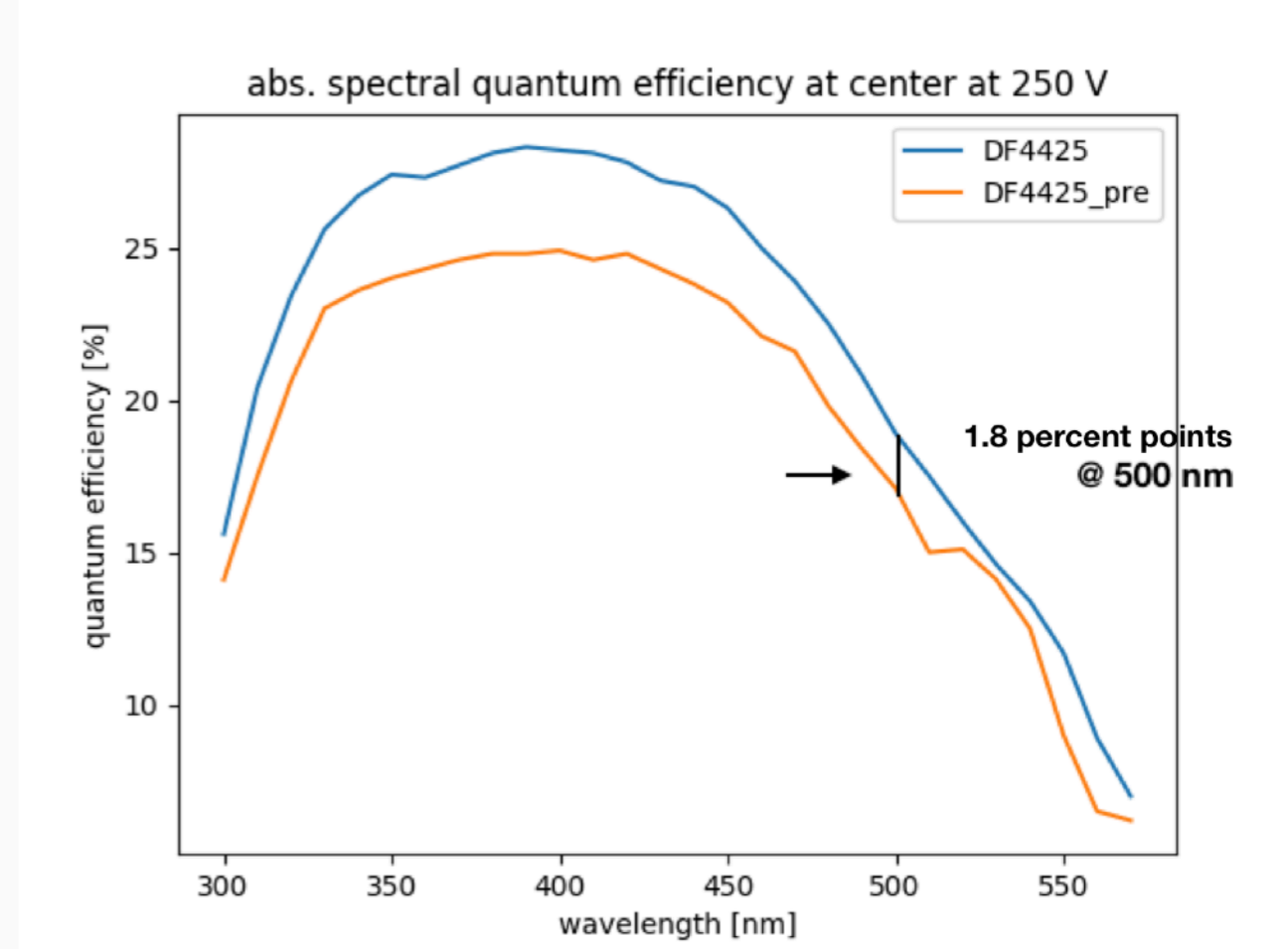
QE stabilizes already for low voltage

## Quantum Efficiency

### new measurements

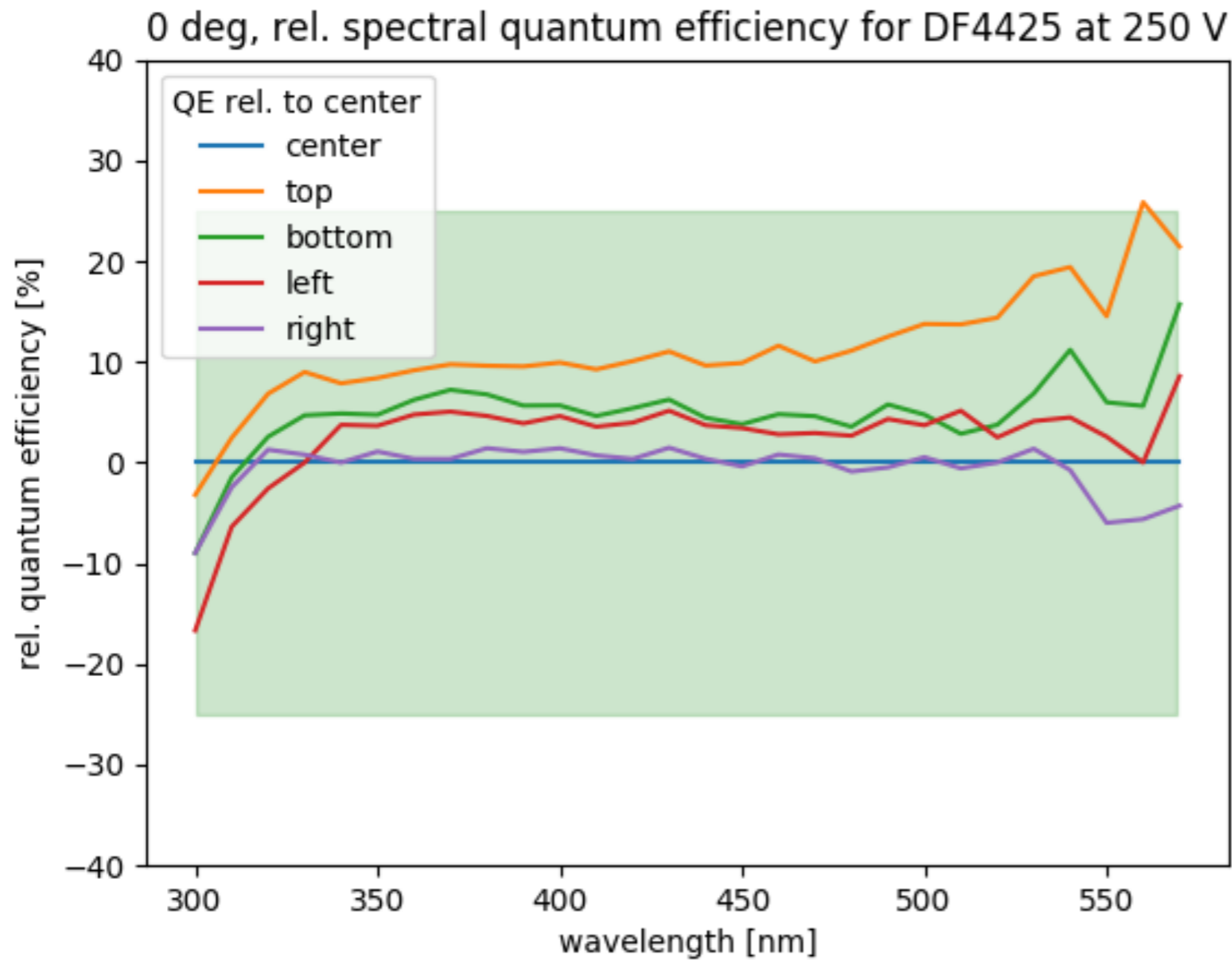


HV (250 V) taking effect (500 nm)



at 500 nm: ~ abs. 1.8% difference

## Homogeneity of photo cathode

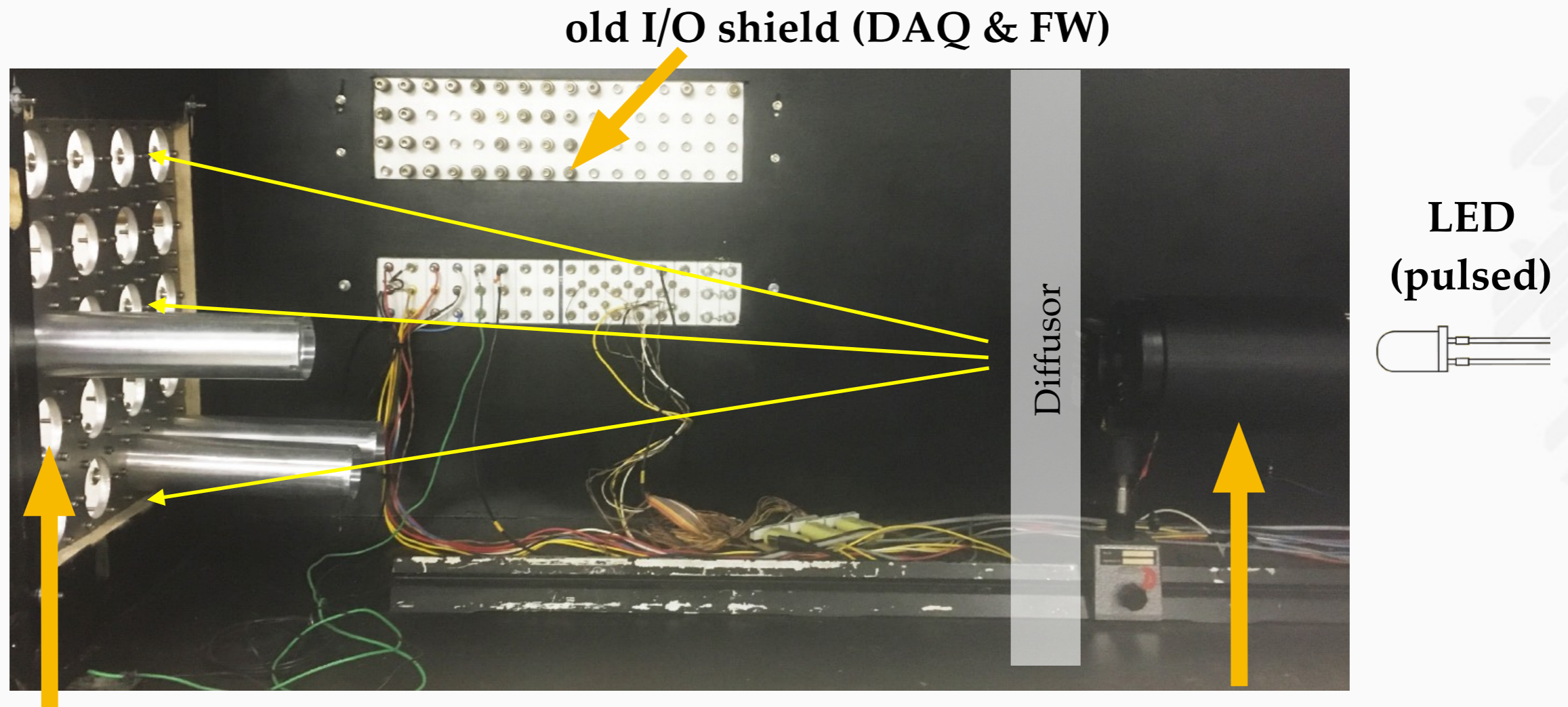


$$QE_{\text{rel}} = \frac{QE_{\text{pos}} - QE_{\text{center}}}{QE_{\text{center}}}$$

**=> QE deviations  
within 25%**

PMT batch test box still in preparation

**Reminder:** Aim to measure Linearity and Gain

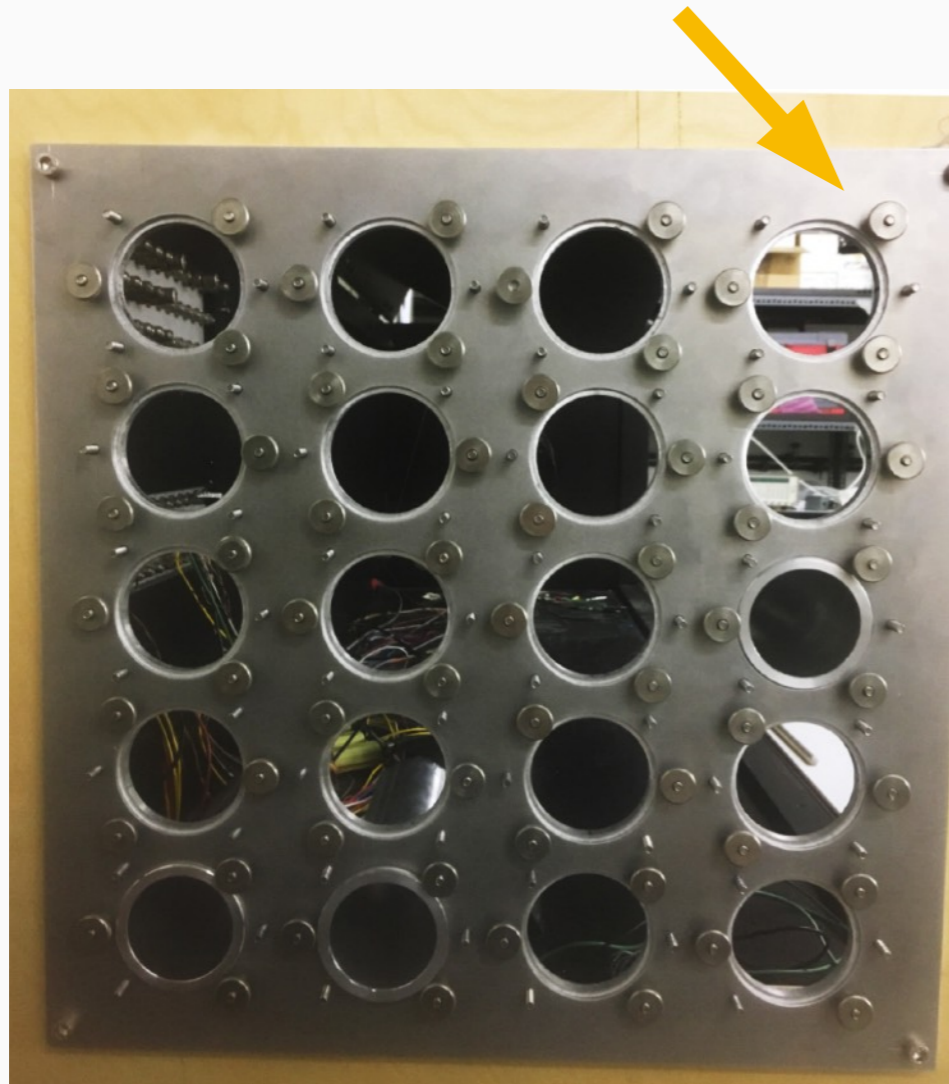


planned  
new DAQ  
and slow control

Thorlabs Filterwheel  
(FW212)

## PMT batch test bench in preparation:

easy PMT change by using knurled screws

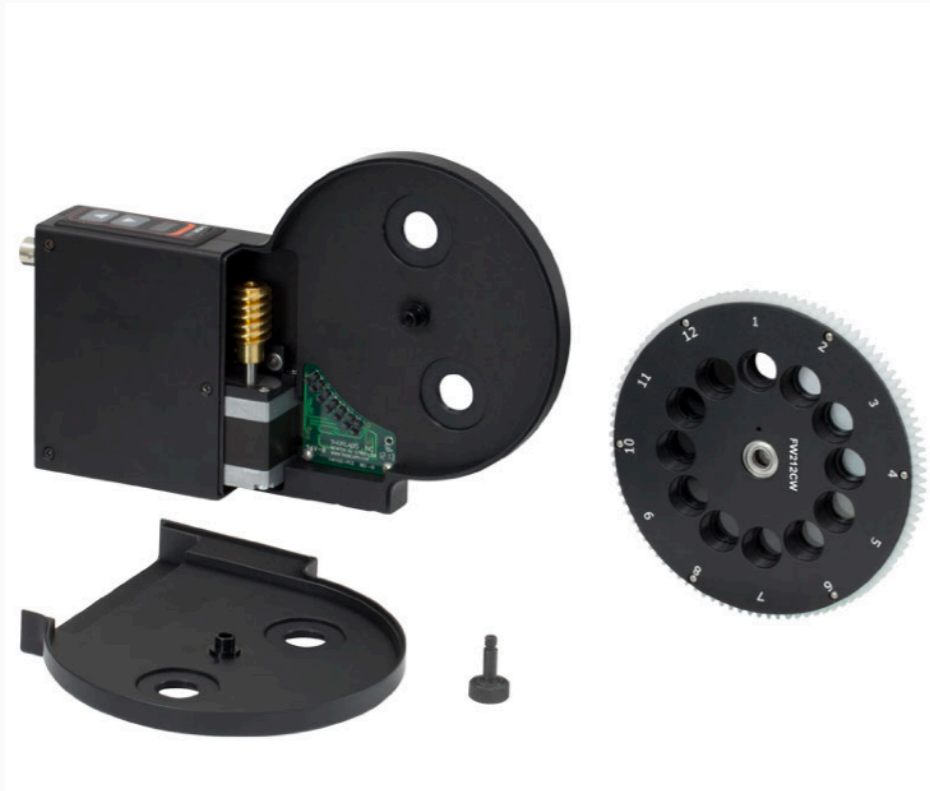


Aluminium front plate for batch testing of 20 PMTs simultaneously

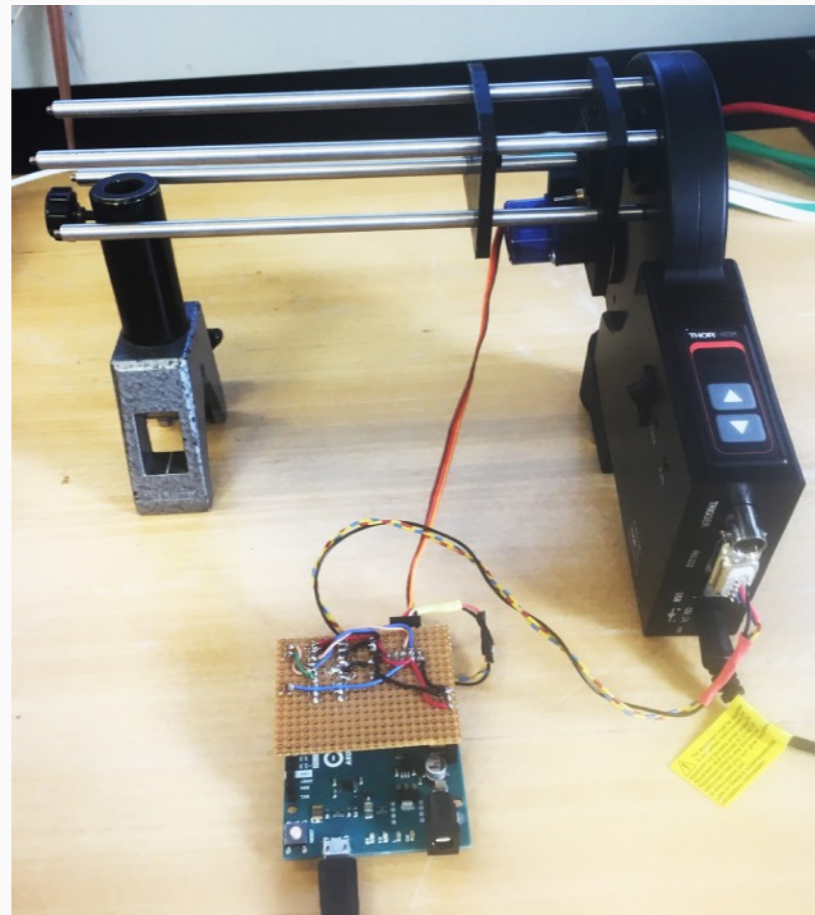


Hamamatsu R9420 PMT fully mounted and soldered

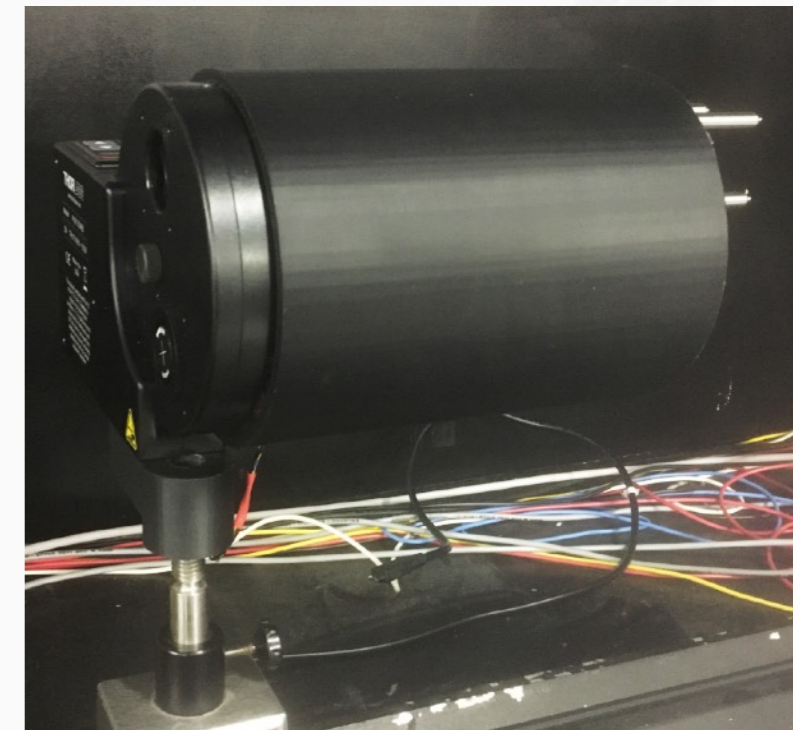
## Combination of Iris & Filterwheel for different attenuation factors Simultaneous control structure via Serial Bus through Arduino



Thorlabs Filterwheel FW212C [4]



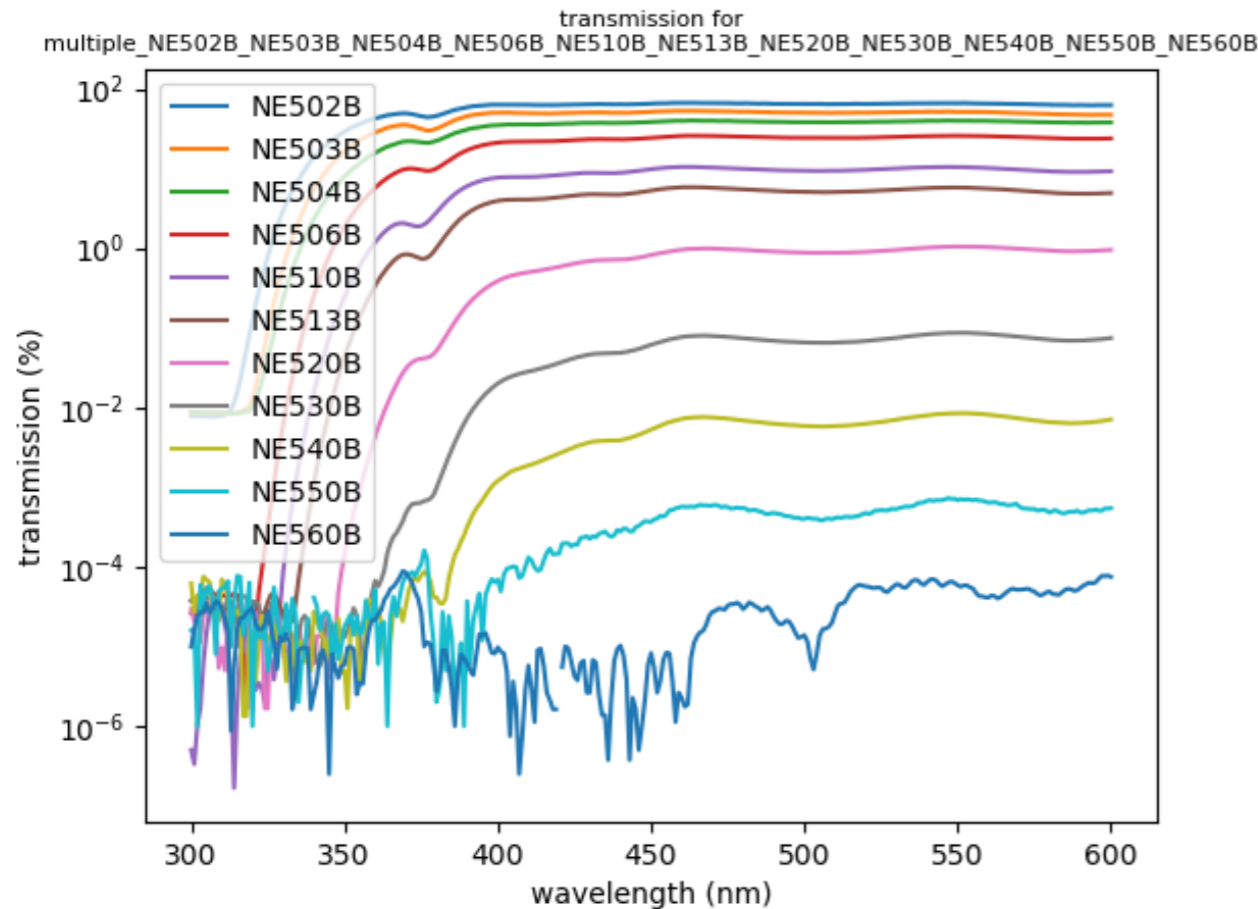
Filterwheel, Iris, Arduino



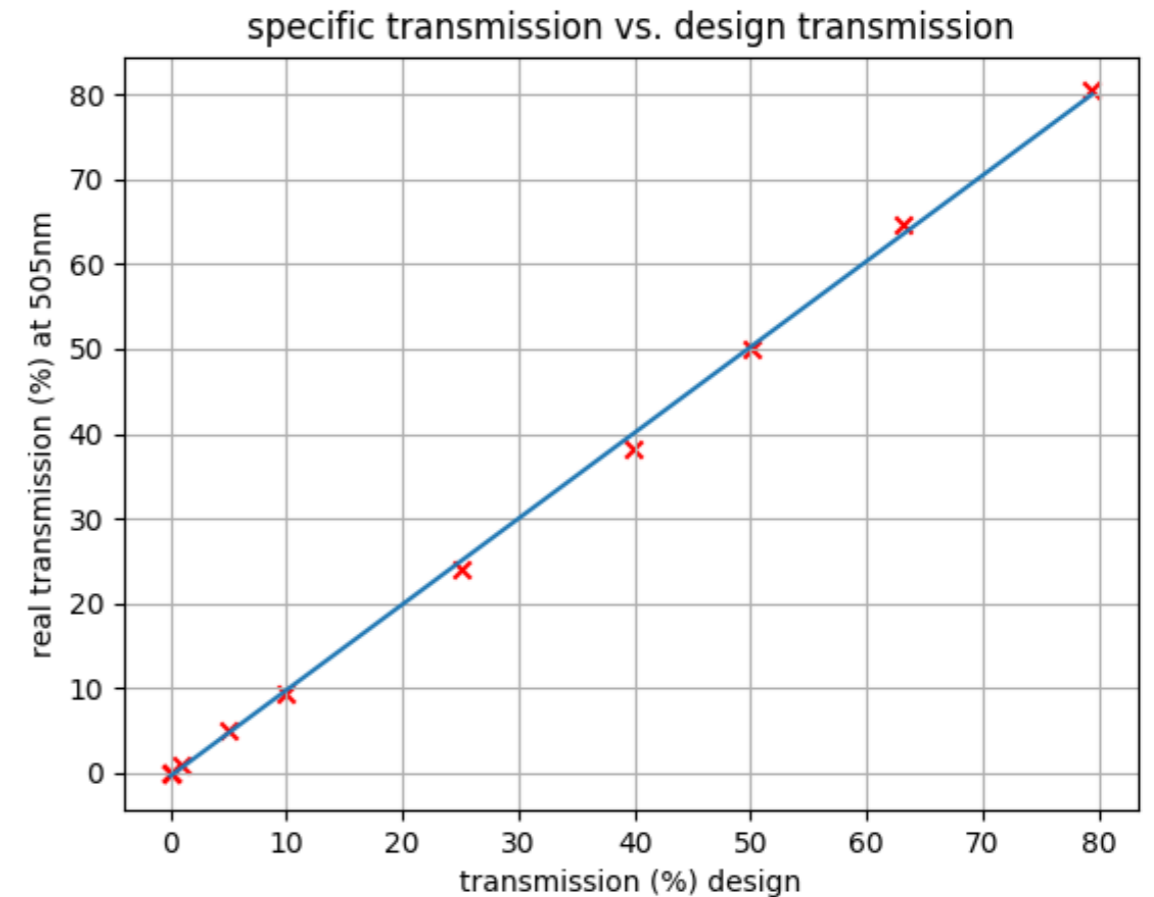
Filterwheel, Iris, Arduino mounted within  
printed shell, LED 500 nm



## ND filter attenuation - with respect to specific wavelength



wavelength vs. transmission - factory values form Thorlabs

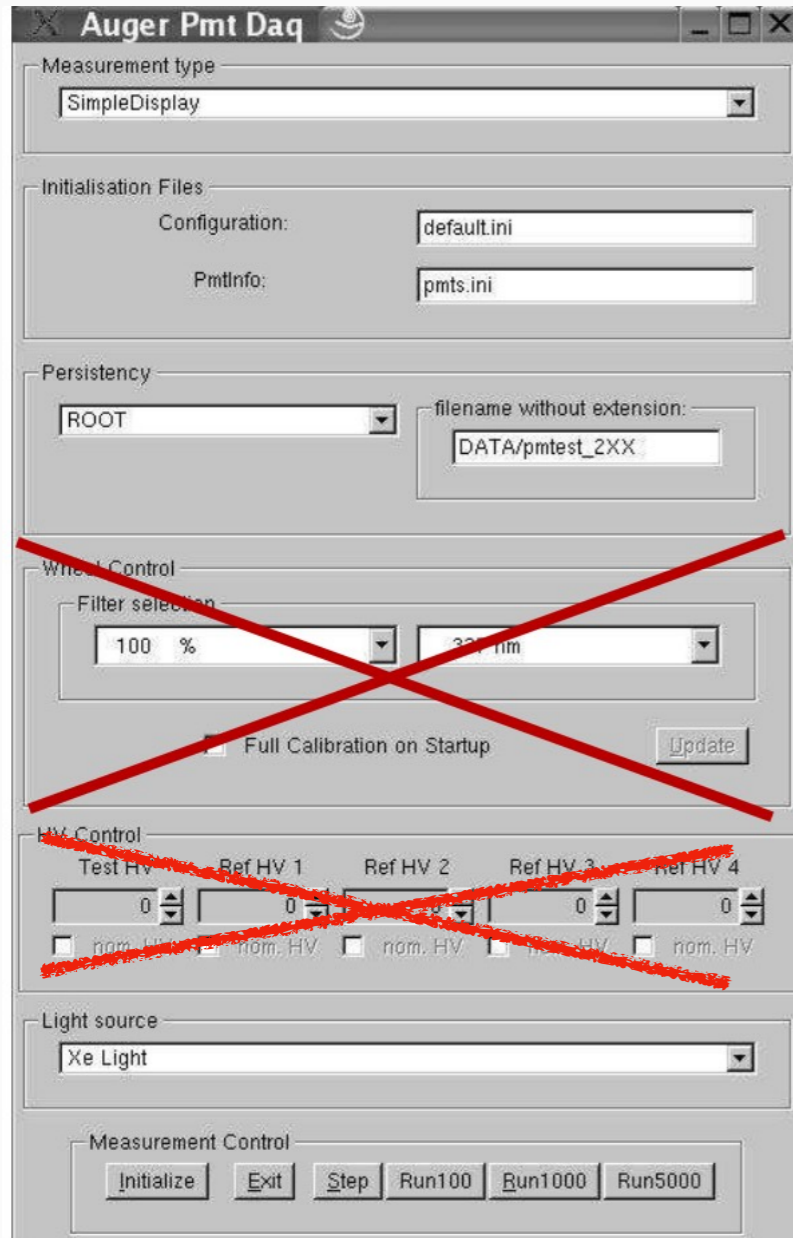


design transmission vs. real transmission at specific wavelength



test lower limit of detection dynamic range:  
Single Photon Condition

## ROOT GUI



**Settings PMT batch**



**Output format**



**New implementation:**

**- Iris & Filterwheel (separated/combined)**

**- HV control**



**High pulse number of LED  
for adequate statistics**

- **Measurements difficult because of various error sources but tendencies are mostly within specs**
- **PMT batch test box in preparation**
- **Slow Control, Filterwheel and Iris control integration into existing ROOT GUI**
- **doing measurements of mentioned PMT specifications**
- **sending PMTs to the field end of 2018/beginning 2019**
- **inserting relevant PMT parameters to MySQL DB for offline analysis**



**Thank you for your attention!** 😊



## References:

1. The Pierre Auger Observatory Upgrade - Preliminary Design Report  
The Pierre Auger Collaboration, 2016
2. Integrated HV-Supply for SSD PMTs, Gap Note, S. Querchfeld, K.H. Becker, K.-H. Kampert,  
Julian Rautenberg, 2017
3. Hamamatsu R9420 Specifications Datasheet, retrieved on 03.09.2018
4. Thorlabs Website, [www.thorlabs.com](http://www.thorlabs.com), retrieved on 03.09.2018

## TECHNICAL INFORMATION

**TENTATIVE**  
Feb. 2018

### R9420 SEL

For Pierre Auger Observatory, Fast time response,  
38 mm (1.5 inch) Diameter, Bialkali Photocathode, 8-stage, Head-On Type

#### GENERAL

Parameter		Description / Value	Unit
Spectral Response		300 to 650	nm
Peak Wavelength of Cathode Radiant Sensitivity		420	nm
Window	Material	Borosilicate glass	-
	Shape	Plano concave	-
Photocathode	Material	Bialkali	-
	Minimum Effective Area	φ34	mm
Dynode Structure / Number of Stages		Linear Focused / 8	-
Operating Ambient Temperature (with Socket)		-30 to +50	°C
Storage Temperature (w/o Socket)		-80 to +50	°C
Suitable Socket		E678-12A	-
Recommended Supply Voltage between Anode and Cathode		1300	V

#### MAXIMUM RATINGS (Absolute Maximum Values)

Parameter		Value	Unit
Supply Voltage	Between Anode and Cathode	1500	V
	Between Anode and Last Dynode	350	
Average Anode Current		0.1	mA

#### VOLTAGE DISTRIBUTION RATIO AND SUPPLY VOLTAGE

Electrodes	K	Dy1	Dy2	Dy3	Dy4	Dy5	Dy6	Dy7	Dy8	P
Ratio	4	1.5	1.5	1	1	1	1	1	1	1

Supply Voltage: 1300 V, K: Cathode, Dy: Dynode, P: Anode

#### MAXIMUM RATINGS (Absolute Maximum Values)

Parameter		Value	Unit
Supply Voltage	Between Anode and Cathode	1500	V
	Between Anode and Last Dynode	350	
Average Anode Current		0.1	mA

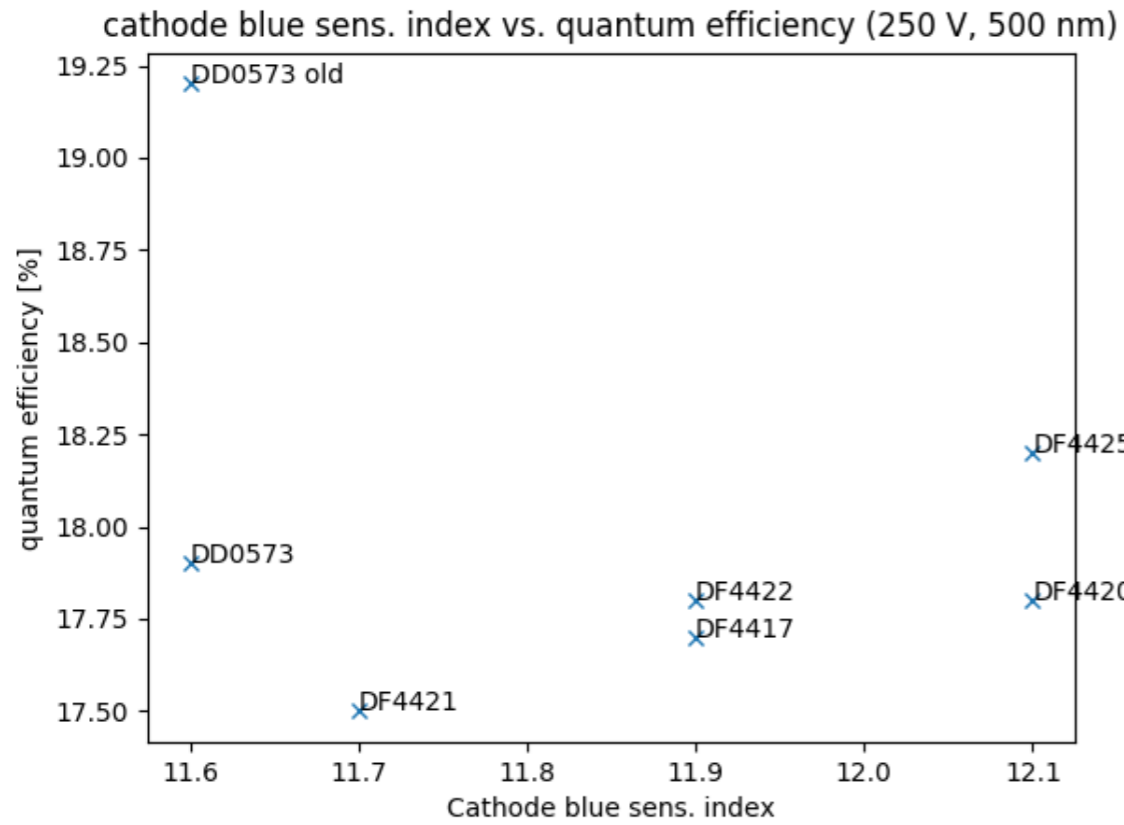
#### CHARACTERISTICS (at 25 °C)

Parameter		Min.	Typ.	Max.	Unit
Cathode Sensitivity	Luminous (2856K)	120	-	-	μA/lm
Cathode Blue Sensitivity Index (Cs 5-58)		9.0	11.0	-	-
Cathode Radiant Sensitivity (at 400 nm)		-	88	-	mA/W
Quantum Efficiency (at 500 nm) (Guaranteed)		18	-	-	%
Anode Sensitivity	Luminous (2856K)	-	50	-	A/lm
Ebbv (Nominal Voltage)	Gain 5x10 <sup>4</sup>	750	-	950	V
	Gain 7x10 <sup>5</sup>	-	1250	-	V
Ebbi (Dark Current at Ebbv)*	Gain 5x10 <sup>4</sup>	-	3.0	10	nA
	Gain 7x10 <sup>5</sup>	-	6.0	-	nA
Anode Output Rise Time at Ebbv (for Gain 5x10 <sup>4</sup> ) (Guaranteed)		-	-	5.0	ns
Pulse Linearity at Ebbv (for Gain 7x10 <sup>5</sup> ) (± 5% deviation) ** (Guaranteed)		150	-	-	mA
Cathode Uniformity in effective area (at 500 nm) (Guaranteed)		-25	-	25	%

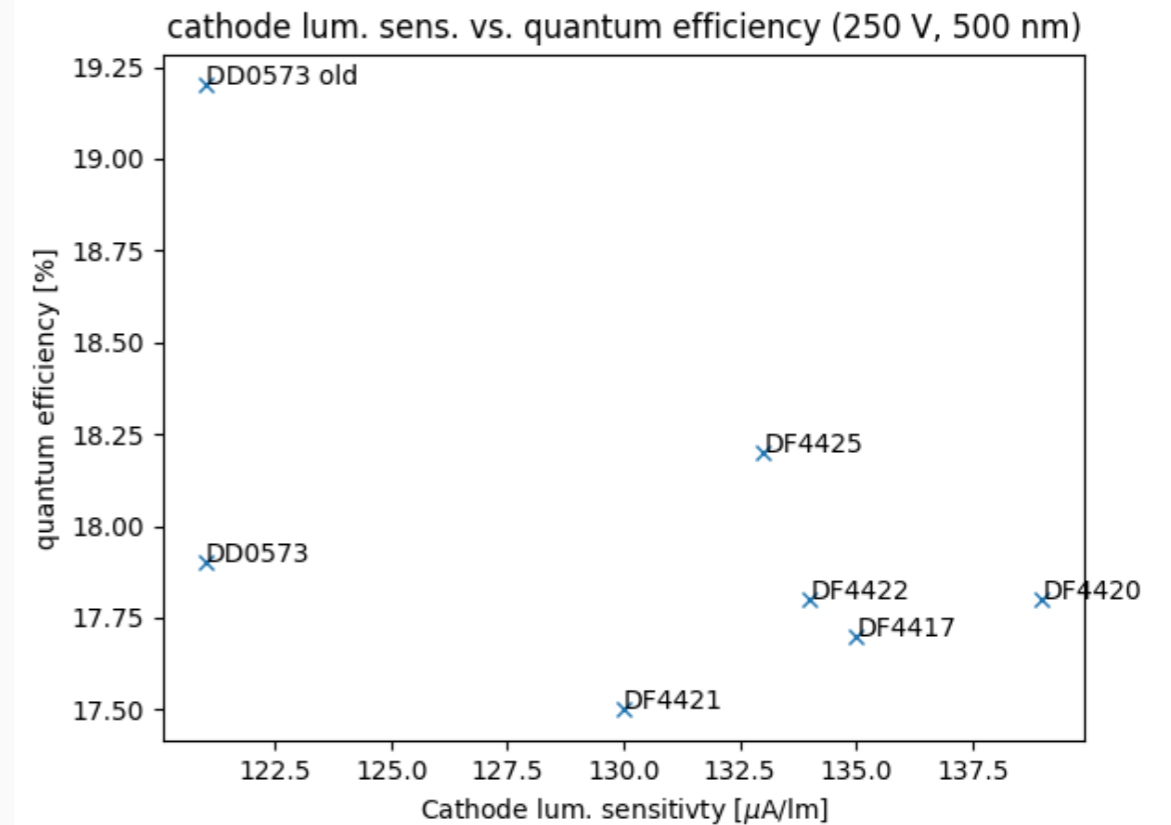
NOTE: Anode characteristics are measured with the special voltage distribution ratio (Tapered ratio) and supply voltage shown next page.

NOTE\*: Measured after 30min storage in the darkbox.

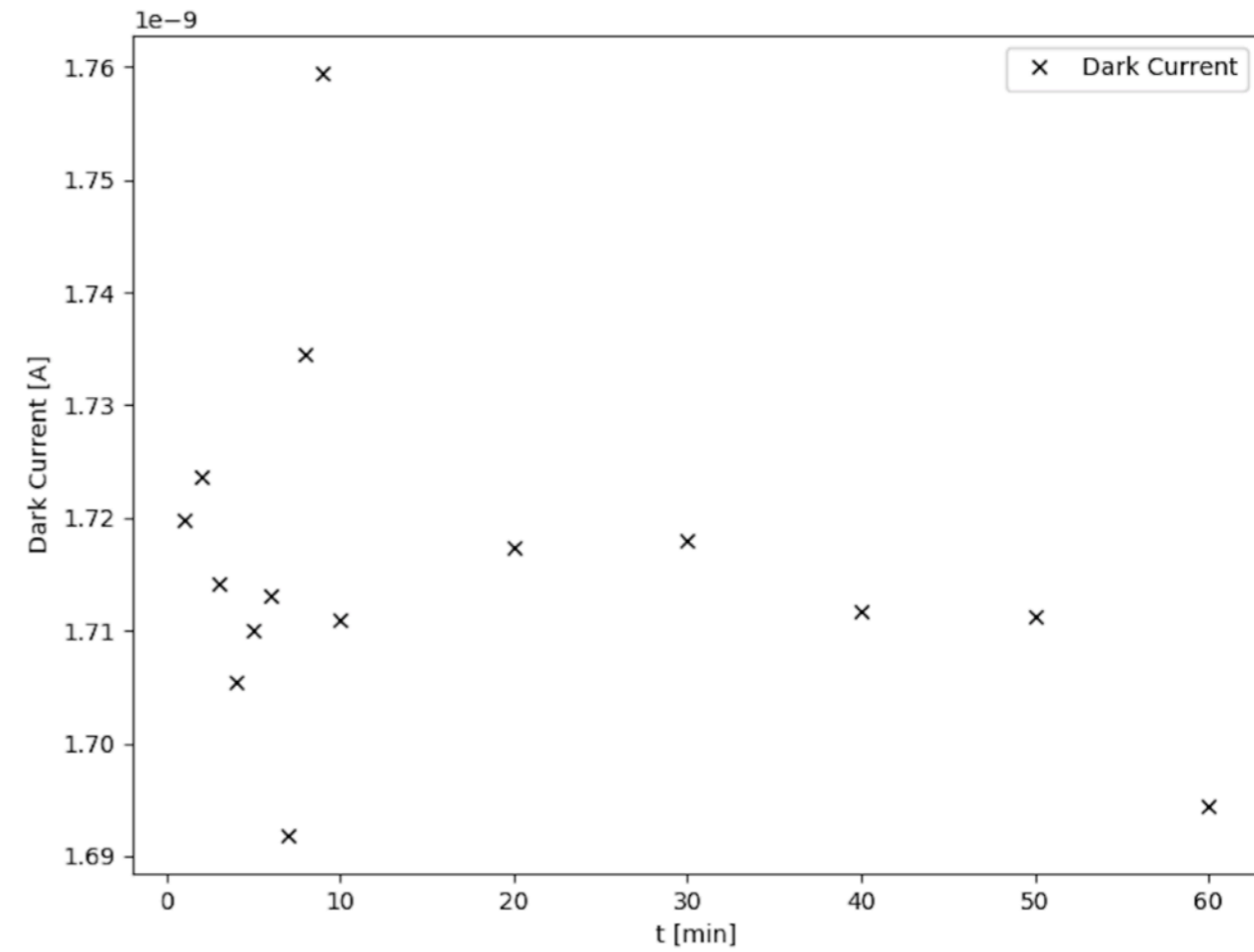
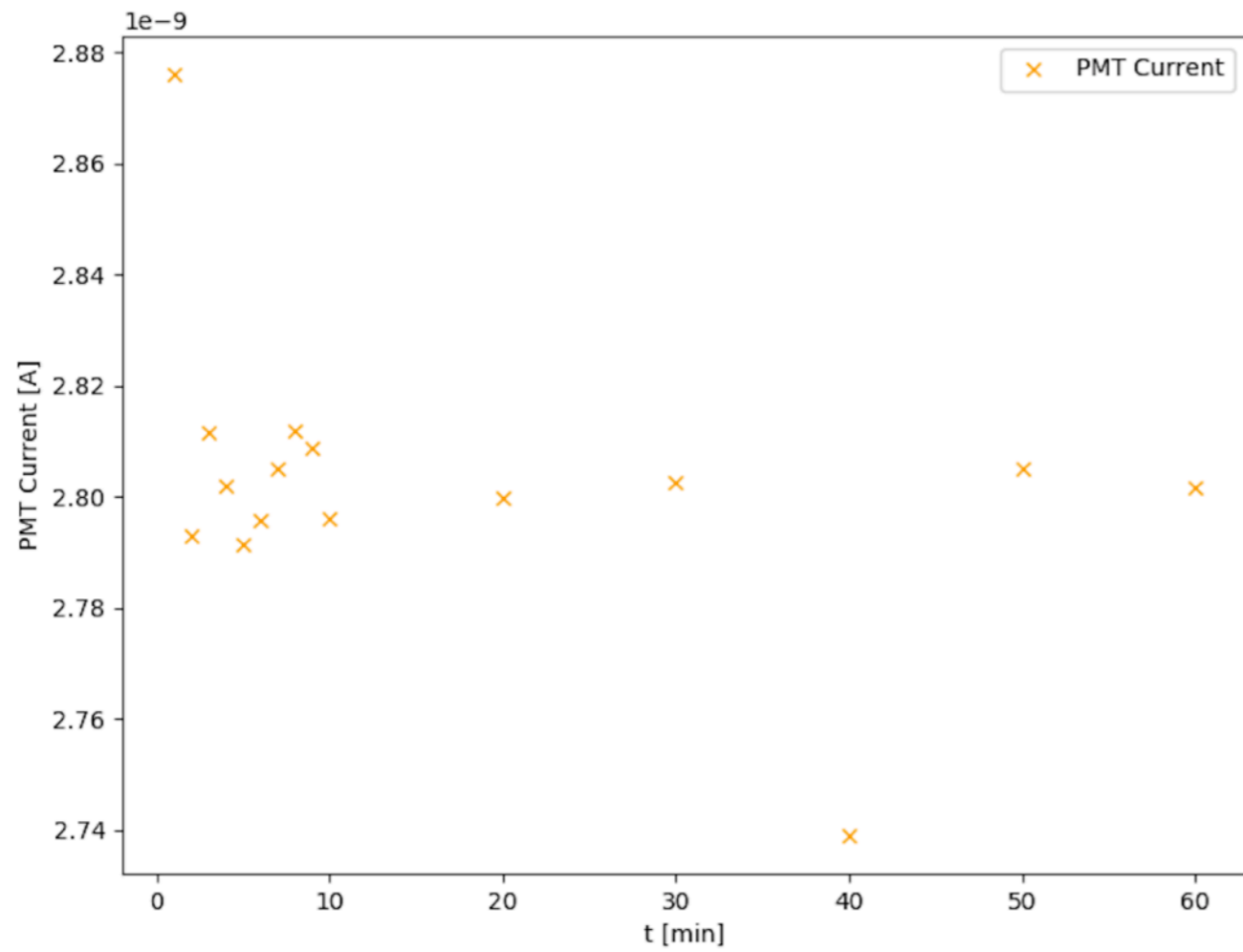
NOTE\*\*: Detailed conditions are described on the next page.



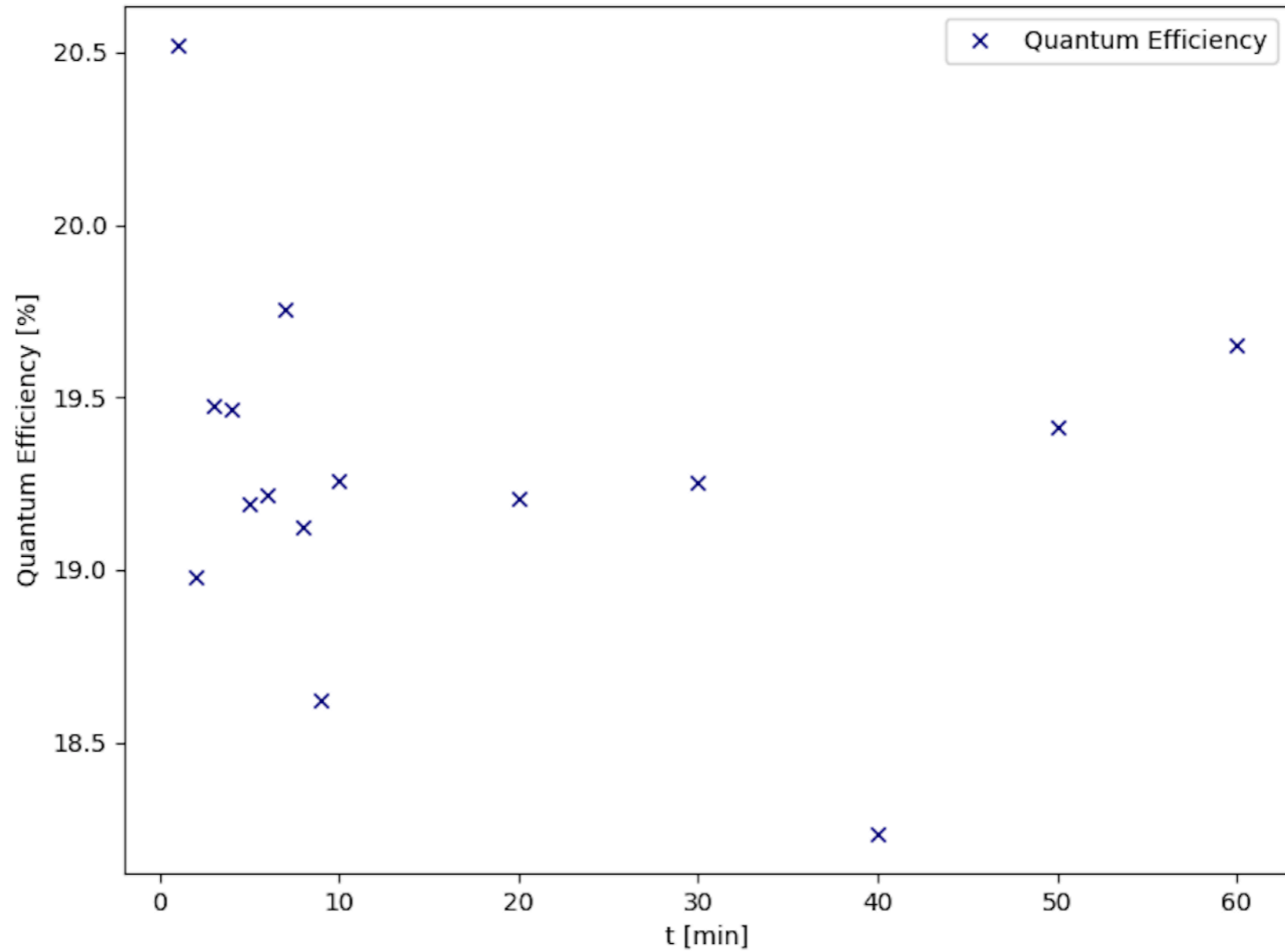
QE vs Cath. Blue Sens. Index



QE vs Cath. Lum Sens. Index

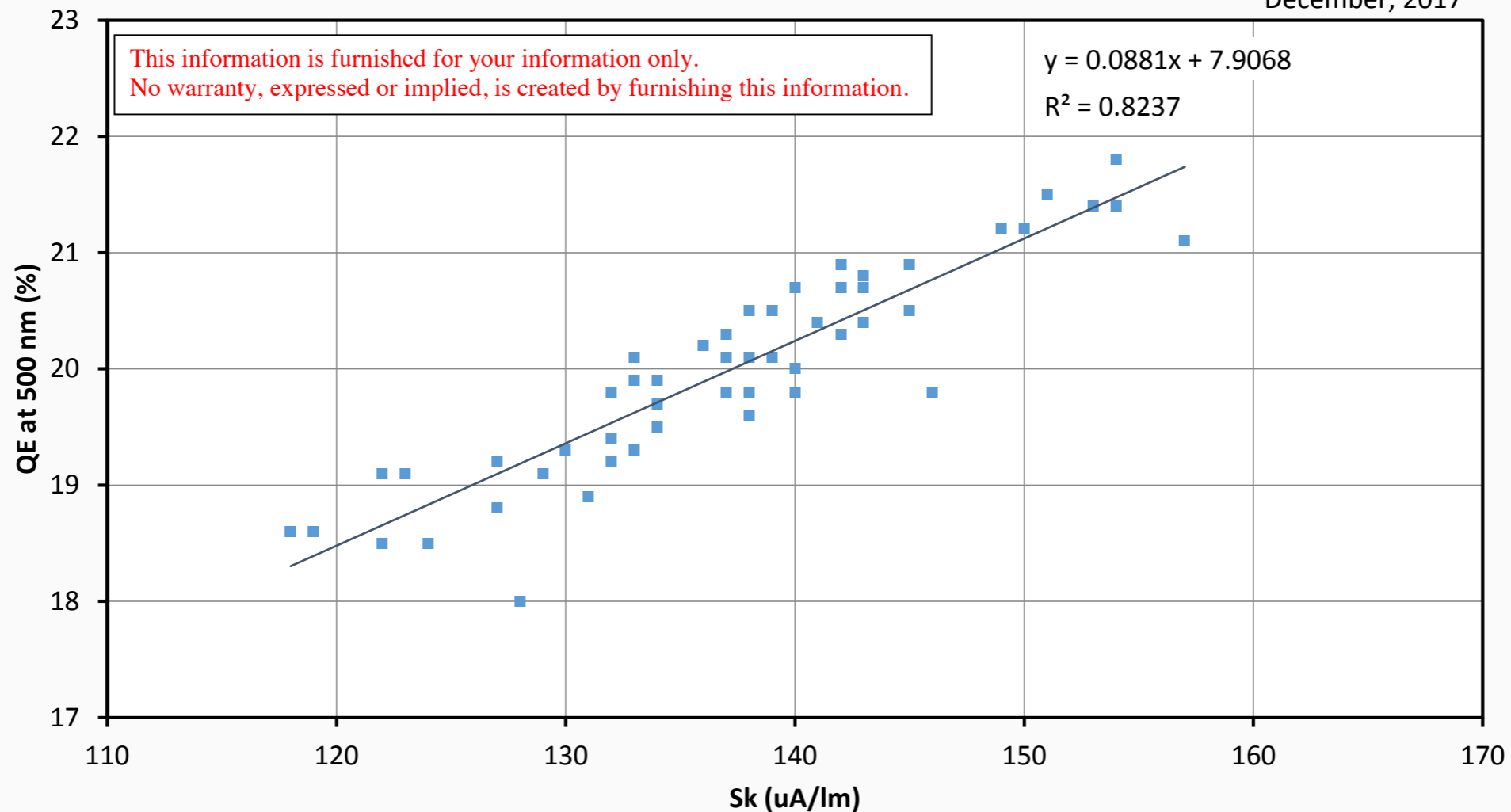






## R9420 QE at 500 nm vs. SK

December, 2017



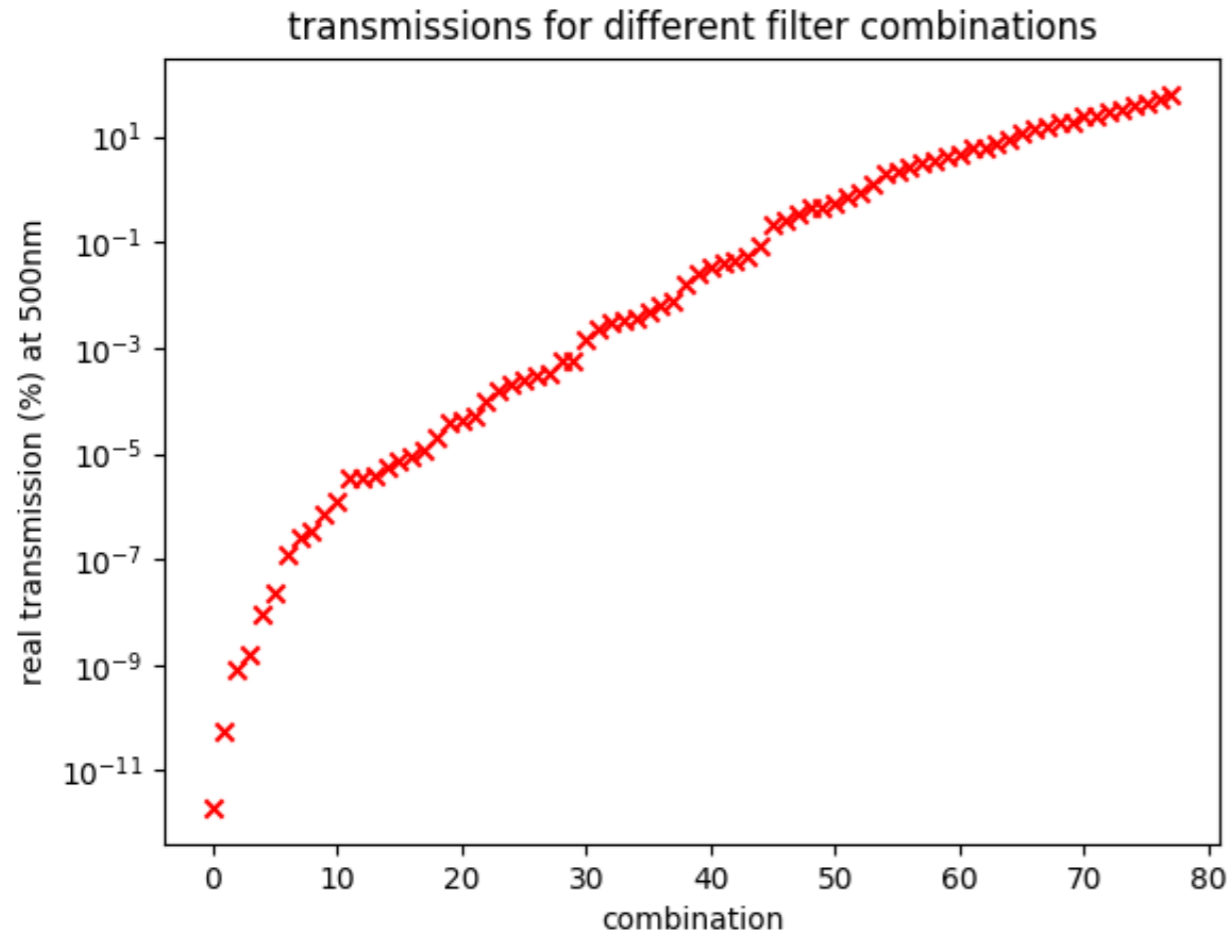
**HAMAMATSU**  
PHOTON IS OUR BUSINESS

### cathode luminous sensitivity

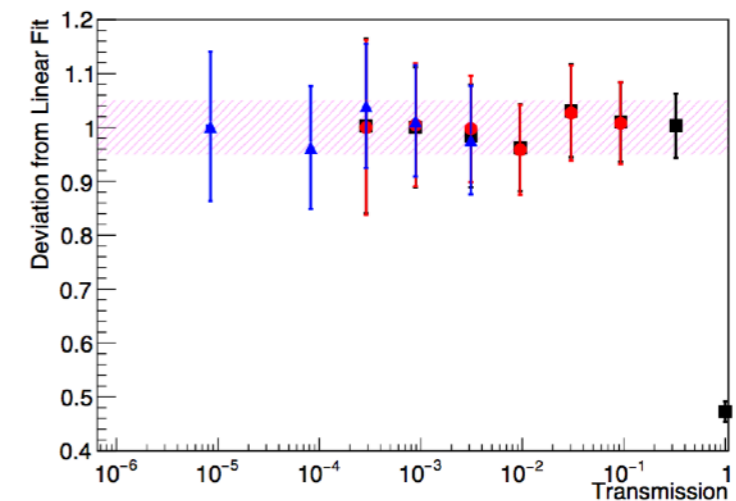
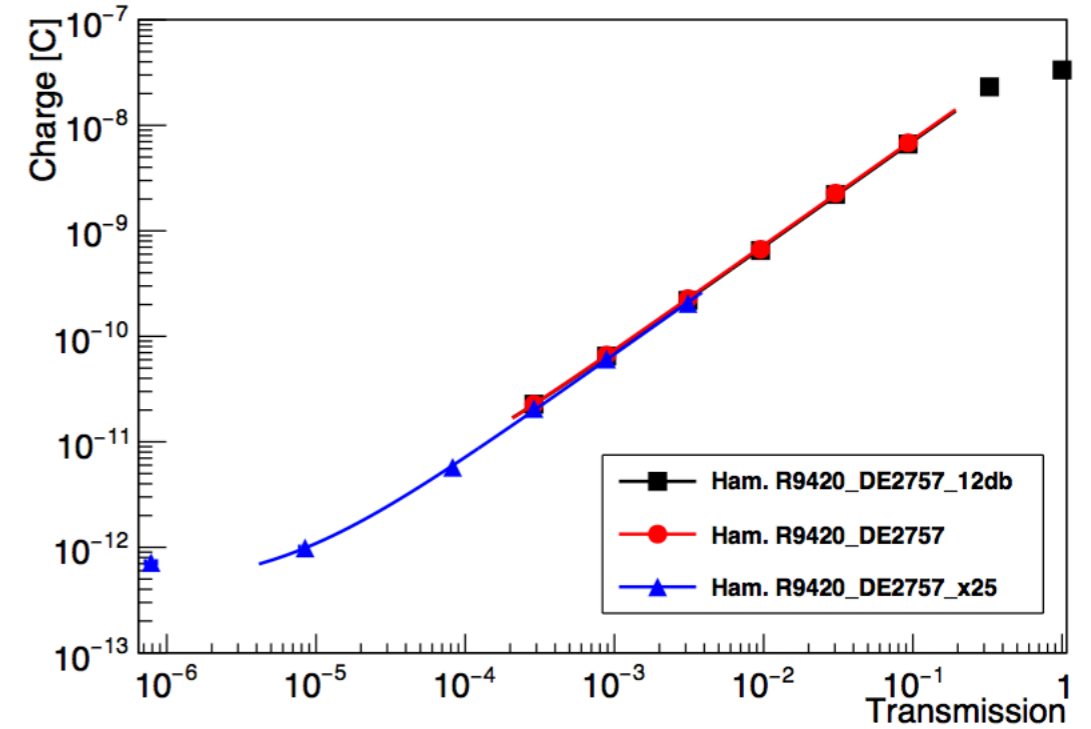
photoelectric current from cathode per incident light flux from tungsten lamp operated at 2856K

## Linearity measurements

combining set of filters (12) - achievable attenuation factors

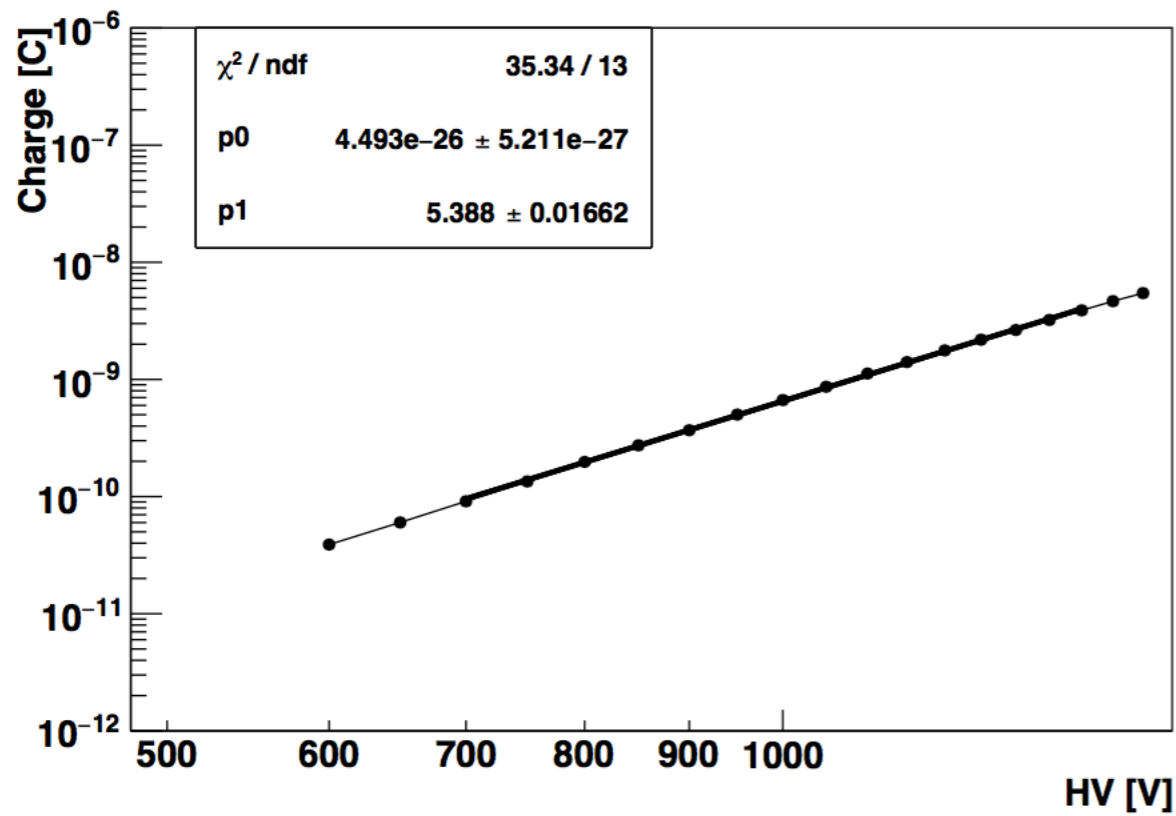


achievable transmissions - factory values form Thorlabs



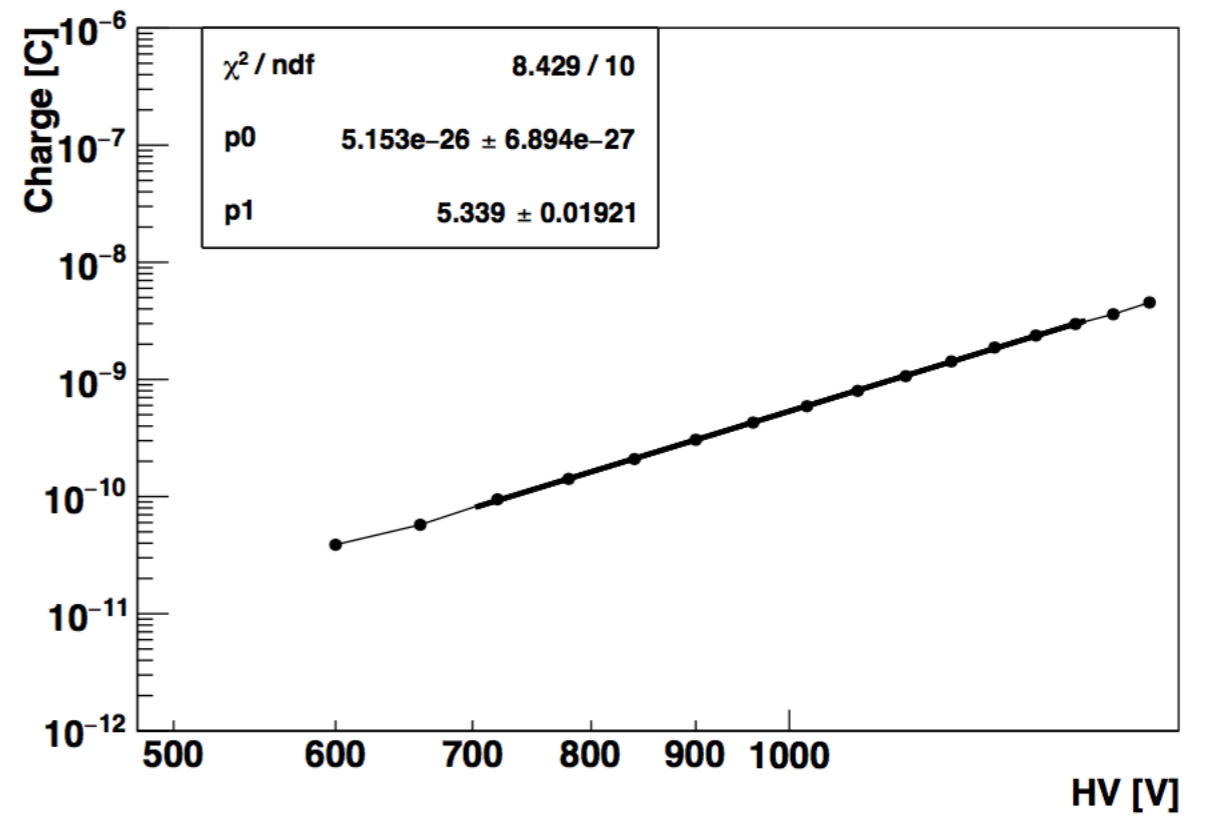
## Gain measurements

run=2757 R03 (33839)



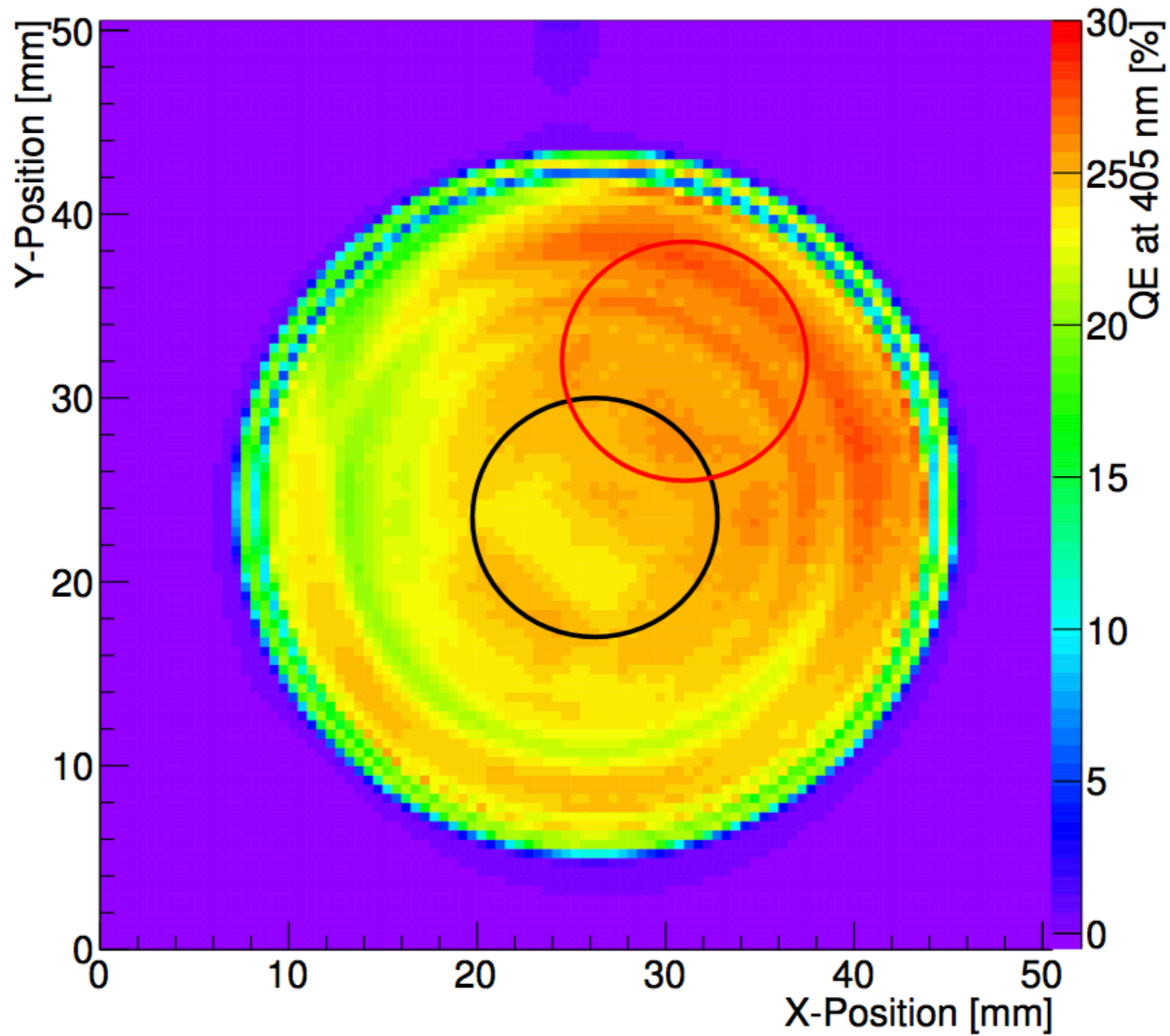
external HV

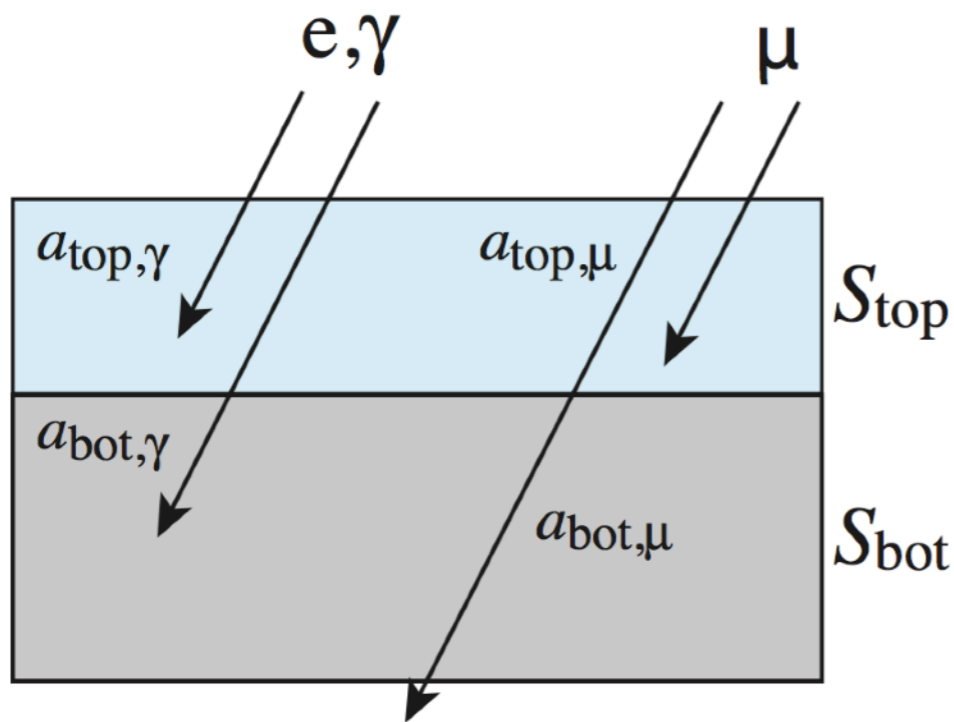
run=3180 R03 (33839)



integrated HV

## QE of R9420 at 405 nm





$$\begin{pmatrix} S_{\text{top}} \\ S_{\text{bot}} \end{pmatrix} = \begin{pmatrix} a_{\text{top}, \gamma} & a_{\text{top}, \mu} \\ a_{\text{bot}, \gamma} & a_{\text{bot}, \mu} \end{pmatrix} \begin{pmatrix} S_{\gamma} \\ S_{\mu} \end{pmatrix}$$

$$a_{\gamma\gamma} + a_{\mu\gamma} = a_{\mu\mu} + a_{\gamma\mu} = 1$$

shower at  $10^{20}$  eV,  $\theta = 38^\circ$  - peak signal in  $4 \text{ m}^2$  SSD at 200 m from the core

=> 12,000 MIP (Minimum Ionizing Particles),

